



INTEGRATED NATURAL RESOURCES MANAGEMENT PROGRAMME (INReMP)

**MINISTRY OF AGRICULTURE AND LIVESTOCK DEVELOPMENT  
STATE DEPARTMENT FOR AGRICULTURE**

**REQUEST FOR BIDS**

**PROVISION OF GROUP MEDICAL INSURANCE COVER FOR INTEGRATED  
NATURAL RESOURCES MANAGEMENT PROGRAMME (INReMP) STAFF**

**PROCUREMENT REFERENCE NUMBER:  
KEN-2000003938-0024-G-NCB**

**Issue Date: 6<sup>th</sup> October, 2026**

**Date of submission: Friday, 6<sup>th</sup> November at 11.00 A.M East African Time**

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## **Section I. Invitation for Bids (IFB)**

**Nairobi, Kenya**

**6<sup>th</sup> October, 2026**

**RE: PROVISION OF GROUP MEDICAL INSURANCE COVER FOR INTEGRATED NATURAL RESOURCES MANAGEMENT PROGRAMME (INReMP) STAFF**

**PROCUREMENT REFERENCE NUMBER: KEN-2000003938-0024-G-NCB**

1. The Government of Kenya (GoK) through the National Treasury received financing from the International Fund for Agricultural Development (IFAD) and intends to apply a part of the proceeds of the financing to this purchase. The use of any IFAD financing shall be subject to IFAD's approval, pursuant to the terms and conditions of the financing agreement, as well as IFAD's rules, policies and procedures. IFAD and its officials, agents and employees shall be held harmless from and against all suits, proceedings, claims, demands, losses and liability of any kind or nature brought by any party in connection with Integrated Natural Resources Management Programme (INReMP) under the State Department for Agriculture.
2. The Integrated Natural Resources Management Programme (INReMP) is an eight -year programme jointly funded by; Government of Kenya (GOK), International Fund for Agricultural Development (IFAD), Global Environment Facility (GEF), Green Climate fund (GCF), Private sector and target Beneficiaries. The programme came into force on 23<sup>rd</sup> June 2025 with a Completion date of 22<sup>nd</sup> June 2033. The programme Goal is to contribute to improved rural households' food, nutrition, and income security within a sustainable and resilient ecosystem. The development objective is to Enhance integrated natural resources management, increase resilience to climate change, and improve beneficiaries' livelihoods, especially women, youth, and vulnerable Groups in an environmentally friendly manner, in selected programme areas of the 10 programme counties. The programme areas are Cherangany downstream counties (Kakamega and Nandi), Cherangany water tower counties (West Pokot, Trans Nzoia, Elgeyo Marakwet and Uasin Gishu) and Mau West catchment and downstream counties of the Lake Victoria (Kisumu, Kericho, Homabay and Migori). The targeted value chains are dairy, poultry, apiculture, horticulture and fruit trees and the primary target group comprise of youth, women and vulnerable groups. The Programme will also engage with value chain actors. The Programme is expected to directly benefit 407,176 households with a total number of 2,035,880 people at the end of 8 years of implementation.
3. This IFB follows the General Procurement Notice that appeared on the IFAD website on 16<sup>th</sup> March 2026, Ministry of Agriculture and Livestock Development website and The Star Newspaper (MyGov publication) on 21<sup>st</sup> April 2026.
4. The Programme invites sealed bids from eligible insurance Underwriters licensed by the Insurance Regulatory Authority for the Provision of Group Medical Insurance Cover for Integrated Natural Resources Management Programme (INReMP) Staff. More details on these services are provided in the schedule of requirements in the bidding documents.

| S/no. | Item description                                                                                                | Number of staff      |
|-------|-----------------------------------------------------------------------------------------------------------------|----------------------|
| 1     | Provision of Group Medical Insurance Cover for Integrated Natural Resources Management Programme (INReMP) Staff | 33 principal members |

5. This IFB is open to all eligible bidders who wish to respond subject to restrictions noted in the bidding document.
6. Bidding will be conducted using the National Competitive Bidding (NCB) method, the evaluation procedure for which is described in this bidding document, in accordance with the Public Procurement and Asset Disposal Act 2015 and respective Regulation, as well as the IFAD Procurement Handbook ([www.ifad.org/project-procurement](http://www.ifad.org/project-procurement)). The NCB process, as described, will include a review and verification of qualifications and past performance, including post qualification review prior to award.
7. Please note that a pre-bid conference will not be held for this procurement.
8. Bidding documents may be viewed and obtained electronically for free from the [www.kilimo.go.ke](http://www.kilimo.go.ke) and [www.tenders.go.ke](http://www.tenders.go.ke) websites. Tenderers who download the tender document must forward their particulars immediately to: [inremproc@kilimo.go.ke](mailto:inremproc@kilimo.go.ke) to facilitate any further clarification or addendum.
9. Price quoted should be inclusive of all taxes, must be in Kenya Shillings (For those domiciled and registered in Kenya) or United States Dollars (For foreign bidders). The exchange rates to be applied shall be based on the selling rates published by the Central Bank of Kenya on the date of tender opening and quoted price shall remain valid for 180 days from the closing date of the tender.
10. All interested bidders are required to continually check every Tuesday the mentioned websites for any bid addendums or clarifications that may arise before the submission date.
11. Bidding documents obtained electronically will be free of charge.
12. Bids must be delivered and deposited in the Tender Box at the Ground floor, AMS Building Eldoret Opposite University of Eldoret, Eldoret - Ziwa Road, to the address below and in the manner specified in the Bid Data Sheet – Instructions to Bidders 23.2, not later than **11:00 a.m. East African Time on Friday, 6<sup>th</sup> November, 2026** to:
 

**National Programme Coordinator**  
**Programme Coordination and Management Unit, (PCMU)**  
**Integrated Natural Resources Management Programme (INReMP)**  
**P.O. Box 40-30100 Eldoret City, Kenya**  
**Agriculture Mechanization Services (AMS) Building**  
**Eldoret, Opposite University of Eldoret**  
**Eldoret - Ziwa Road.**  
**E-mail: [inremproc@kilimo.go.ke](mailto:inremproc@kilimo.go.ke)**
13. A tender package or container that cannot fit in the tender box shall be received and registered in the tender register situated at the National Programme Coordinator's Office on the Ground Floor, **AMS Building Eldoret Opposite University of Eldoret, Eldoret - Ziwa Road**, on or before the closing time of **Friday, 6<sup>th</sup> November 2026 at 11.00 a.m. East African Time**.

14. Bidders should be aware that late bids will be rejected and returned unopened to the bidder.
15. All bids must be accompanied by a bid Security of Kenya Shillings Three Hundred Thousand Shillings (KShs. 300,000) Only in the manner and amount specified in the bid data sheet in the form of unconditional bank guarantee from a reputable bank and valid for 30 days beyond tender validity as specified in the tender data sheet.
16. Bids will be opened immediately after the deadline date and time specified above or any deadline date and time specified later. Bids will be publicly opened in the presence of the Tenderers' designated representatives who choose to attend in the **First-Floor Boardroom, AMS Building Eldoret, Opposite University of Eldoret, Eldoret - Ziwa Road.**
17. Please note that electronic bids **SHALL NOT** be accepted. Hard copies only.

**National Programme Coordinator  
Programme Coordination and Management Unit (PCMU)  
Integrated Natural Resources Management Programme (INReMP)  
P.O. Box 40-30100 Eldoret City, Kenya  
Agriculture Mechanization Services (AMS) Building  
Eldoret, Opposite University of Eldoret  
Eldoret - Ziwa Road.**

**For: Principal Secretary, State Department for Agriculture**

## Section II. Instructions to Bidders

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## Instructions to Bidders

### A. Introduction

#### 1. Scope of Bid.

- 1.1 The purchaser has issued an invitation for bids for the procurement of goods and related services as specified in Section V. Schedule of Requirements. The name and identification number of the contract, and number and description of the lot(s), are specified in the bid data sheet (BDS).
- 1.2 The purchaser of the purchaser's country **identified in the BDS**, is not bound to accept any bid, and reserves the right to cancel the procurement at any time prior to contract award, without thereby incurring any liability to any bidder.

#### 2. Source of Funds specified in the BDS

- 2.1 The borrower or recipient (hereinafter called "the borrower") has financing from the International Fund for Agricultural Development ("the Fund") in various currencies equivalent to the amount **specified in the BDS** towards the cost of the project **named in the BDS**, and intends to apply a portion of the proceeds of this loan/grant to eligible payments under this contract. Payment by IFAD will be made only at the request of borrower and upon approval by IFAD, and will be subject, in all respects, to the terms and conditions of the financing agreement. The financing agreement prohibits a withdrawal from the loan and/or grant account for the purpose of any payment to persons or entities, or any payment prohibited by a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations.

#### 3. Prohibited Practices.

- 3.1 The Fund requires that all beneficiaries of IFAD funding, including the purchaser and any bidders, implementing partners, service providers, suppliers, sub-suppliers, contractors, sub-contractors, consultants, sub-consultants, and any of their agents (whether declared or not) and personnel observe the highest standards of ethics during the procurement and execution of such contracts, and comply with IFAD's Policy on Preventing Fraud and Corruption in its Activities and Operations, revised on 12 December 2018 and attached as Section VIII of this document (EB 2018/125/R.6, hereinafter "IFAD's Anti-Corruption Policy").
- 3.2 For the purposes of these provisions, and consistent with IFAD's Anticorruption Policy, the terms set forth below are defined as follows, and sometimes referred to collectively as "prohibited practices":
  - a) "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value in order to improperly influence the actions of another party;
  - b) "fraudulent practice" is any act or omission, including a misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party in order to obtain a financial or other benefit or to avoid an obligation;

c) "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including improperly influencing the actions of another party;

d) "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of any party, to improperly influence the actions of that or another party;

e) "obstructive practice" is (i) deliberately destroying, falsifying, altering or concealing evidence that may be material to an investigation by the Fund or making false statements to investigators in order to materially impede an investigation by the Fund; (ii) threatening, harassing or intimidating any party in order to prevent that party from disclosing its knowledge of matters relevant to an investigation by the Fund or from pursuing such an investigation; and/or (iii) the commission of any act intended to materially impede the exercise of the Fund's contractual rights of audit, inspection and access to information.

3.3 The Fund will deny approval of a proposed contract award if it determines that the firm or individual recommended for award, or any of its personnel or agents, or its sub-consultants, sub-contractors, service providers, suppliers, sub-suppliers and/or any of their personnel or agents, has, directly or indirectly, engaged in any of the prohibited practices in connection with an IFAD-financed and/or IFAD-managed activity or operation, including in competing for the contract.

3.4 In accordance with IFAD's Anticorruption Policy, the Fund has the right to sanction firms and individuals, including by declaring them ineligible, either indefinitely or for a stated period of time, to participate in any IFAD-financed and/or IFAD-managed activity or operation. This may include ineligibility to: (i) be awarded or otherwise benefit from any IFAD-financed contract, financially or in any other manner; (ii) be a nominated sub-contractor, consultant, manufacturer, supplier, sub-supplier, agent or service provider of an otherwise eligible firm being awarded an IFAD-financed contract; and (iii) receive the proceeds of any loan or grant provided by the Fund.<sup>1</sup> The Fund also has the right to unilaterally recognize debarments by any of the International Financial Institutions that are members to the Agreement for Mutual Enforcement of Debarment Decisions if such debarments meet the requirements for mutual recognition under the Agreement for Mutual Enforcement of Debarment Decisions.

3.5 In addition, the Fund has the right to, at any time, declare a mis procurement and/or the ineligibility of any expenditures associated with a procurement process or contract if it determines that prohibited practices occurred in connection with this procurement process or contract and that the borrower/recipient has not taken timely and appropriate action, satisfactory to the Fund, to address such practices when they occur.

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<sup>1</sup> For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

- 3.6 Bidders, suppliers, consultants, contractors, and their sub-contractors, sub-consultants, service providers, suppliers, agents and personnel, are required to fully cooperate with any investigation conducted by the Fund into possible prohibited practices, including by making personnel available for interviews and by providing full access to any and all accounts, premises, documents and records (including electronic records) relating to the relevant IFAD-financed and/or IFAD-managed operation or activity and to have such accounts, premises, records and documents audited and/or inspected<sup>2</sup> by auditors and/or investigators appointed by the Fund.
- 3.7 The bidder is obliged to disclose relevant prior sanctions and criminal convictions and any commissions or fees paid or are to be paid to any agents or other party in connection with this procurement process or the execution of the contract.
- 3.8 The bidder shall keep all records and documents, including electronic records, relating to this procurement process available for a minimum of three (3) years after notification of completion of the process or, in case the bidder is awarded the contract, execution of the contract.

#### **4. Sexual Harassment, Sexual Exploitation and Abuse**

- 4.1 The Fund requires that all beneficiaries of IFAD Funding, including the purchaser and any bidders, implementing partners, service providers, suppliers, sub-suppliers, contractors, sub-contractors, consultants, sub-consultants, and any of their agents (whether declared or not) and personnel comply with IFAD's Policy on Preventing and Responding to Sexual Harassment, Sexual Exploitation and Abuse. For the purpose of this provision, and consistent with IFAD's Policy on Preventing and Responding to Sexual Harassment, Sexual Exploitation and Abuse as it may be amended from time to time, the terms set forth below are defined as follows:
- a) Sexual harassment means "any unwelcome sexual advance, request for sexual favour or other verbal, non-verbal or physical conduct of a sexual nature that unreasonably interferes with work, alters or is made a condition of employment, or creates an intimidating, hostile or offensive work environment.
- b) Sexual exploitation and abuse mean any actual or attempted abuse of a position of vulnerability, differential power, or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of others (sexual exploitation); the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions (sexual abuse).

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<sup>2</sup> Inspections include all fact-finding activities deemed relevant by the Fund to address allegations or other indications of possible prohibited practices. Such fact-finding activities may include, but are not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data or information (whether in hard copy or electronic format) deemed relevant for the investigation or audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verifications of information. It is the responsibility of the firm or individual under inspection to ensure effective compliance with their duty to cooperate vis-à-vis any potential local laws or regulations or other potentially conflicting obligations.

4.2 Purchasers, suppliers and bidders shall take all appropriate measures to prevent and prohibit sexual harassment and sexual exploitation and abuse on the part of their personnel and subcontractors or anyone else directly or indirectly employed by them or any of subcontractors in the performance of the contract. Purchasers, suppliers and bidders shall immediately report to the purchaser or IFAD any incidents of sexual harassment and sexual exploitation and abuse arising out of or in connection with the performance of the contract or prior to its execution, including convictions, disciplinary measures, sanctions or investigations. The purchaser may take appropriate measures, including the termination of the contract, on the basis of proven acts of sexual harassment, sexual exploitation and abuse arising out of or in connection with the performance of the contract.

4.3 The bidder or supplier is required to disclose any relevant prior sanctions, convictions, disciplinary measures or criminal records.

## **5. Money laundering and Terrorist Financing**

5.1 The Fund requires that all beneficiaries of IFAD funding or funds administered by IFAD, including the purchaser, any bidders, implementing partners, service providers and suppliers, observe the highest standards of integrity during the procurement and execution of such contracts, and commit to combat money laundering and terrorism financing consistent with IFAD's Anti-Money Laundering and Countering the Financing of Terrorism Policy.

## **6. SECAP Performance Standards**

6.1 The resulting contract will be implemented in a manner consistent with Performance IFAD's Social, Environmental, and Climate Assessment Procedures (SECAP), Standards available on <https://www.ifad.org/en/secap>.

## **7. Eligible Bidders and Conflict of Interest**

7.1 This invitation for bids is open to all suppliers from eligible source and countries except as provided hereinafter.

7.2 Any eligible entity may bid independently or in a joint venture (JV). In the case where a bidder is or proposes to be a JV:

- a) all members shall be jointly and severally liable for the execution of the contract; and
- b) the JV shall nominate a representative who will have the authority to conduct all business for and on behalf of any and all the members of the JV.

## **Conflict of Interest**

7.3 A bidder shall not have any actual, potential or reasonably perceived conflict of interest. A bidder shall declare in the bid submission form any actual, potential or reasonably perceived interest, regardless of its nature, that affects, may affect, or might reasonably be perceived by others to affect, impartiality in any matter relevant to the procurement process, including the selection process and the execution of the contract. A bidder with an actual, potential or reasonably perceived conflict of interest shall be disqualified, unless otherwise explicitly approved by the Fund. The purchaser requires that the bidder and the supplier hold the project's interests as paramount at all times, strictly avoiding any actual, potential or

reasonably perceived conflicts of interest, including actual, potential or reasonably perceived conflicts with other assignments or their own personal and/or corporate interests, and act without any consideration for any other ongoing or future work. Without limitation on the generality of the foregoing, a bidder or supplier, including all parties constituting the bidder or supplier and their respective personnel and affiliates, as well as any subcontractors for any part of the contract, including related services, and their respective personnel and affiliates, may be considered to have an actual, potential or reasonably perceived conflict of interest and disqualified or terminated if they:

- a) have, may have or might reasonably appear to have at least one controlling partner in common with one or more other parties in the process contemplated by this bidding document or the execution of the contract; or
- b) have, may have or might reasonably appear to have the same legal representative as another bidder for purposes of this bid or execution of the contract; or
- c) have, may have or might reasonably appear to have a relationship, directly or through common third parties, that puts them in a position to have access to undue or undisclosed information about or influence over the bid process and the execution of the contract, or influence the decisions of the purchaser regarding the selection process for this procurement or during the execution of the contract; or
- d) participate, may participate or might reasonably appear to participate in more than one bid in this process; participation by a bidder in more than one bid shall result in the disqualification of all bids in which the party is involved; however, this provision does not limit the inclusion of the same subcontractor in more than one bid; or
- e) are themselves, may be or might reasonably appear to be, or have, may have or might reasonably appear to have a business or family relationship with, a member of the purchaser's board of directors or its personnel, the Fund or its personnel, or any other individual was, has been or might reasonably be directly or indirectly involved in any part of (i) the preparation of this bidding document, (ii) the selection process for this procurement, or (iii) execution of the contract, unless the actual, potential or reasonably-perceived conflict stemming from this relationship has been explicitly authorized by the Fund.

7.4 A bidder that has been engaged by the purchaser to provide goods, works or services for a project, its personnel and any of its affiliates, shall be disqualified from providing consulting services related to those goods, works or services. Conversely, a bidder hired to provide consulting services for the preparation or implementation of a project, its personnel and any of its affiliates, shall be disqualified from subsequently providing goods, works or non-consulting services resulting from or directly related to such consulting services for such preparation or implementation.

7.5 A bidder and the supplier shall have an obligation to disclose any situation of actual, potential or perceived conflict of interest that impacts, may impact, or might reasonably appear to be perceived by others to impact, their capacity to serve the best interest of the purchaser. Failure to properly disclose any of said situations may lead to appropriate actions, including the disqualification of the bidder, the termination of the Contract and any other as appropriate under the IFAD Policy on Preventing Fraud and Corruption in its Projects and Operations.

- 7.6 A bidder or supplier, all parties constituting the bidder or supplier, and any subcontractors for any part of the Contract, including related services, and their respective personnel and affiliates, will not be any person or entity under a declaration of ineligibility by the Fund for having engaged in prohibited practices as contemplated by ITB clause 3 above. The Fund also has the right to unilaterally recognize debarments by any of the International Financial Institutions that are members to the Agreement for Mutual Enforcement of Debarment Decisions if such debarments meet the requirements for mutual recognition under the Agreement for Mutual Enforcement of Debarment Decisions.
- 7.7 A bidder or supplier, all parties constituting the bidder or supplier, and any subcontractors for any part of the contract, including related services, and their respective personnel and affiliates not otherwise made ineligible for a reason described in this ITB Clause 7 will nonetheless be excluded if:
- a) as a matter of law or official regulation, the Government prohibits commercial relations with the country of the bidder or Supplier (including any Associates, Subcontractors and any respective affiliates) provided that the Fund is satisfied that such exclusion does not preclude effective competition for the supply of goods or the contracting of works or services required; or
  - b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Government prohibits the issuance of a payment.

## **8. Eligible Goods and Services**

- 8.1 All goods and related services to be supplied under the contract shall have their origin in eligible source countries.
- 8.2 For purposes of this clause, "origin" means the place where the goods are mined, grown, or produced, or the place from which the related services are supplied. Goods are produced when, through manufacturing, processing, or substantial and major assembly of components, a commercially-recognized product results that is substantially different in basic characteristics or in purpose or utility from its components. The origin of goods and services is distinct from the nationality of the bidder.

## **9. Cost of Bidding**

- 9.1 The bidder shall bear all costs associated with the preparation and submission of its bid, and the purchaser will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the bidding process.

### **B. The bidding documents**

## **10. Content of Bidding Documents**

- 10.1 The goods required, bidding procedures, and contract terms are prescribed in the bidding documents. In addition to the invitation for bids, the bidding documents include:
- a) instructions to bidders (ITB)
  - b) bid data sheet (BDS)

- c) schedule of requirements
  - d) bidding forms
  - e) technical specifications
  - f) general conditions of contract (GCC)
  - g) special conditions of contract (SCC)
  - h) contract forms
- 10.2 The bidder is expected to examine all instructions, forms, terms, and specifications in the bidding documents. Failure to provide all information required by the bidding documents or to submit a bid that is not substantially responsive to the bidding documents in every respect will be at the bidder's risk and may result in the rejection of its bid.

#### **11. Clarification of Bidding Documents**

- 11.1 A prospective bidder requiring any clarification of this bidding document shall contact the purchaser in writing, by email or fax at the purchaser's address **indicated in the BDS**. The purchaser will respond to any request for clarification, provided that such a request is received no later than the date and time **indicated in the BDS**. The purchaser shall send written copies of the responses, including a description of the inquiry but without identifying its source, to bidders who have registered or obtained the bidding document directly from the purchaser by the date **specified in the BDS**. Should the clarification result in changes to the essential elements of this bidding document, the purchaser shall amend this bidding document following the procedure under ITB Clause 12.
- 11.2 The bidder's designated representative is invited to attend a pre-bid conference, **if provided for in the BDS**. The purpose of the conference will be to clarify the issues and to answer questions on any matter that may be raised at that stage.
- 11.3 Minutes of the pre-bid conference, including the text of the questions and answers related to the conference, without identifying the source, shall be transmitted in writing to all bidders who have registered or obtained the bidding document directly from the purchaser. Any modification to this bidding document that may become necessary as a result of the pre-bid conference shall be made by the purchaser exclusively through the issue of an addendum and not through the minutes of the pre-bid conference.

#### **12. Amendment of Bidding Documents.**

- 12.1 At any time prior to the deadline for submission of bids, the purchaser may amend this bidding document by issuing addenda.
- 12.2 All addenda issued shall be part of this bidding document and shall be communicated in writing to all bidders that have registered or obtained the bidding document directly from the purchaser.
- 12.3 To give prospective bidders reasonable time in which to take an addendum into account in preparing their bids, the purchaser may extend the deadline for the submission of bids at its sole discretion.

## **C. Preparation and submission of bids**

### **13. Language of Bid**

- 13.1 The bid prepared by the bidder, as well as all correspondence and documents relating to the bid exchanged by the bidder and the purchaser, shall be written in the language specified **in the BDS**. Supporting documents and printed literature furnished by the bidder may be in another language provided they are accompanied by an accurate translation of the relevant passages into English, in which case, for purposes of interpretation of the bid, the translation shall govern.

### **14. Documents Constituting the Bid**

- 14.1 The bid prepared by the bidder shall comprise the following components:
- a) a bid form and a price schedule completed in accordance with ITB clauses 15, 16, and 17;
  - b) documentary evidence established in accordance with ITB clause 18 that the bidder is eligible to bid and is qualified to perform the contract if its bid is accepted;
  - c) documentary evidence established in accordance with ITB clause 19 that the goods and ancillary services to be supplied by the bidder are eligible goods and services and conform to the bidding documents; and
  - d) bid security or bid-securing declaration furnished in accordance with ITB clause 20.

### **15. Bid Form**

- 15.1 The bidder shall complete, sign, and stamp the bid form and the appropriate price schedule furnished in the bidding documents, indicating the goods to be supplied, a brief description of the goods, and their country of origin, quantity, and prices.

### **16. Bid Prices**

- 16.1 The bidder shall indicate on the appropriate price schedule the unit prices (where applicable) and total bid price of the goods it proposes to supply under the contract.
- 16.2 Prices indicated on the price schedule shall be entered separately in the following manner:
- a) For goods offered from within the purchaser's country:
    - (i) the price of the goods quoted EXW (ex-works, ex-factory, ex warehouse, ex showroom, or off-the-shelf, as applicable), including all customs duties and sales and other taxes already paid or payable:
      - (A) on the components and raw material used in the manufacture or assembly of goods quoted ex works or ex factory; or
      - (B) on the previously imported goods of foreign origin quoted ex warehouse, ex showroom, or off-the-shelf.
    - (ii) the price for inland transportation, insurance and other costs incidental to delivery of the goods to their final destination, if specified in the BDS.

b) For goods offered from abroad:

(i) the price of the goods shall be quoted CIF named port of destination, or CIP border point, or CIP named place of destination in the purchaser's country, as specified in the BDS. In quoting the price, the bidder shall be free to use transportation through carriers registered in any eligible countries. Similarly, the bidder may obtain insurance services from any eligible source country.

(ii) the price for inland transportation, insurance and other costs incidental to delivery of the goods from the port of entry to their final destination, if specified on the BDS.

16.3 The terms EXW, CIF, CIP, etc., shall be governed by the rules prescribed in the current edition of Incoterms published by the International Chamber of Commerce, Paris.

16.4 The bidder's separation of price components in accordance with ITB clause 16.2 above will be solely for the purpose of facilitating the comparison of bids by the purchaser and will not in any way limit the purchaser's right to contract on any of the terms offered.

16.5 Prices quoted by the bidder shall be fixed during the bidder's performance of the contract and not subject to variation on any account, unless otherwise specified in the BDS.

16.6 If bids are invited for individual contracts (lots) or for any combination of contracts (packages), bidders wishing to offer any price reduction for the award of more than one contract (lot), shall specify in its bid the price reductions applicable to each package, or alternatively, to individual contracts within the package.

## **17. Currencies of Bid**

17.1 The currency(ies) of the bid shall be specified in the BDS. For evaluation and comparison purposes, the currency(ies) of the bids shall be converted into a single currency as specified in the BDS.

## **18. Documents Establishing Bidder's Eligibility and Qualification**

18.1 Pursuant to ITB clause 18, the bidder shall furnish, as part of its bid, documents establishing the bidder's eligibility to bid and its qualifications to perform the contract if its bid is accepted.

18.2 The documentary evidence of the bidder's eligibility to bid shall establish to the purchaser's satisfaction that the bidder, at the time of submission of its bid, is from an eligible country as defined in the BDS. Failure to submit any of the above mandatory requirements that are applicable to the bidder's legal status and country of registration will lead to disqualification.

18.3 The documentary evidence of the bidder's qualifications to perform the contract if its bid is accepted shall establish to the purchaser's satisfaction:

- a) that, in the case of a bidder offering to supply goods under the contract which the bidder did not manufacture or otherwise produce, the bidder has been duly authorised by the goods' manufacturer or producer to supply the goods in the purchaser's country unless otherwise specified **in the BDS**;
- b) that the bidder has the financial, technical, and production /after sales service capability necessary to perform the contract as stipulated in the **BDS**;

- c) that, in the case of a bidder not doing business within the purchaser's country, the bidder is or will be (if awarded the contract) represented by an agent in the purchaser's country equipped and able to carry out the supplier's maintenance, repair, and spare parts-stocking obligations prescribed in the conditions of contract and/or technical specifications; and
- d) that the bidder meets the qualification criteria listed **in the BDS**.

## **19. Documents Establishing Goods' Eligibility and Conformity to Bidding Documents**

- 19.1 The documentary evidence of conformity of the goods and services to the bidding documents may be in the form of literature, drawings, and data, and shall consist of:
- a) a detailed description of the essential technical and performance characteristics of the goods;
  - b) a list giving full particulars, including available sources and current prices of spare parts, special tools, etc., necessary for the proper and continuing functioning of the goods for a period to be specified in the BDS, following commencement of the use of the goods by the purchaser; and
  - c) an item-by-item commentary on the purchaser's technical specifications demonstrating substantial responsiveness of the goods and services to those specifications, or a statement of deviations and exceptions to the provisions of the technical specifications.
- 19.2 For purposes of the commentary to be furnished pursuant to ITB clause 19.1(c) above, the bidder shall note that standards for workmanship, material, and equipment, as well as references to brand names or catalogue numbers designated by the purchaser in its technical specifications, are intended to be descriptive only and not restrictive. The bidder may substitute alternative standards, brand names, and/or catalogue numbers in its bid, provided that it demonstrates to the purchaser's satisfaction that the substitutions ensure substantial equivalence to those designated in the technical specifications.

## **20. Bid Security**

- 20.1 The bidder shall furnish, as part of its bid, either a bid security or a bid securing declaration, as specified in the BDS.
- 20.2 If a bid security is required, it shall be in the amount and currency specified in the BDS, and shall be in one of the following forms:
- a) a bank guarantee or an irrevocable letter of credit issued by a reputable bank located in the purchaser's country or abroad, in the form provided in the bidding documents or another form acceptable to the purchaser and valid for thirty (30) days beyond the validity of the bid; or
  - b) a cashier's or certified cheque.
- 20.3 Any bid not secured in accordance with this ITB clause 20 will be rejected by the purchaser as non-responsive, pursuant to ITB clause 29.
- 20.4 Upon receipt of the signed contract agreement and a valid performance security, the purchaser shall return the bid securities of unsuccessful bidders.

- 20.5 The successful bidder's bid security will be discharged upon the bidder signing the contract, pursuant to ITB clause 40, and furnishing the performance security, pursuant to ITB clause 41.
- 20.6 The bid security may be forfeited:
- a) if a bidder:
    - (i) withdraws its bid during the period of bid validity specified by the bidder on the bid form, or
    - (ii) does not accept the correction of errors pursuant to ITB clause 29.2; or
  - b) in the case of a successful bidder, if the bidder fails:
    - (i) to sign the contract in accordance with ITB clause 40; or
    - (ii) to furnish performance security in accordance with ITB clause 41.

## **21. Bid Validity**

- 21.1 Bids shall remain valid for the period specified in the BDS after the date of bid submission prescribed by the purchaser, pursuant to ITB clause 24. A bid valid for a shorter period shall be rejected by the purchaser as non-responsive.
- 21.2 In exceptional circumstances, the purchaser may solicit the bidder's consent to an extension of the period of validity. The request and the responses thereto shall be made in writing. The bid security provided under ITB clause 20 shall also be suitably extended. A bidder may refuse the request without forfeiting its bid security. A bidder granting the request will not be required nor permitted to modify its bid. The request shall be made during the original period of validity.
- 21.3 Format and Signing of Bid in the BDS
- 21.4 The bidder shall prepare an original and the number of copies of the bid indicated, clearly marking each "ORIGINAL BID" and "COPY OF BID", as appropriate. In the event of any discrepancy between them, the original shall govern.
- 21.5 The original and the copies of the bid shall be typed or written in indelible ink and shall be signed by the bidder or a person or persons duly authorised to bind the bidder to the contract. All pages of the bid, except for unamended printed literature, shall be initialized by the person or persons signing the bid.
- 21.6 Any interlineations, erasures or overwriting shall be valid only if they are initialized by the person or persons signing the bid.
- 21.7 The bidder shall furnish information as described in the form or bid on commissions or gratuities, if any, paid or to be paid to agents relating to this bid, and to contract execution if the bidder is awarded the contract.

#### **D. Submission of bids**

##### **22. Sealing and Marking of Bids**

- 22.1 The bidder shall seal the original and each copy of the bid, in separate envelopes, duly marking the envelopes as "ORIGINAL" and "COPY". The envelopes shall then be enclosed in an outer envelope and sealed.
- 22.2 The inner and outer envelopes shall:
- a) indicate the name and address of the bidder to enable the bid to be returned unopened in case it is declared "late";
  - b) be addressed to the purchaser at the address given in the BDS; and
  - c) bear the programme name indicated in the BDS, the invitation for bids title and Procurement Number indicated in the BDS, and a statement: "DO NOT OPEN BEFORE," to be completed with the time and the date specified in the BDS.
- 22.3 If the outer envelope is not sealed and marked as required by ITB Clause 23.2, the purchaser will assume no responsibility for the bid's misplacement or premature opening.

##### **23. Deadline for Submission of Bids**

- 23.1 Bids must be received at the address indicated in ITB 23.2(b) and by the time and date specified in the BDS.

##### **24. Late Bids**

- 24.1 Any bid received by the purchaser after the deadline for submission of bids prescribed by the purchaser pursuant to ITB clause 24 will be rejected and returned unopened to the bidder.

##### **25. Withdrawal of Bids**

- 25.1 The bidder may withdraw its bid after the bid's submission, provided that written notice is received by the purchaser prior to the deadline prescribed for submission of bids.
- 25.2 The bidder's withdrawal notice shall be prepared, sealed, marked, and dispatched in accordance with the provisions of ITB clause 23 but in addition the envelopes shall be clearly marked "WITHDRAWAL". A withdrawal notice may also be sent by fax or email, but followed a signed confirmation copy, postmarked not later than the deadline for submission of bids.
- 25.3 No bid may be withdrawn in the interval between the deadline for submission of bids and the expiration of the period of bid validity specified by the bidder on the bid form. Withdrawal of a bid during this interval may result in the bidder's forfeiture of its bid security, pursuant to ITB clause 20.

## **E. Opening and evaluation of bids**

### **26. Opening of Bids by the Purchaser**

- 26.1 The purchaser will open all bids in the presence of bidders' representatives who choose to attend, at the time, on the date, and at the place specified in the BDS. The bidders' representatives who are present shall sign a register evidencing their attendance.
- 26.2 The bidders' names, withdrawals, bid prices, discounts, and the presence or absence of the requisite bid security and such other details as the purchaser, at its discretion, may consider appropriate, will be announced at the opening. No bid shall be rejected at bid opening, except for late bids, which shall be returned unopened to the bidder pursuant to ITB clause 25.
- 26.3 Bids that are not opened and read out at bid opening shall not be considered further for evaluation, irrespective of the circumstances.
- 26.4 The purchaser will prepare minutes of the bid opening and a copy of which is to be sent promptly to the participating bidders and IFAD.

### **27. Clarification of Bids**

- 27.1 During evaluation of the bids, the purchaser may, at its discretion, ask the bidder for a clarification of its bid. The request for clarification and the response shall be in writing, and no change in the prices or substance of the bid shall be sought, offered, or permitted.

### **28. Preliminary Examination**

- 28.1 The purchaser will examine the bids to determine whether they are complete, whether any computational errors have been made, whether required sureties have been furnished, whether the documents have been properly signed, and whether the bids are generally in order.
- 28.2 Arithmetical errors will be rectified on the following basis. If there is a discrepancy between the unit price and the total price that is obtained by multiplying the unit price and quantity, the unit price shall prevail, and the total price shall be corrected, unless there is an obvious discrepancy in placement of the decimal point. If there is a discrepancy between words and figures, the amount in words will prevail unless the words do not reflect the correct value of the sum of the items' respective value. If the bidder does not accept the correction of the errors, its bid shall be rejected, and its bid security may be forfeited.
- 28.3 The purchaser may waive any minor informality, nonconformity, or irregularity in a bid which does not constitute a material deviation, provided such waiver does not prejudice or affect the relative ranking of any bidder.
- 28.4 Prior to the detailed evaluation, pursuant to ITB clause 30, the purchaser will determine the substantial responsiveness of each bid to the bidding documents. For purposes of these clauses, a substantially responsive bid is one that conforms to all the terms and conditions of the bidding documents without material deviations. Deviations from, or objections or

reservations to critical provisions, such as those concerning bid security (ITB clause 20), applicable law (GCC clause 34), and taxes and duties (GCC clause 36), will be deemed to be a material deviation. The purchaser's determination of a bid's responsiveness is to be based on the contents of the bid itself without recourse to extrinsic evidence.

- 28.5 If a bid is not substantially responsive, it will be rejected by the purchaser and may not subsequently be made responsive by the bidder by correction of the nonconformity.

## **29. Evaluation and Comparison of Bids**

- 29.1 The purchaser will evaluate and compare the bids which have been determined to be substantially responsive, pursuant to ITB clause 29.

- 29.2 The purchaser's evaluation of a bid will exclude and not consider:

- a) in the case of goods manufactured in the purchaser's country or goods of foreign origin already located in the purchaser's country, sales and other similar taxes, which will be payable on the goods if a contract is awarded to the bidder;
- b) in the case of goods of foreign origin offered from abroad, customs duties and other similar import taxes which will be payable on the goods if the contract is awarded to the bidder; and

- 29.3 The purchaser shall then apply the bid evaluation criteria, if any, as indicated in the BDS and thereafter compare the evaluated prices of all substantially responsive bids established in accordance with ITB 30.2, ITB 17 and ITB 29.2 to determine the bid with the "best value for money". The comparison shall be on the basis of CIP (place of final destination) prices for imported goods and EXW prices after applying the aforementioned stipulated bid evaluation criteria, plus cost of inland transportation and insurance to place of destination, for goods manufactured within the borrower's country, together with prices for any required installation, training, commissioning and other services. The evaluation of prices shall not consider custom duties and other taxes levied on imported goods quoted CIP and sales and similar taxes levied in connection with the sale or delivery of goods.

- 29.4 Bids shall be evaluated on item-by-item basis if so indicated in the BDS. Otherwise, if bids are being invited for individual lots (contracts) or for any combination of lots (packages) then the bid evaluation shall be on lot-by-lot basis. Unless otherwise specified in the BDS, prices quoted shall correspond to 100 % of the items specified for each lot and to 100% of the quantities specified for each item of a lot. Bidders wishing to offer discounts for the award of more than one Contract (lot) shall specify in their bid the price reductions applicable to each package, or alternatively, to individual Contracts within the package. Discounts shall be submitted in accordance with ITB 16.6 provided the bids for all lots (contracts) are opened at the same time. The purchaser, after calculating the impact of the offered discounts by the various bidders, shall determine the combination of contract awards that will result in the lowest overall cost of the entire procurement covered by this invitation to bid.

## **30. Abnormally Low Bids**

- 30.1 An abnormally low bid is one where the bid price, in combination with other constituent elements of the bid, appears unreasonably low to the extent that the bid price raises material

concerns with the purchaser as to the capability of the bidder to perform the contract for the offered bid price.

- 30.2 In the event of identification of a potentially abnormally low bid, the purchaser shall seek written clarification from the bidder, including a detailed price analysis of its bid price in relation to the subject matter of the contract, scope, delivery schedule, allocation of risks and responsibilities and any other requirements of the bidding document.
- 30.3 After evaluation of the price analysis, in the event that the purchaser determines that the bidder has failed to demonstrate its capability to perform the contract for the offered bid price, the purchaser shall reject the bid.

### **31. Contacting the Purchaser**

- 31.1 From the time of bid opening to the time of contract award, if any bidder wishes to contact the purchaser on any matter related to the bid, it should do so in writing.
- 31.2 Any effort by a bidder to influence the purchaser in its decisions on bid evaluation, bid comparison, or contract award may result in the rejection of the bidder's bid.

## **F. Award of contract**

### **32. Post- Qualification**

- 32.1 In the absence of pre-qualification, the purchaser will determine to its satisfaction whether the bidder that is selected as having submitted the lowest priced responsive bid is qualified to perform the contract satisfactorily, in accordance with the criteria listed in ITB clause 18.3.
- 32.2 The determination will consider the bidder's financial, technical, and production capabilities. It will be based upon an examination of the documentary evidence of the bidder's qualifications submitted by the bidder, pursuant to ITB clause 18.3, as well as such other information as the purchaser deems necessary and appropriate.
- 32.3 An affirmative determination will be a prerequisite for award of the contract to the bidder. A negative determination will result in rejection of the bidder's bid, in which event the purchaser will proceed to the next lowest evaluated bid to make a similar determination of that bidder's capabilities to perform satisfactorily.

### **33. Award Criteria**

- 33.1 Subject to ITB 37, ITB 38 and ITB 39, the purchaser shall award the contract to the successful bidder whose bid has been determined to be substantially responsive and has been determined to be the bid offering the best value for money as per the stipulated bid evaluation criteria, provided further that the bidder is determined to be qualified to perform the contract satisfactorily.

#### **34. Purchaser's Right to Vary in the BDS Quantities at Time of Award**

- 34.1 The purchaser reserves the right at the time of contract award to increase or decrease, by the percentage indicated, the quantity of goods and related services originally specified in the schedule of requirements without any change in unit price or other terms and conditions.

#### **35. Purchaser's Right to Accept Any Bid and to Reject Any or All Bids**

- 35.1 The purchaser reserves the right to accept or reject any bid, and to annul the bidding process and reject all bids at any time prior to contract award, without thereby incurring any liability to the affected bidder or bidders.

#### **36. Notice of Intention to Award**

- 36.1 Prior to the expiration of the period of bid validity, the purchaser shall send the notice of intent to award to the successful bidder. the notice of intent to award shall include a statement that the purchaser shall issue a formal notification of award and a draft contract agreement after expiration of the period for filing a bid protest by unsuccessful bidders and the resolution of any bid protests and or appeals that are submitted thereof. Delivery of the notice of intent to award shall not constitute the formation of a contract between the purchaser and the successful bidder and no legal or equitable rights shall be created through the delivery of the notice of intent to award.
- 36.2 At the same time when it issues the notice of intent to award, the purchaser shall also notify, in writing, all other bidders of the results of the bidding. The purchaser shall promptly respond in writing to any unsuccessful bidder who, after receiving notification of the bid evaluation results, makes a written request for a debriefing, or submits a formal protest as provided in the IFAD Procurement Handbook.

#### **37. Bid Protests**

- 37.1 Bidders may protest the results of a procurement only in accordance with the rules and within the time periods established in the module M of the IFAD Procurement Handbook. In case the time periods/deadlines for submitting a protest under the borrower's national procurement system differ from those stipulated in the IFAD Procurement Handbook, the borrower's regulation shall apply.

#### **38. Notification of Award**

- 38.1 Upon expiration of the period for timely filing and the resolution of any bid protests (and appeals, as applicable) and prior to the expiration of the period of bid validity, the purchaser will notify the successful bidder in writing by registered letter that its bid has been accepted through a notification of award letter.
- 38.2 Until a formal contract is prepared and executed, the notification of award shall constitute a binding contract.

38.3 Upon the successful bidder's furnishing of the performance security pursuant to ITB clause 41, the purchaser will promptly notify the name of the winning bidder to each unsuccessful bidder and will discharge its bid security, pursuant to ITB clause 20.

### **39. Signing of Contract**

39.1 At the same time as the purchaser notifies the successful bidder that its bid has been accepted, the purchaser will send the bidder the contract form provided in the bidding documents, incorporating all agreements between the parties.

39.2 Within fourteen (14) days of receipt of the contract form, the successful bidder shall sign and date the contract and return it to the purchaser.

### **40. Performance Security**

40.1 Within fourteen (14) days of the receipt of notification of award from the purchaser, the successful bidder shall furnish the performance security in accordance with the conditions of contract, as per the performance security form provided in the bidding documents, or in another form acceptable to the purchaser.

40.2 Failure of the successful bidder to comply with the requirement of ITB clause 40.2 or ITB clause 41.1 shall constitute sufficient grounds for the annulment of the award and forfeiture of the bid security, in which event the purchaser may make the award to the next lowest evaluated bidder or call for new bids after getting IFAD's no objection whenever applicable.

### Section III. Bid Data Sheet (BDS)

The following specific data for the goods to be procured shall complement, supplement, or amend the provisions in the instructions to bidders (ITB). Whenever there is a conflict, the provisions herein shall prevail over those in ITB.

| Introduction                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ITB clause 1.1                     | <b>Name of purchaser/procuring entity: Integrated Natural Resources Management Programme (INReMP), State Department for Agriculture</b>                                                                                                                                                                                                                                                                                                                                                                                                           |
| ITB clause 1.1                     | The procurement number is:<br><b>KEN-2000003938-0024-G-NCB</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| ITB clause 1.1                     | <b>Procurement description: Provision of Group Medical Insurance Cover for Integrated Natural Resources Management Programme (INReMP) Staff</b>                                                                                                                                                                                                                                                                                                                                                                                                   |
| ITB clause 1.2                     | Purchaser country: <b>Kenya</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| ITB clause 2.1                     | The borrower is: <b>Government of Kenya (GoK) through The National Treasury to finance the</b> Integrated Natural Resources Management Programme (INReMP) under the State Department for Agriculture.<br>Other donor than IFAD <b>"N/A"</b><br>The name of the project is: <b>Integrated Natural Resources Management Programme (INReMP)</b>                                                                                                                                                                                                      |
| The bidding documents              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| ITB clause 11.1                    | The purchaser's address is:<br><b>National Programme Coordinator<br/>Programme Coordination and Management Unit, (PCMU)<br/>Integrated Natural Resources Management Programme (INReMP)<br/>P.O. Box 40-30100 Eldoret City, Kenya<br/>AMS Building Eldoret Opposite University of Eldoret<br/>Eldoret - Ziwa Road.</b><br>All requests for clarifications shall be submitted by: <b>1700hrs, 30<sup>th</sup> October, 2026</b><br>The purchaser shall respond to all requests for clarifications by: <b>1700hrs, 2<sup>nd</sup> November, 2026</b> |
| ITB clause 11.2                    | A pre-bid conference <b>shall not</b> be held                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| Preparation and submission of bids |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |

|                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|---------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ITB clause 13.1     | The bid must be submitted in the following language: <b>English</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| ITB clause 16.2 (a) | The port (or point) of destination is: <b>INReMP Programme Coordination and Management Unit (PCMU) Headquarters, Eldoret</b><br><br>The port (or point) of destination is <b>INReMP Programme Coordination and Management Unit (PCMU) Headquarters, Eldoret</b>                                                                                                                                                                                                                                                                                                                                                             |
| ITB clause 16.2 (b) |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| ITB clause 16.5     | The prices quoted by the bidder shall be <b>Fixed</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| ITB clause 17.1     | The currency(ies) of the payment shall be as follows:<br><b>Bidders shall quote in either Kenya Shillings (For those domiciled and registered in Kenya) or United States Dollars (For foreign bidders). The exchange rates to be applied shall be based on the selling rates published by the Central Bank of Kenya on the date of tender opening.</b><br>The currency that shall be used for bid evaluation and comparison is:<br><b>Kenya shillings. The exchange rates to be applied shall be based on the selling rates published by the Central Bank of Kenya on the date of tender opening.</b>                       |
| ITB clause 18.2     | At the present time firms, goods and services from the following countries are excluded from this bidding process: <b>none</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| ITB clause 18.3 (a) | A manufacturer's authorization <b>is Not required.</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| ITB clause 18.3 (b) | The required documentary evidence to be submitted by the bidder to confirm its qualifications to perform the contract is as follows:<br>a) Documentary evidence establishing the bidder's eligibility and qualifications in accordance with ITB 18.3 and the BDS (see post-qualification criteria under ITB 33.1);<br>b) Documentary evidence establishing the goods' conformity to the technical specifications in accordance with ITB 19.1, using the Schedule in Section VI. Technical Specifications. No scoring shall be applied; bids shall be assessed on a pass/fail (Yes/No) basis for substantial responsiveness. |
| ITB clause 18.3 (d) | Other required documentary evidence to be submitted by the bidder to confirm its qualifications to perform the contract is as follows:<br>i Original and one (1) hard copy of the bid<br>ii A Valid Tax compliance certificate.<br>iii PIN Certificate<br>iv Business registration/Incorporation certificates.<br>v A Valid Business Permit from the County Government<br>vi Power of Attorney authorizing signature of the bid by the bidder's representative.                                                                                                                                                             |

- vii CR12 for limited companies detailing the Directors and shares ownership including copies of IDs for ALL Directors listed. The CR12 must have been issued within the **six (6)** months before bid submission.
- viii. Must be validly registered with the Insurance Regulatory Authority (IRA) as a Medical Insurance Provider /Underwriter and a copy of the current license must be submitted.
- ix. Must provide valid membership certificate from the Association of Kenya Insurers (AKI) for the current year (2026) (Certified by The Issuing Body), submit copy of registration certificate.
- x. Must Submit a sample policy document for the medical cover
- xi. Provide a list of all the exclusions under in-patient and outpatient. If the Scheme does not have any exclusions, please confirm the same in writing. If the list or the confirmation is missing then the bidder will be considered as having been nonresponsive to this requirement and therefore disqualified.
- xii. Bid Security (Bank Guarantee) of Kenya Shilling Three Hundred Thousand (Kshs 300,000.00) only and valid for 30 days beyond the original validity period of the Tender, or beyond any period of extension if requested, in form of a bank guarantee from a reputable bank
- xiii. Must provide audited financial statements of account for the last three years (2023-2024-2025)
- xiv. Duly filled and signed certificate of independent tender determination
- xv. Duly filled and signed self-declaration form-SD1
- xvi. Duly filled and signed self-declaration form-SD2
- xvii. Duly filled and signed Declaration and commitment to the code of ethics
- xviii. Duly filled and signed Tender information form
- xix. Duly filled and signed Tender eligibility-confidential business questionnaire form

|                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ITB clause 19.1 (b)    | Spare parts are required to be available for <b>[5]</b> of years of operation where applicable. <b>N/A</b>                                                                                                                                                                                                                                                                                                                                                                                    |
| ITB clause 20.1 & 20.2 | A bid security <b>is</b> required to be submitted with a bid.<br>The amount of bid security shall be not less than <b>Kenya Shillings Three hundred thousand (Kshs 300,000.00) Only</b> and valid for 30 days beyond the original validity period of the Tender, or beyond any period of extension if requested, 2026 in form of a bank guarantee from a reputable bank                                                                                                                       |
| ITB clause 21.1        | The period of bid validity shall be <b>180 days after</b> the date for submission of bids.                                                                                                                                                                                                                                                                                                                                                                                                    |
| ITB clause 22.1        | Bidders shall submit one (1) original and one (1) hard copy of the bid. In addition, bidders are requested to provide a soft copy of the bid in a flash disk for ease of evaluation. In case of any discrepancy, the signed hard copy original shall prevail. Failure to provide the flash disk shall not, by itself, render the bid non-responsive.                                                                                                                                          |
| ITB clause 23.2 (b)    | The address for submission of bids is:<br><b>National Programme Coordinator<br/>Programme Coordination and Management Unit, (PCMU)<br/>Integrated Natural Resources Management Programme (INReMP):<br/>P.O. Box 40-30100 Eldoret City, Kenya<br/>AMS Building Eldoret Opposite University of Eldoret<br/>Eldoret - Ziwa Road.</b>                                                                                                                                                             |
| ITB clause 23.2 (c)    | Invitation for bids title and procurement number:<br>Provision Of Group Medical Insurance Cover for Integrated Natural Resources Management Programme (INReMP) Staff<br>Procurement Reference Number:<br><b>KEN-2000003938-0024-G-NCB</b>                                                                                                                                                                                                                                                     |
| ITB clause 24.1        | Deadline for submission of bids is <b>Friday, 6<sup>th</sup> November 2026 at 11.00 A.M East African Time.</b>                                                                                                                                                                                                                                                                                                                                                                                |
| ITB clause 27.1        | The opening of bids shall take place at <b>Friday, 6<sup>th</sup> November 2026 at 11.00 A.M East African Time, in First Floor Boardroom AMS Building, Opposite University of Eldoret, Eldoret- Ziwa Road.</b>                                                                                                                                                                                                                                                                                |
| ITB clause 30.4        | Evaluation will be based upon:<br><br>Bids will be evaluated in accordance with ITB 30. Only bids determined to be substantially responsive under ITB 29 will be considered. The purchaser shall compare the evaluated prices of all substantially responsive bids to determine the bid with the lowest evaluated price (or lowest evaluated cost in case of admissible minor deviations), in accordance with ITB 30.2 and 30.3. No point-based technical or financial scoring shall be used. |

| 1. | Mandatory Evaluation Criteria: -                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Mandatory<br>(Yes/ NO) |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|
|    | <ul style="list-style-type: none"> <li>i. Original and one (1) hard copy of the bid</li> <li>ii. A Valid Tax compliance certificates.</li> <li>iii. PIN Certificate</li> <li>iv. Business registration/Incorporation certificates.</li> <li>v. A Valid Business Permit from the County Government</li> <li>vi. Power of Attorney authorizing signature of the bid by the bidder's representative.</li> <li>vii. CR12 for limited companies detailing the Directors and shares ownership including copies of IDs for ALL Directors listed. The CR12 must have been issued within the <b>six (6)</b> months before bid submission</li> <li>viii. Must be registered with the Insurance Regulatory Authority (IRA) for the year 2026 as a Medical Insurance Provider /Underwriter and a copy of the current license must be submitted. Must provide valid membership certificate from the Association of Kenya Insurers</li> <li>ix. Registration as a member of the Association of Kenya Insurers (AKI) for the current year (2026) (Certified by The Issuing Body), submit copy of registration certificate</li> <li>x. Must Submit a sample policy document for the medical cover</li> <li>xi. Provide a list of all the exclusions under in-patient and outpatient. If the Scheme does not have any exclusions, please confirm the same in writing. If the list or the confirmation is missing then the bidder will be considered as having been nonresponsive to this requirement and therefore disqualified.</li> <li>xii. Bid Security (Bank Guarantee) of Kenya Shilling Three Hundred Thousand (Kshs 300,000.00) only and valid for 30 days beyond the original validity period of the Tender, or beyond any period of extension if requested in form of a bank guarantee from a reputable bank</li> <li>xiii. Must provide audited financial statements of account for the last three years (2023-2024-2025)</li> <li>xiv. Professional indemnity insurance cover of at least Kshs 10 million</li> <li>xv. Duly filled and signed certificate of independent tender determination</li> <li>xvi. Duly filled and signed self-declaration form-SD1</li> <li>xvii. Duly filled and signed self-declaration form-SD2</li> <li>xviii. Duly filled and signed Declaration and commitment to the code of ethics</li> <li>xix. Duly filled and signed Tender information form</li> </ul> |                        |

|    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |  |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
|    | xx. Duly filled and signed Tender eligibility-confidential business questionnaire form                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |  |
| 2. | <b>Technical Responsiveness</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |  |
|    | <p>Bids will be examined for compliance with the Technical Specifications in Section VI. Bidders shall complete the conformity column by indicating 'Yes' or 'No' for each requirement. Only bids that are substantially responsive to all mandatory technical requirements, as determined under ITB 29 and ITB 19, will be considered for financial evaluation. No scores shall be assigned.</p> <p>TECHNICAL EVALUATION ATTRIBUTE</p> <ol style="list-style-type: none"> <li>1. Submit full details of what the cover provides and submission of conformity write-up to the schedule of Provision of Group Medical Insurance Cover for Integrated Natural Resources Management Programme (INReMP) Staff <b>KEN-2000003938-0024-G-NCB</b> as detailed in the bid document</li> <li>2. Full details of what the cover excludes and other expected limits. Provide a letter addressed to the Programme on any policy exclusions relating to the Outpatient and the Inpatient Medical Insurance Cover.</li> <li>3. Must provide list of proof of medical service providers countrywide and their geographical distribution in Kenya and a schedule of approved hospitals, expertise in all the 47 Counties including branch managers names and contacts.</li> <li>4. Must provide evidence of use of biometric identification system (Provide sample of the same)</li> <li>5. Bidder to Provide Certified Audited Annual Financial statements for 2025.</li> <li>6. Bidder Gross Premiums in the year 2025 of not less than Kshs. 100 million excluding Motor Insurance premiums.</li> <li>7. Bidder to provide a list of three current largest corporate clients on medical cover during year 2026 and each of the client must have paid a premium of at least Ksh 20 million.</li> <li>8. Facilitate Health Talks at least twice a year.</li> <li>9. Cash claims for areas not covered by provider network or where the attending doctor is not in the panel and if the patient has a long history with the doctor.</li> </ol> <p>Financial Evaluation</p> |  |

|  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |  |
|--|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
|  | <p>Financial Evaluation: The purchaser will evaluate the prices of all substantially responsive bids in accordance with ITB 30.2 and 30.3 to determine the lowest evaluated price.</p> <p>The following shall be considered:</p> <ol style="list-style-type: none"> <li>1) Bid price</li> <li>2) Price adjustments due to unconditional discounts</li> <li>3) Correction of Arithmetic errors discovered by the procuring entity</li> </ol> <p>Post qualification</p> <ol style="list-style-type: none"> <li>1. Documentary evidence to be submitted by the bidder to confirm its qualifications to perform the contract is as follows: <ol style="list-style-type: none"> <li>a) The Tenderer shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means (independent of any contractual advance payment) sufficient to a) Meet the services cash flow of Kenya Shillings 100 million/Submit Evidence of annual premiums of Kshs. 100,000,000.00/cash flow/ Access to credit requirements for Kshs 100,000,000.00</li> <li>b) Current Ratio not less than 1, current assets/current liabilities</li> <li>c) Total assets not less than 300million.</li> </ol> </li> <li>2. At least three (3) contract (s) of a similar nature executed within Kenya, that have been satisfactorily and substantially completed as a prime insurer, each of minimum value Kenya shillings 20,000,000.00 of annual premiums within the last five years.</li> <li>3. Proof of prompt claims settlement ability to the medical service providers. (at least 3 letters of reference from health providers, all dated within the last six months).</li> <li>4. The bidder must demonstrate its ability, knowledge, and experience in the provision of medical insurance services. They shall give details of key personnel charged with management of the medical scheme. At least three key staff with five years' experience in provision of medical insurance services with academic qualification in insurance, actuarial sciences or related field. Indicate: <ul style="list-style-type: none"> <li>• Number of key personnel</li> <li>• Qualification</li> <li>• Period with the Company</li> </ul> </li> </ol> |  |
|--|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |  |
|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
|                       | <ul style="list-style-type: none"> <li>• Total number of years in medical insurance management</li> </ul> <p>5. The bidder must give at least three (3) current clients: indicating the type of cover, duration, and premiums for the medical scheme cover provided per each client, as per template provided. Indicate:</p> <ul style="list-style-type: none"> <li>• Name of client</li> <li>• Type of Cover</li> <li>• Duration of cover/Contract</li> <li>• Premiums paid</li> </ul> <p>The bidder shall provide current letters of recommendation issued within six months prior to the bidding period.</p> |  |
| <b>Contract award</b> |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |  |
| ITB clause 35.1       | The percentage for quantity increases or decrease is <b>15</b> percent                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |  |

#### **Section IV. Bidding Forms**

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## 1. Bid Form and Price Schedules

Date: \_\_\_\_\_

Procurement no: \_\_\_\_\_

We, the undersigned, declare that:

1. We have examined and have no reservations to the bidding document, including addenda thereto issued in accordance with the instructions to bidders.
2. We offer to supply in conformity with the bidding document and in accordance with the delivery schedules specified in Section V. Schedule of Requirements referenced above.
3. The total price of our bid, excluding any discounts offered in paragraph 4 below is: [insert the total bid price in words and figures, including the various amounts and respective currencies].
4. The discounts offered and the methodology for their application are:
  - Discounts: If our bid is accepted, the following discounts shall apply. [Specify in detail each discount offered and the specific item of the schedule of requirements to which it applies.]
  - Methodology of application of the discounts: The discounts shall be applied using the following: [Specify in detail the method that shall be used to apply the discount in the case when the procurement is subdivided into lots.]
5. Our bid shall be valid from the date fixed for the bid submission deadline in accordance with ITB sub-clause 24.1 through the period of time established in accordance with ITB sub-clause 21.1, and it shall remain binding upon us and may be accepted at any time before the expiration of that period.
6. If our bid is accepted, we commit to obtain a performance security in accordance with GCC clause 10 and as described in ITB clause 41 for the due performance of the contract.
7. We, including any subcontractors or sub-suppliers for any part of the contract, have nationalities from eligible countries [Insert the nationality of the bidder, including that of all parties that comprise the bidder, if the bidder is a joint venture, and the nationality of each subcontractor and supplier].
8. Our firm, its associates, including any subcontractors or suppliers for any part of the contract, have not been declared ineligible by the Fund and have not been subject to sanctions or debarments under the laws or official regulations of the purchaser's country or not been subject to a debarment recognized under the Agreement for Mutual Enforcement of Debarment Decisions (the "Cross-Debarment Agreement")<sup>3</sup> in accordance with ITB clause 4, beyond those declared in paragraph 13 of this bid submission form.

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<sup>3</sup> The Cross-Debarment Agreement was entered into by the World Bank Group, the Inter-American Development Bank, the African Development Bank, the Asian Development Bank and the European Bank for Reconstruction and Development, additional information may be located at: <http://crossdebarment.org/>.

9. We acknowledge and accept the IFAD Revised Policy on Preventing Fraud and Corruption in its Activities and Operations. We certify that neither our firm nor any person acting for us or on our behalf has engaged in any prohibited practices as provided in ITB clause 3. Further, we acknowledge and understand our obligation to report to [anticorruption@ifad.org](mailto:anticorruption@ifad.org) any allegation of prohibited practice that comes to our attention during the selection process or the contract execution. As part of this, we certify that:

- (a) The prices in this bid have been arrived at independently, without any consultation, communication, or agreement with any other party, including another bidder or competitor, or for the purpose of restricting competition, relating to:
  - i) those prices;
  - ii) the intention to submit an offer; or
  - iii) the methods or factors used to calculate the prices offered.
- (b) The prices in this bid have not been and will not be knowingly disclosed by us, directly or indirectly, to any other bidder or competitor before bid opening unless otherwise explicitly required by law; and
- (c) No attempt has been made or will be made by us to induce any other bidder to submit or not to submit an offer for the purpose of restricting competition.

10. We acknowledge and accept the IFAD Policy on Preventing and Responding to Sexual Harassment, Sexual Exploitation and Abuse. We certify that neither our firm nor any person acting for us or on our behalf has engaged in any sexual harassment, sexual exploitation or abuse, as provided in ITB Clause 4. Further, we acknowledge and understand our obligation to report to [ethicsoffice@ifad.org](mailto:ethicsoffice@ifad.org) any allegation of sexual harassment, sexual exploitation and abuse that comes to our attention during the selection process or the contract execution.

11. The following commissions, gratuities, or fees have been paid or are to be paid with respect to the bid process: [Insert complete name of each recipient, its full address, the reason for which each commission or gratuity was paid and the amount and currency of each such commission or gratuity].

| Name of recipient | Address | Reason | Amount |
|-------------------|---------|--------|--------|
|                   |         |        |        |
|                   |         |        |        |
|                   |         |        |        |
|                   |         |        |        |

(If none has been paid or is to be paid, indicate "none.")

12. We declare that neither the bidder nor any of its directors, partners, proprietors, key personnel, agents, subconsultants, sub-contractors, consortium and joint venture partners have any actual, potential or perceived conflict of interest as defined in ITB Clause 7.3 regarding this bid process or the execution of the contract. [Insert if needed: "other than the following:" and provide a detailed account of the actual, potential or perceived conflict]. We understand that we have an ongoing disclosure obligation on such actual,

potential or perceived conflicts of interest and shall promptly inform the purchaser and the Fund, should any such actual, potential or perceived conflicts of interest arise at any stage of the procurement process or contract execution.

13. The following criminal convictions, administrative sanctions (including debarments) and/or temporary suspensions have been imposed on the bidder and/or any of its directors, partners, proprietors, key personnel, agents, sub-consultants, sub-contractors, consortium and joint venture partners:

| Nature of the measure (i.e., criminal conviction, administrative sanction or temporary suspension) | Imposed by | Name of party convicted, sanctioned or suspended (and relationship to bidder) | Grounds for the measure (i.e., fraud in procurement or corruption in contract execution) | Date and time (duration) of measure |
|----------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|-------------------------------------|
|                                                                                                    |            |                                                                               |                                                                                          |                                     |
|                                                                                                    |            |                                                                               |                                                                                          |                                     |

If no criminal convictions, administrative sanctions, or temporary suspensions have been imposed, indicate "none".

14. We acknowledge and understand that we shall promptly inform the purchaser about any material change regarding the information provided in this bid form.

15. We further understand that the failure to properly disclose any of information in connection with this bid form may lead to appropriate actions, including our disqualification as bidders, the termination of the contract and any other as appropriate under the IFAD Policy on Preventing Fraud and Corruption in its Projects and Operations.

16. We understand that this bid, together with your written acceptance thereof included in your Notification of Award, shall only constitute a binding contract between the firm and the purchaser subject to the preparation and execution of the appropriate contract.

17. We understand that you are not bound to accept the lowest evaluated bid or any other bid that you may receive.

**Signed:**

Authorized Person: \_\_\_\_\_

In the capacity of: \_\_\_\_\_

Duly authorized to sign on behalf of: \_\_\_\_\_

## 2. Price Schedule for Goods Offered from Abroad

Name of bidder \_\_\_\_\_, Procurement no. \_\_\_\_\_, Page of \_\_\_\_\_

| 1    | 2                  | 3                 | 4        | 5                                               | 6                                          | 7                                                                |
|------|--------------------|-------------------|----------|-------------------------------------------------|--------------------------------------------|------------------------------------------------------------------|
| Item | Description        | Country of origin | Quantity | Unit price CIF port of entry or CIP named place | Total CIF or CIP price per item (col. 4x5) | Unit price of inland delivery and insurance to final destination |
| 1.   |                    |                   |          |                                                 |                                            |                                                                  |
|      | <b>Total sum</b>   |                   |          |                                                 |                                            |                                                                  |
|      | <b>Grand total</b> |                   |          |                                                 |                                            |                                                                  |

Signature of bidder \_\_\_\_\_

**Note:** In the case of discrepancy between the unit price and the total, prices shall be adjusted by the purchaser in accordance with the provisions of clause 29.2 of the instructions to bidders.

The Contract period shall be **three (3) years, renewable once, subject to satisfactory performance.** However, the Client reserves right to terminate the contract as a result of unsatisfactory performance at any time after giving notice to the selected Service Provider.

### 3. Price Schedule for Goods Offered from within the Purchaser's Country

Name of bidder \_\_\_\_\_ . Procurement No. \_\_\_\_\_. Page \_ of \_.

| 1<br>Item | 2<br>Description | 3<br>Country<br>of<br>origin | 4<br>Quantity | 5<br>Unit<br>price<br>EXW<br>per<br>item | 6<br>Total<br>price<br>EXW<br>per<br>item<br>(cols.<br>4x5) | 7<br>Unit prices<br>per item<br>delivered<br>to final<br>destination | 8<br>Sales and other taxes<br>payable if contract is<br>awarded |
|-----------|------------------|------------------------------|---------------|------------------------------------------|-------------------------------------------------------------|----------------------------------------------------------------------|-----------------------------------------------------------------|
| 1.        |                  |                              |               |                                          |                                                             |                                                                      |                                                                 |
| 2.        |                  |                              |               |                                          |                                                             |                                                                      |                                                                 |
| 3.        |                  |                              |               |                                          |                                                             |                                                                      |                                                                 |

Signature of bidder: \_\_\_\_\_

Note: In the case of discrepancy between the unit price and the total, prices shall be adjusted by the purchaser in accordance with the provisions of clause 29.2 of the instructions to bidders.

### 4. Price Schedule for Related Services

| 1<br>S/No. | 2<br>Title of the Service | 3<br>Quantity<br>Units | 4<br>Price per Unit | 5<br>Sub-Total |
|------------|---------------------------|------------------------|---------------------|----------------|
|            |                           |                        |                     |                |
|            |                           |                        |                     |                |
|            |                           |                        |                     |                |
|            |                           |                        |                     |                |
|            |                           |                        |                     |                |
|            |                           |                        |                     |                |

Signature of bidder \_\_\_\_\_

Note: In the case of discrepancy between the unit price and the total, prices shall be adjusted by the purchaser in accordance with the provisions of clause 29.2 of the instructions to bidders.

## 5. Bid Security Form

Whereas [name of the bidder] (hereinafter called "the Bidder") has submitted its bid dated [date of submission of bid] for the supply of [name and/or description of the goods] (hereinafter called "the Bid").

KNOW ALL PEOPLE by these presents that WE [name of bank] of [name of country], having our registered office at [address of bank] (hereinafter called "the Bank"), are bound unto [name of purchaser]

(hereinafter called "the Purchaser") in the sum of [amount] for which payment well and truly to be made to the said Purchaser, the Bank binds itself, its successors, and assigns by these presents. Sealed with the common seal of the said bank this day of

\_\_\_\_\_20\_\_\_\_.

The conditions of this obligation are:

1. If the Bidder:

(a) withdraws its Bid during the period of bid validity specified by the Bidder on the bid form; or

(b) does not accept the correction of errors in accordance with the instructions to bidders; or

2. If the Bidder, having been notified of the acceptance of its Bid by the Purchaser during the period of bid validity:

(a) fails or refuses to execute the contract form, if required; or

(b) fails or refuses to furnish the performance security, in accordance with the instructions to bidders;

we undertake to pay to the Purchaser up to the above amount upon receipt of its first written demand, without the Purchaser having to substantiate its demand, provided that in its demand the Purchaser will note that the amount claimed by it is due to it, owing to the occurrence of one or both of the two conditions, specifying the occurred condition or conditions.

This guarantee will remain in force up to and including 24<sup>th</sup> May 2026, thirty (30) days after the period of bid validity, and any demand in respect thereof should reach the Bank not later than the above date.

\_\_\_\_\_  
[signature of the bank]

## 6. Manufacturer's Authorization Form

[See clause 18.3 (a) of the instructions to bidders.]

To: [name of the purchaser]

WHEREAS [name of the manufacturer] who are established and reputable manufacturers of [name and/or description of the goods] having factories at [address of factory] do hereby authorise [name and address of agent] to submit a bid, and subsequently negotiate and sign the contract with you against procurement no. [reference of the invitation to bid] for the above goods manufactured by us.

We hereby extend our full guarantee and warranty as per clause 18 of the general conditions of contract for the goods offered for supply by the above firm against this invitation for bids.

---

[signature for and on behalf of manufacturer]

[Note: This letter of authority should be on the letterhead of the manufacturer and should be signed by a person competent and having the power of attorney to bind the manufacturer. it should be included by the bidder in its bid.]

## 7. CERTIFICATE OF INDEPENDENT TENDER DETERMINATION

I, the undersigned, in submitting the accompanying Letter of Tender to the \_\_\_\_\_  
\_\_\_\_\_[Name of Procuring Entity] for: \_\_\_\_\_  
\_\_\_\_\_[Name and number of tender] in response to the request for  
tenders made by: \_\_\_\_\_[Name of Tenderer] do hereby make the following  
statements that I certify to be true and complete in every respect:  
I certify, on behalf of \_\_\_\_\_[Name of Tenderer] that:

1. I have read and I understand the contents of this Certificate;
2. I understand that the Tender will be disqualified if this Certificate is found not to be true and complete in every respect;
3. I am the authorized representative of the Tenderer with authority to sign this Certificate, and to submit the Tender on behalf of the Tenderer;
4. For the purposes of this Certificate and the Tender, I understand that the word "competitor" shall include any individual or organization, other than the Tenderer, whether or not affiliated with the Tenderer, who:
  - a) has been requested to submit a Tender in response to this request for tenders;
  - b) could potentially submit a tender in response to this request for tenders, based on their qualifications, abilities or experience;
5. The Tenderer discloses that [check one of the following, as applicable]:
  - a) The Tenderer has arrived at the Tender independently from, and without consultation, communication, agreement or arrangement with, any competitor;
  - b) the Tenderer has entered into consultations, communications, agreements or arrangements with one or more competitors regarding this request for tenders, and the Tenderer discloses, in the attached document(s), complete details thereof, including the names of the competitors and the nature of, and reasons for, such consultations, communications, agreements or arrangements;
6. In particular, without limiting the generality of paragraphs (5)(a) or (5)(b) above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:
  - a) prices;
  - b) methods, factors or formulas used to calculate prices;
  - c) the intention or decision to submit, or not to submit, a tender; or
  - d) the submission of a tender which does not meet the specifications of the request for Tenders; except as specifically disclosed pursuant to paragraph (5)(b) above;
7. In addition, there has been no consultation, communication, agreement or arrangement with any competitor regarding the quality, quantity, specifications or delivery particulars of the works or services to which this request for tenders relates, except as

specifically authorized by the procuring authority or as specifically disclosed pursuant to paragraph (5)(b) above;

8. The terms of the Tender have not been, and will not be, knowingly disclosed by the Tenderer, directly or indirectly, to any competitor, prior to the date and time of the official tender opening, or of the awarding of the Contract, whichever comes first, unless otherwise required

by law or as specifically disclosed pursuant to paragraph (5)(b) above.

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

[Name, title and signature of authorized agent of Tenderer and Date]

**FORM SD1**

**8. SELF-DECLARATION FORMS**

**SELF DECLARATION THAT THE PERSON/TENDERER IS NOT DEBARRED IN THE MATTER OF THE PUBLIC PROCUREMENT AND ASSET DISPOSAL ACT 2015.**

I ..... of Post Office Box ..... being a resident of ..... in the Republic of .....do hereby make a statement as follows: -

1. THAT I am the Company Secretary/ Chief Executive/Managing Director/Principal Officer/Director of ..... (insert name of the Company) who is a Bidder in respect of **Tender No.** ..... for..... (insert tender title / description) for.....(insert name of the Procuring entity) and duly authorized and competent to make this statement.
2. THAT the aforesaid Bidder, its directors and subcontractors have not been debarred from participating in procurement proceeding under Part IV of the Act.
3. THAT what is deponed to herein above is true to the best of my knowledge, information and belief.

.....  
(Title)

.....  
(Signature)

.....  
(Date)

**Bidder Official Stamp**

**FORM SD2**

**9. SELF DECLARATION THAT THE PERSON/TENDERER WILL NOT ENGAGE IN ANY CORRUPT OR FRAUDULENT PRACTICE**

I, ..... of P.O. Box ..... being a resident of ..... in the Republic of ..... do hereby make a statement as follows: -

1. THAT I am the Chief Executive / Managing Director / Principal Officer / Director Of ..... (Insert name of the Company) who is a Bidder in respect of Tender No: ..... for ..... (Insert tender title / description) for ..... (Insert name of the Procuring entity) and duly authorized and competent to make this statement.
2. THAT the aforesaid Bidder, its servants and/or agents /subcontractors will not engage in any corrupt or fraudulent practice and has not been requested to pay any inducement to any member of the Board, Management, Staff and/or employees and/or agents of ..... (Insert name of the Procuring entity) which is the procuring entity.
3. THAT the aforesaid Bidder, its servants and/or agents /subcontractors have not offered any inducement to any member of the Board, Management, Staff and/or employees and/or agents of ..... (Name of the procuring entity).
4. THAT the aforesaid Bidder will not engage/has not engaged in any corrosive practice with other bidders participating in the subject tender.
5. THAT what is deponed to herein above is true to the best of my knowledge information and belief.

.....  
(Title)

.....  
(Signature)

.....  
(Date)

**Bidder's Official Stamp**

## 10. DECLARATION AND COMMITMENT TO THE CODE OF ETHICS

I..... (Person) on behalf of (Name of the Business/ Company/Firm) ..... declare that I have read and fully understood the contents of the Public Procurement & Asset Disposal Act, 2015, Regulations and the Code of Ethics for persons participating in Public Procurement and Asset Disposal and my responsibilities under the Code.

I do hereby commit to abide by the provisions of the Code of Ethics for persons participating in Public Procurement and Asset Disposal.

Name of Authorized

Signatory: .....

Sign: .....

Position: .....

Office address: ..... Telephone .....

E-mail: .....

Name of the Firm /Company: .....

Date: .....

**(Company Seal/ Rubber Stamp where applicable)**

Witness Name: .....

Sign: .....

Date: .....

## **11. APPENDIX 1- FRAUD AND CORRUPTION**

### **1. Purpose**

- 1.1 The Government of Kenya's Anti-Corruption and Economic Crime laws and their sanction's policies and procedures, Public Procurement and Asset Disposal Act (no. 33 of 2015) and its Regulation, and any other Kenya's Acts or Regulations related to Fraud and Corruption, and similar offences, shall apply with respect to Public Procurement Processes and Contracts that are governed by the laws of Kenya.

### **2. Requirements**

- 2.1 The Government of Kenya requires that all parties including Procuring Entities, Tenderers, (applicants/proposers), Consultants, Contractors and Suppliers; any Sub-contractors, Sub-consultants, Service providers or Suppliers; any Agents (whether declared or not); and any of their Personnel, involved and engaged in procurement under Kenya's Laws and Regulation, observe the highest standard of ethics during the procurement process, selection and contract execution of all contracts, and refrain from Fraud and Corruption and fully comply with Kenya's laws and Regulations as per paragraphs 1.1 above.
- 2.2 Kenya's public procurement and asset disposal act (no. 33 of 2015) under Section 66 describes rules to be followed and actions to be taken in dealing with Corrupt, Coercive, Obstructive, Collusive or Fraudulent practices, and Conflicts of Interest in procurement including consequences for offences committed. A few of the provisions noted below highlight Kenya's policy of no tolerance for such practices and behaviour:
- i A person to whom this Act applies shall not be involved in any corrupt, coercive, obstructive, collusive or fraudulent practice; or conflicts of interest in any procurement or asset disposal proceeding;
  - ii A person referred to under subsection (1) who contravenes the provisions of that sub-section commits an offence;
  - iii Without limiting the generality of the subsection (1) and (2), the person shall be:
    - a) disqualified from entering into a contract for a procurement or asset disposal proceeding; or
    - b) if a contract has already been entered into with the person, the contract shall be voidable;
  - iv The voiding of a contract by the procuring entity under subsection (7) does not limit any legal remedy the procuring entity may have;
  - v An employee or agent of the procuring entity or a member of the Board or committee of the procuring entity who has a conflict of interest with respect to a procurement: -
    - a) shall not take part in the procurement proceedings;
    - b) shall not, after a procurement contract has been entered into, take part in any decision relating to the procurement or contract; and
    - c) shall not be a subcontractor for the bidder to whom was awarded contract, or a member of the group of bidders to whom the contract was awarded, but the subcontractor appointed shall meet all the requirements of this Act.
  - vi An employee, agent or member described in subsection (1) who refrains from doing anything prohibited under that subsection, but for that subsection, would have been within his or her duties shall disclose the conflict of interest to the procuring entity;

- vii If a person contravenes subsection (1) with respect to a conflict of interest described in subsection (5)(a) and the contract is awarded to the person or his relative or to another person in whom one of them had a direct or indirect pecuniary interest, the contract shall be terminated and all costs incurred by the public entity shall be made good by the awarding officer. Etc.

2.3 In compliance with Kenya's laws, regulations and policies mentioned above, the Procuring Entity:

a) Defines broadly, for the purposes of the above provisions, the terms set forth below as follows:

- i "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
- ii "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
- iii "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
- iv "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
- v "obstructive practice" is:
  - deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede investigation by Public Procurement Regulatory Authority (PPRA) or any other appropriate authority appointed by Government of Kenya into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
  - acts intended to materially impede the exercise of the PPRA's or the appointed authority's inspection and audit rights provided for under paragraph 2.3 e. below.

b) Defines more specifically, in accordance with the above procurement Act provisions set forth for fraudulent and collusive practices as follows:

"fraudulent practice" includes a misrepresentation of fact in order to influence a procurement or disposal process or the exercise of a contract to the detriment of the procuring entity or the tenderer or the contractor, and includes collusive practices amongst tenderers prior to or after tender submission designed to establish tender prices at artificial non-competitive levels and to deprive the procuring entity of the benefits of free and open competition.

1

- b) Rejects a proposal for award of a contract if PPRA determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;

c) Pursuant to the Kenya's above stated Acts and Regulations, may sanction or debar or recommend to appropriate authority (ies) for sanctioning and debarment of a firm or individual, as applicable under the Acts and Regulations;

d) Requires that a clause be included in Tender documents and Request for Proposal documents requiring (i) Tenderers (applicants/proposers), Consultants, Contractors, and Suppliers, and their Sub-contractors, Subconsultants, Service providers, Suppliers, Agents personnel, permit the PPRA or any other appropriate authority appointed by Government of Kenya to inspect all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the PPRA or any other appropriate authority appointed by Government of Kenya; and

e) Pursuant to Section 62 of the above Act, requires Applicants/Tenderers to submit along with their Applications/Tenders/Proposals a "Self-declaration Form" as included in the procurement document declaring that they and all parties involved in the procurement process and contract execution have not engaged/will not engage in any corrupt or fraudulent practices.

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<sup>1</sup>For the avoidance of doubt, a party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and tendering, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

<sup>2</sup> Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Investigating Authority or persons appointed by the Procuring Entity to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

## 12. TENDERER INFORMATION FORM

[The tenderer shall fill in this Form in accordance with the instructions indicated below. No alterations to its format shall be permitted and no substitutions shall be accepted.]

Date: ..... [insert date (as day, month and year) of Tender submission]

**Tender Name and Identification:** ..... [Insert identification]

Alternative No.: ..... [insert identification No if this is a Tender for an

alternative] Page \_\_\_\_\_ of \_\_\_\_\_ pages

|                                                                                                                                                                                                                                                                                                                                                |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Tenderer's Name _____ [insert Tenderer's legal name]                                                                                                                                                                                                                                                                                        |
| 2. In case of JV, legal name of each member: _____ [insert legal name of each member in JV]                                                                                                                                                                                                                                                    |
| 3. Tenderer's actual or intended country of registration: _____ [insert actual or intended country of registration]                                                                                                                                                                                                                            |
| 4. Tenderer's year of registration: _____ [insert Tenderer's year of registration]                                                                                                                                                                                                                                                             |
| 5. Tenderer's Address in country of registration: _____ [insert Tenderer's legal address in country of registration]                                                                                                                                                                                                                           |
| 6. Tenderer's Authorized Representative Information<br>Name: _____<br>[insert Authorized Representative's name]<br>Address: _____ [insert Authorized Representative's Address]<br>Telephone/Fax numbers: _____ [insert Authorized Representative's telephone/fax numbers]<br>Email Address: [insert Authorized Representative's email address] |

7. Attached are copies of original documents of [check the box(es) of the attached original documents]

☐ For Kenyan Tenderers a current tax clearance certificate or tax exemption certificate issued by the Kenya Revenue Authority

☐ Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above,

☐ In case of JV, letter of intent to form JV or JV agreement,

In case of state-owned enterprise or institution, documents establishing

(i) 1) Legal and financial autonomy

(ii) 2) Operation under commercial law

(iii) 3) Establishing that the tenderer is not under the supervision of the Procuring Entity

2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.

### 13. TENDERER'S ELIGIBILITY- CONFIDENTIAL BUSINESS QUESTIONNAIRE FORM

#### a) Instruction to Tenderer

Tender is instructed to complete the particulars required in this Form, one form for each entity if Tender is a JV. Tenderer is further reminded that it is an offence to give false information on this Form.

#### A. Tenderer's details

|   | ITEM                                                  | DESCRIPTION                                                                                                                  |
|---|-------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|
| 1 | Name of the Procuring Entity                          |                                                                                                                              |
| 2 | Name of the Tenderer                                  |                                                                                                                              |
| 3 | Full Address and Contact Details of the Tenderer.     | 1. Country<br>2. City<br>3. Location<br>4. Building<br>5. Floor<br>6. Postal Address<br>7. Name and email of contact person. |
| 4 | Reference Number of the Tender                        |                                                                                                                              |
| 5 | Date and Time of Tender Opening                       |                                                                                                                              |
| 6 | Current Trade License No and Expiring date            |                                                                                                                              |
| 7 | Maximum value of business which the Tenderer handles. |                                                                                                                              |
| 8 |                                                       |                                                                                                                              |

#### General and Specific Details

#### b) Sole Proprietor, provide the following details.

Name in full: \_\_\_\_\_

Age: \_\_\_\_\_ Nationality \_\_\_\_\_

Country of Origin \_\_\_\_\_ Citizenship \_\_\_\_\_

#### c) Partnership, provide the following details.

|   | Names of Partners | Nationality | Citizenship | % owned | Shares |
|---|-------------------|-------------|-------------|---------|--------|
| 1 |                   |             |             |         |        |
| 2 |                   |             |             |         |        |

|   |  |  |  |  |
|---|--|--|--|--|
| 3 |  |  |  |  |
|---|--|--|--|--|

**d) Registered Company**, provide the following details.

- i) Private or public Company \_\_\_\_\_
- ii) State the nominal and issued capital of the Company: -  
 Nominal Kenya Shillings (Equivalent) .....  
 Issued Kenya Shillings (Equivalent) .....
- iii) Give details of Directors as follows.

|   | <b>Names of Director</b> | <b>Nationality</b> | <b>Citizenship</b> | <b>% Shares owned</b> |
|---|--------------------------|--------------------|--------------------|-----------------------|
| 1 |                          |                    |                    |                       |
| 2 |                          |                    |                    |                       |
| 3 |                          |                    |                    |                       |

**e) DISCLOSURE OF INTEREST**

Interest of the Firm in the Procuring Entity.

(i) Are there any person/persons in .....

(Name of Procuring Entity) who has an interest or relationship in this firm? Yes/No

.....

If yes, provide details as follows.

|   | <b>Names of Person</b> | <b>Designation in the Procuring Entity</b> | <b>Interest or Relationship with Tenderer</b> |
|---|------------------------|--------------------------------------------|-----------------------------------------------|
| 1 |                        |                                            |                                               |
| 2 |                        |                                            |                                               |
| 3 |                        |                                            |                                               |

**(ii) Conflict of interest disclosure**

|   | Type of Conflict                                                                                                                                                                                                                                                                   | Disclosure<br>YES OR NO | If YES provide details of<br>the relationship with<br>Tenderer |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------------------------------------------------------|
| 1 | Tenderer is directly or indirectly controlled by or is under common control with another tenderer.                                                                                                                                                                                 |                         |                                                                |
| 2 | Tenderer receives or has received any direct or indirect subsidy from another tenderer.                                                                                                                                                                                            |                         |                                                                |
| 3 | Tenderer has the same legal representative as another tenderer                                                                                                                                                                                                                     |                         |                                                                |
|   | Type of Conflict                                                                                                                                                                                                                                                                   | Disclosure<br>YES OR NO | If YES provide details of<br>the relationship with<br>Tenderer |
| 4 | Tender has a relationship with another tenderer, directly or through common third parties that puts it in a position to influence the tender of another tenderer, or influence the decisions of the Procuring Entity regarding this tendering process.                             |                         |                                                                |
| 5 | Any of the Tenderer's affiliates participated as a consultant in the preparation of the design or technical specifications of the works that are the subject of the tender.                                                                                                        |                         |                                                                |
| 6 | Tenderer would be providing goods, works, non-consulting services or consulting services during implementation of the contract specified in this Tender Document.                                                                                                                  |                         |                                                                |
| 7 | Tenderer has a close business or family relationship with a professional staff of the Procuring Entity who are directly or indirectly involved in the preparation of the Tender document or specifications of the Contract, and/or the Tender evaluation process of such contract. |                         |                                                                |

|   |                                                                                                                                                                                                            |  |  |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|
| 8 | Tenderer has a close business or family relationship with a professional staff of the Procuring Entity who would be involved in the implementation or supervision of the Contract.                         |  |  |
| 9 | Has the conflict stemming from such relationship stated in item 7 and 8 above been resolved in a manner acceptable to the Procuring Entity throughout the tendering process and execution of the Contract? |  |  |

**(f) Certification**

On behalf of the Tenderer, I certify that the information given above is correct.

Full Name: \_\_\_\_\_

Title or Designation: \_\_\_\_\_

(Signature) \_\_\_\_\_ (Date) \_\_\_\_\_

#### 4. TENDERER'S JV MEMBERS INFORMATION FORM

[The tenderer shall fill in this Form in accordance with the instructions indicated below. The following table shall be filled in for the tenderer and for each member of a Joint Venture]].

Date: .....

[insert date (as day, month and year) of Tender submission].

##### **Tender Name and Identification:**

.....

.....[insert identification)

Alternative No.: ..... [insert identification

No if this is a Tender for an alternative].

|                                                                                                                                              |            |    |          |         |    |
|----------------------------------------------------------------------------------------------------------------------------------------------|------------|----|----------|---------|----|
| 1.Tenderer's Name: .....<br>[insert Tenderer's legal name]                                                                                   |            |    |          |         |    |
| 2. Tenderer's JV Member's name: ..... [insert<br>JV's Member legal name]                                                                     |            |    |          |         |    |
| 3.                                                                                                                                           | Tenderer's | JV | Member's | country | of |
| registration:.....<br>[insert JV's Member country of registration]                                                                           |            |    |          |         |    |
| 4. Tenderer's JV Member's year of registration:<br>.....<br>[insert JV's Member year of registration]                                        |            |    |          |         |    |
| 5.Tenderer's JV Member's legal address in country of registration:<br>.....<br>[insert JV's Member legal address in country of registration] |            |    |          |         |    |

6. Tenderer's JV Member's authorized representative information

Name: \_\_\_\_\_ [insert name of JV's Member authorized representative]

Address: \_\_\_\_\_ [insert address of JV's Member authorized representative]

Telephone/Fax numbers: \_\_\_\_\_ [insert telephone/fax numbers of JV's Member authorized representative]

Email Address: \_\_\_\_\_ [insert email address of JV's Member authorized representative]

7. Attached documents are copies of original of \_\_\_\_\_ [check the box(es) of the attached original documents]

- ☐ Articles of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITT 4.4.
- ☐ In case of a state-owned enterprise or institution, documents establishing legal and financial autonomy, operation in accordance with commercial law, and that they are not under the supervision of the Procuring Entity, in accordance with ITT 4.6.

8. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.

## 15. IFAD Self-Certification Form:

Preamble:

In accordance with the IFAD Policy on Preventing Fraud and Corruption in its Activities and Operations, the Fund may investigate and, when applicable, sanction entities and individuals, including by debarring them, either indefinitely or for a stated period of time, to participate in any IFAD-financed or IFAD-managed activity or operation. A debarment includes, inter alia, ineligibility to: (i) be awarded or otherwise benefit from any IFAD-financed contract, financially or in any other manner; (ii) be a sub-contractor, consultant, manufacturer, supplier, subsupplier, agent or service provider of an otherwise eligible firm being awarded an IFAD-financed contract; and (iii) receive the proceeds of any loan or grant provided by the Fund. The Fund may also unilaterally recognize eligible debarments by any of the International Financial Institutions signatories to the Agreement for Mutual Enforcement of Debarment Decisions.<sup>4</sup>

### Self-Certification Form

This self-certification form is to be completed by the bidder. The bidder shall submit the completed form together with the bid/proposal to **Integrated Natural Resources Management Programme (INReMP)**. Instructions for completing this form are provided below.

|                                                                |  |
|----------------------------------------------------------------|--|
| Full legal name of bidder:                                     |  |
| Full legal name of bidder's legal representative and position: |  |
| Procurement title:                                             |  |
| Procurement reference:                                         |  |
| Date:                                                          |  |

I hereby certify that I am the authorized representative of [name of the bidder], as well as that the information provided above is true and accurate in all material respects and understand that any material misstatement, misrepresentation or failure to provide the information requested in this certification may result in sanctions and remedies, including the permanent ineligibility to participate in IFAD-financed and/or IFAD-managed activities and operations, in accordance with the IFAD Procurement Guidelines, the IFAD Procurement Handbook and other applicable IFAD policies and procedures, including the **IFAD Policy on Preventing Fraud and Corruption in its Activities and Operations** (accessible at [www.ifad.org/anticorruption\\_policy](http://www.ifad.org/anticorruption_policy)) and the **IFAD Policy on Preventing and Responding**

<sup>4</sup> The Cross-Debarment Agreement was entered into by the World Bank Group, the Inter-American Development Bank, the African Development Bank, the Asian Development Bank and the European Bank for Reconstruction and Development, additional information may be located at: <http://crossdebarment.org/>.

to **Sexual Harassment, Sexual Exploitation and Abuse** (accessible at <https://www.ifad.org/en/document-detail/asset/40738506>).

Tick the boxes as applicable

→ The bidder certifies that itself, its proprietor(s), agents, sub-consultants, sub-contractors, consortium and joint venture partners have **NOT** engaged in fraudulent, corrupt, collusive, coercive or obstructive practices in connection with the present procurement process.

→ The bidder declares that the following criminal convictions, administrative sanctions (including debarments under the Agreement for Mutual Enforcement of Debarment Decisions or the "Cross-Debarment Agreement")<sup>5</sup> and/or temporary suspensions have been imposed on the bidder and/or any of its directors, partners, proprietors, key personnel, agents, sub-consultants, sub-contractors, consortium and joint venture partners:

| Nature of the measure (i.e., criminal conviction, administrative sanction or temporary suspension) | Imposed by | Name of party convicted, sanctioned or suspended (and relationship to bidder) | Grounds for the measure (i.e., fraud in procurement or corruption in contract execution) | Date and time (duration) of measure |
|----------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|-------------------------------------|
|                                                                                                    |            |                                                                               |                                                                                          |                                     |
|                                                                                                    |            |                                                                               |                                                                                          |                                     |

If no criminal convictions, administrative sanctions or temporary suspensions have been imposed, indicate "none".

→ The bidder certifies that itself, including its director(s), partner(s), proprietor(s), key personnel, agents, sub-consultants, sub-contractors, consortium and joint venture partners have **NOT** engaged in acts of sexual harassment, sexual exploitation and abuse in connection with the present procurement process and this contract.

→ The bidder certifies that itself, including its director(s), partner(s), proprietor(s), key personnel, agents, sub-consultants, sub-contractors, consortium and joint venture partners are NOT subject to a criminal conviction, administrative sanctions and/or temporary suspensions for engaging in acts of sexual harassment, sexual exploitation and abuse.

→ The bidder certifies that itself, its proprietor(s), agents, sub-consultants, sub-contractors, consortium and joint venture partners have **NO** actual, potential or reasonably perceived conflicts of interest and specifically that they:

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<sup>5</sup> The Cross-Debarment Agreement was entered into by the World Bank Group, the Inter-American Development Bank, the African Development Bank, the Asian Development Bank and the European Bank for Reconstruction and Development, additional information may be located at: <http://crossdebarment.org/>.

→ Do not have any actual or potential, and do not reasonably appear to have, at least one controlling partner in common with one or more other parties in the bidding process or the execution of the contract;

→ Do not have any actual or potential, and do not reasonably appear to have, the same legal representative as another bidder for purposes of this bid or execution of the contract;

→ Do not have any actual or potential, and do not reasonably appear to have, a relationship, directly or through common third parties, that puts them in a position to have access to undue or undisclosed information about or influence over the bid process and the execution of the contract, or influence the decisions of the procuring entity regarding the selection process for this procurement or during the execution of the contract;

→ Do not participate and do not potentially or reasonably appear to participate in more than one bid/proposal in this process; and

→ Do not have any actual or potential, and do not reasonably appear to have, a business or family relationship with, a member of the procuring entity's board of directors or its personnel, the Fund or its personnel, or any other individual that was, has been or might reasonably be directly or indirectly involved in any part of (i) the preparation of the bidding document, (ii) the selection process for this procurement, or (iii) execution of the contract, unless the actual, potential or reasonably conflict stemming from this relationship has been explicitly authorized by the Fund in writing.

→ [To be completed only if the previous boxes were not checked]

The bidder declares the following actual, potential or reasonably perceived conflicts of interest, that may affect, or might reasonably be perceived by others to affect, impartiality in any matter relevant to the procurement process, including the selection process and the execution of the contract, with the understanding and acceptance that any action upon this disclosure shall be entirely under the Fund's discretion:

[provide detailed description of any actual, potential or reasonably perceived conflicts of interest including their nature and the personnel, proprietor(s), agents, sub-consultants, sub-contractors, consortium or joint venture partners affected.]

→ The bidder certifies that **NO** gratuities, fees, commissions, gifts or anything else of value have been paid or exchanged or are to be paid or exchanged with respect to the present bidding process.

**OR**

☐ [To be completed only if previous box was not checked]

The bidder declares that the following gratuities, fees, commissions, gifts or anything else of value have been exchanged, paid or are to be exchanged or paid with respect to the present bidding process:

- [Name of Recipient/Address/Date/Reason/Amount]
- [Name of Recipient/Address/Date/Reason/Amount]
- [Name of Recipient/Address/Date/Reason/Amount]

**Authorized Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Printed Name of Signatory:** \_\_\_\_\_

**Instructions for completing the self-certification form**

The World Bank listing of ineligible firms and individuals is a searchable database that returns a positive or negative search results page upon submission of a name to be searched, in order to document the eligibility.

**The bidder should print out, date, and attach the results page(s) to the self certification form.**

If (a) record(s) has/have been found – i.e. the results page(s) shows one or more individuals or entities, including the bidder itself are ineligible for contracts of the World Bank on the grounds of “cross-debarment”, the bidder should provide a detailed account of these sanctions and their duration as applicable or notify the procuring entity and in case the bidder believes the finding is a “false positive”

## Section V. Schedule of Requirements

| 1                        | 2                                 | 3                           |                                         | 4                | 5                                                     | 6                       | 7                                                   |
|--------------------------|-----------------------------------|-----------------------------|-----------------------------------------|------------------|-------------------------------------------------------|-------------------------|-----------------------------------------------------|
| No of item to be insured | Description of item to be insured | Value of item to be insured | Major contingencies requiring insurance | Insurance period | Insurance Premium per specified period (Tender Price) | Price discount (if any) | Total Tender Price for Insurance Service (Col. 5-6) |
| No 1                     | In-patient                        | As per category             |                                         | 1 year           |                                                       |                         |                                                     |
| No 2                     | Out-patient                       | As per category             |                                         | 1 year           |                                                       |                         |                                                     |
| No 3                     | Maternity                         | 250,000                     |                                         | 1 year           |                                                       |                         |                                                     |
| No 4                     | Dental                            | 100,000                     |                                         | 1 year           |                                                       |                         |                                                     |
| No 5                     | Optical                           | 100,000                     |                                         | 1 year           |                                                       |                         |                                                     |
| No 6                     | Group personal accident           | As per schedule             |                                         | 1 year           |                                                       |                         |                                                     |
| No 7                     | Epidemic/Pandemic coverage        | As per schedule             |                                         | 1 year           |                                                       |                         |                                                     |
| No 8                     | Last expense                      | 500,000 per life            |                                         | 1 year           |                                                       |                         |                                                     |
| <b>Total premium</b>     |                                   |                             |                                         |                  |                                                       |                         |                                                     |

|                                           |  |  |  |  |  |  |  |
|-------------------------------------------|--|--|--|--|--|--|--|
| payable per year                          |  |  |  |  |  |  |  |
| Total Premium Payable for 3 Years         |  |  |  |  |  |  |  |
| Uplifting Percentage for Subsequent Years |  |  |  |  |  |  |  |

**Commented [CR1]:** Changed from 2 to 3 years to match the contract period: three (3) years, renewable once (Section IV Price Schedule note and SCC). The uplift row now applies to the renewal term only.

**PRICE SCHEDULE FOR INPATIENT COVER**  
**CATEGORY A INPATIENT LIMIT PER FAMILY =8,000,000.00**

|       | NO. OF FAMILY MEMBER | PREMIUM PER FAMILY | TOTAL PREMIUM | TRAINING LEVY | PHCF | STAMP DUTY | TOTAL PREMIUM PAYABLE |
|-------|----------------------|--------------------|---------------|---------------|------|------------|-----------------------|
| M+4   | 5                    |                    |               |               |      |            |                       |
| M+3   | 4                    |                    |               |               |      |            |                       |
| TOTAL | 9                    |                    |               |               |      |            |                       |

**CATEGORY B INPATIENT LIMIT PER FAMILY=6,500,000.00**

|       | NO. OF<br>FAMILY<br>MEMBER | PREMIUM PER<br>FAMILY | TOTAL<br>PREMIUM | TRAINING<br>LEVY | PHCF | STAMP DUTY | TOTAL<br>PREMIUM<br>PAYABLE |
|-------|----------------------------|-----------------------|------------------|------------------|------|------------|-----------------------------|
| M+4   | 5                          |                       |                  |                  |      |            |                             |
| M+5   | 6                          |                       |                  |                  |      |            |                             |
| M+4   | 5                          |                       |                  |                  |      |            |                             |
| M+1   | 2                          |                       |                  |                  |      |            |                             |
| M+3   | 4                          |                       |                  |                  |      |            |                             |
| M+6   | 7                          |                       |                  |                  |      |            |                             |
| M+5   | 6                          |                       |                  |                  |      |            |                             |
| M+3   | 4                          |                       |                  |                  |      |            |                             |
| M+4   | 5                          |                       |                  |                  |      |            |                             |
| M+2   | 3                          |                       |                  |                  |      |            |                             |
| M+1   | 2                          |                       |                  |                  |      |            |                             |
| M+4   | 5                          |                       |                  |                  |      |            |                             |
| M+4   | 5                          |                       |                  |                  |      |            |                             |
| M+3   | 4                          |                       |                  |                  |      |            |                             |
| M+4   | 5                          |                       |                  |                  |      |            |                             |
| M+2   | 3                          |                       |                  |                  |      |            |                             |
| TOTAL | 71                         |                       |                  |                  |      |            |                             |

**CATEGORY C INPATIENT LIMIT PER FAMILY= 5,000,000.00**

|       | NO. OF<br>FAMILY<br>MEMBER | PREMIUM PER<br>FAMILY | TOTAL<br>PREMIUM | TRAINING<br>LEVY | PHCF | STAMP<br>DUTY | TOTAL<br>PREMIUM<br>PAYABLE |
|-------|----------------------------|-----------------------|------------------|------------------|------|---------------|-----------------------------|
| M+3   | 4                          |                       |                  |                  |      |               |                             |
| M+2   | 3                          |                       |                  |                  |      |               |                             |
| M+3   | 4                          |                       |                  |                  |      |               |                             |
| M+1   | 2                          |                       |                  |                  |      |               |                             |
| M     | 1                          |                       |                  |                  |      |               |                             |
| M+3   | 4                          |                       |                  |                  |      |               |                             |
| M+3   | 4                          |                       |                  |                  |      |               |                             |
| TOTAL | 22                         |                       |                  |                  |      |               |                             |

**CATEGORY D INPATIENT LIMIT PER FAMILY=4,000,000.00**

|     | NO. OF<br>FAMILY<br>MEMBER | PREMIUM PER<br>FAMILY | TOTAL<br>PREMIUM | TRAINING<br>LEVY | PHCF | STAMP<br>DUTY | TOTAL<br>PREMIUM<br>PAYABLE |
|-----|----------------------------|-----------------------|------------------|------------------|------|---------------|-----------------------------|
| M+6 | 7                          |                       |                  |                  |      |               |                             |
| M+2 | 3                          |                       |                  |                  |      |               |                             |
| M+4 | 5                          |                       |                  |                  |      |               |                             |
| M+3 | 4                          |                       |                  |                  |      |               |                             |
| M+3 | 4                          |                       |                  |                  |      |               |                             |
| M+4 | 5                          |                       |                  |                  |      |               |                             |

|       |    |  |  |  |  |  |  |
|-------|----|--|--|--|--|--|--|
| M+4   | 5  |  |  |  |  |  |  |
| M+5   | 6  |  |  |  |  |  |  |
| TOTAL | 39 |  |  |  |  |  |  |

**b. PRICE SCHEDULE FOR OUTPATIENT COVER**

**CATEGORY A OUTPATIENT LIMIT PER FAMILY =450,000.00**

|       | NO. OF FAMILY MEMBER | PREMIUM PER FAMILY | TOTAL PREMIUM | TRAINING LEVY | PHCF | STAMP DUTY | TOTAL PREMIUM PAYABLE |
|-------|----------------------|--------------------|---------------|---------------|------|------------|-----------------------|
| M+4   | 5                    |                    |               |               |      |            |                       |
| M+3   | 4                    |                    |               |               |      |            |                       |
| TOTAL | 9                    |                    |               |               |      |            |                       |

**CATEGORY B OUTPATIENT LIMIT PER FAMILY=400,000.00**

|     | NO. OF FAMILY MEMBER | PREMIUM PER FAMILY | TOTAL PREMIUM | TRAINING LEVY | PHCF | STAMP DUTY | TOTAL PREMIUM PAYABLE |
|-----|----------------------|--------------------|---------------|---------------|------|------------|-----------------------|
| M+4 | 5                    |                    |               |               |      |            |                       |
| M+5 | 6                    |                    |               |               |      |            |                       |
| M+4 | 5                    |                    |               |               |      |            |                       |
| M+1 | 2                    |                    |               |               |      |            |                       |
| M+3 | 4                    |                    |               |               |      |            |                       |

|       |    |  |  |  |  |  |  |
|-------|----|--|--|--|--|--|--|
| M+6   | 7  |  |  |  |  |  |  |
| M+5   | 6  |  |  |  |  |  |  |
| M+3   | 4  |  |  |  |  |  |  |
| M+4   | 5  |  |  |  |  |  |  |
| M+2   | 3  |  |  |  |  |  |  |
| M+1   | 2  |  |  |  |  |  |  |
| M+4   | 5  |  |  |  |  |  |  |
| M+4   | 5  |  |  |  |  |  |  |
| M+3   | 4  |  |  |  |  |  |  |
| M+4   | 5  |  |  |  |  |  |  |
| M+2   | 3  |  |  |  |  |  |  |
| TOTAL | 71 |  |  |  |  |  |  |

**CATEGORY C OUTPATIENT LIMIT PER FAMILY=300,000.00**

|     | NO. OF<br>FAMILY<br>MEMBER | PREMIUM PER<br>FAMILY | TOTAL<br>PREMIUM | TRAINING<br>LEVY | PHCF | STAMP<br>DUTY | TOTAL<br>PREMIUM<br>PAYABLE |
|-----|----------------------------|-----------------------|------------------|------------------|------|---------------|-----------------------------|
| M+3 | 4                          |                       |                  |                  |      |               |                             |
| M+2 | 3                          |                       |                  |                  |      |               |                             |
| M+3 | 4                          |                       |                  |                  |      |               |                             |
| M+1 | 2                          |                       |                  |                  |      |               |                             |
| M   | 1                          |                       |                  |                  |      |               |                             |
| M+3 | 4                          |                       |                  |                  |      |               |                             |
| M+3 | 4                          |                       |                  |                  |      |               |                             |

|       |    |  |  |  |  |  |  |
|-------|----|--|--|--|--|--|--|
| TOTAL | 22 |  |  |  |  |  |  |
|-------|----|--|--|--|--|--|--|

**CATEGORY D OUTPATIENT LIMIT PER FAMILY=300,000.00**

|       | NO. OF<br>FAMILY<br>MEMBER | PREMIUM PER<br>FAMILY | TOTAL<br>PREMIUM | TRAINING<br>LEVY | PHCF | STAMP<br>DUTY | TOTAL<br>PREMIUM<br>PAYABLE |
|-------|----------------------------|-----------------------|------------------|------------------|------|---------------|-----------------------------|
| M+6   | 7                          |                       |                  |                  |      |               |                             |
| M+2   | 3                          |                       |                  |                  |      |               |                             |
| M+4   | 5                          |                       |                  |                  |      |               |                             |
| M+3   | 4                          |                       |                  |                  |      |               |                             |
| M+3   | 4                          |                       |                  |                  |      |               |                             |
| M+4   | 5                          |                       |                  |                  |      |               |                             |
| M+4   | 5                          |                       |                  |                  |      |               |                             |
| M+5   | 6                          |                       |                  |                  |      |               |                             |
| TOTAL | 39                         |                       |                  |                  |      |               |                             |

c. PRICE SCHEDULE FOR DENTAL COVER

**DENTAL LIMIT PER FAMILY=100,000.00**

|     | NO. OF<br>FAMILY<br>MEMBER | PREMIUM PER<br>FAMILY | TOTAL<br>PREMIUM | TRAINING<br>LEVY | PHCF | STAMP DUTY | TOTAL<br>PREMIUM<br>PAYABLE |
|-----|----------------------------|-----------------------|------------------|------------------|------|------------|-----------------------------|
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+3 | 4                          |                       |                  |                  |      |            |                             |
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+5 | 6                          |                       |                  |                  |      |            |                             |
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+1 | 2                          |                       |                  |                  |      |            |                             |
| M+3 | 4                          |                       |                  |                  |      |            |                             |
| M+6 | 7                          |                       |                  |                  |      |            |                             |
| M+5 | 6                          |                       |                  |                  |      |            |                             |
| M+3 | 4                          |                       |                  |                  |      |            |                             |
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+2 | 3                          |                       |                  |                  |      |            |                             |
| M+1 | 2                          |                       |                  |                  |      |            |                             |
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+3 | 4                          |                       |                  |                  |      |            |                             |
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+2 | 3                          |                       |                  |                  |      |            |                             |

|              |            |  |  |  |  |  |  |
|--------------|------------|--|--|--|--|--|--|
| <b>M+3</b>   | 4          |  |  |  |  |  |  |
| <b>M+2</b>   | 3          |  |  |  |  |  |  |
| <b>M+3</b>   | 4          |  |  |  |  |  |  |
| <b>M+1</b>   | 2          |  |  |  |  |  |  |
| <b>M</b>     | 1          |  |  |  |  |  |  |
| <b>M+3</b>   | 4          |  |  |  |  |  |  |
| <b>M+3</b>   | 4          |  |  |  |  |  |  |
| <b>M+6</b>   | 7          |  |  |  |  |  |  |
| <b>M+2</b>   | 3          |  |  |  |  |  |  |
| <b>M+4</b>   | 5          |  |  |  |  |  |  |
| <b>M+3</b>   | 4          |  |  |  |  |  |  |
| <b>M+3</b>   | 4          |  |  |  |  |  |  |
| <b>M+4</b>   | 5          |  |  |  |  |  |  |
| <b>M+4</b>   | 5          |  |  |  |  |  |  |
| <b>M+5</b>   | 6          |  |  |  |  |  |  |
| <b>TOTAL</b> | <b>141</b> |  |  |  |  |  |  |

d. OPTICAL LIMIT PER FAMILY=100,000.00

|     | NO. OF<br>FAMILY<br>MEMBER | PREMIUM PER<br>FAMILY | TOTAL<br>PREMIUM | TRAINING<br>LEVY | PHCF | STAMP DUTY | TOTAL<br>PREMIUM<br>PAYABLE |
|-----|----------------------------|-----------------------|------------------|------------------|------|------------|-----------------------------|
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+3 | 4                          |                       |                  |                  |      |            |                             |
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+5 | 6                          |                       |                  |                  |      |            |                             |
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+1 | 2                          |                       |                  |                  |      |            |                             |
| M+3 | 4                          |                       |                  |                  |      |            |                             |
| M+6 | 7                          |                       |                  |                  |      |            |                             |
| M+5 | 6                          |                       |                  |                  |      |            |                             |
| M+3 | 4                          |                       |                  |                  |      |            |                             |
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+2 | 3                          |                       |                  |                  |      |            |                             |
| M+1 | 2                          |                       |                  |                  |      |            |                             |
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+3 | 4                          |                       |                  |                  |      |            |                             |
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+2 | 3                          |                       |                  |                  |      |            |                             |
| M+3 | 4                          |                       |                  |                  |      |            |                             |
| M+2 | 3                          |                       |                  |                  |      |            |                             |

|              |            |  |  |  |  |  |  |
|--------------|------------|--|--|--|--|--|--|
| <b>M+3</b>   | 4          |  |  |  |  |  |  |
| <b>M+1</b>   | 2          |  |  |  |  |  |  |
| <b>M</b>     | 1          |  |  |  |  |  |  |
| <b>M+3</b>   | 4          |  |  |  |  |  |  |
| <b>M+3</b>   | 4          |  |  |  |  |  |  |
| <b>M+6</b>   | 7          |  |  |  |  |  |  |
| <b>M+2</b>   | 3          |  |  |  |  |  |  |
| <b>M+4</b>   | 5          |  |  |  |  |  |  |
| <b>M+3</b>   | 4          |  |  |  |  |  |  |
| <b>M+3</b>   | 4          |  |  |  |  |  |  |
| <b>M+4</b>   | 5          |  |  |  |  |  |  |
| <b>M+4</b>   | 5          |  |  |  |  |  |  |
| <b>M+5</b>   | 6          |  |  |  |  |  |  |
| <b>TOTAL</b> | <b>141</b> |  |  |  |  |  |  |

e. MATERNITY LIMIT PER FAMILY=250,000.00

|     | NO. OF<br>FAMILY<br>MEMBER | PREMIUM PER<br>FAMILY | TOTAL<br>PREMIUM | TRAINING<br>LEVY | PHCF | STAMP DUTY | TOTAL<br>PREMIUM<br>PAYABLE |
|-----|----------------------------|-----------------------|------------------|------------------|------|------------|-----------------------------|
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+3 | 4                          |                       |                  |                  |      |            |                             |
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+5 | 6                          |                       |                  |                  |      |            |                             |
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+1 | 2                          |                       |                  |                  |      |            |                             |
| M+3 | 4                          |                       |                  |                  |      |            |                             |
| M+6 | 7                          |                       |                  |                  |      |            |                             |
| M+5 | 6                          |                       |                  |                  |      |            |                             |
| M+3 | 4                          |                       |                  |                  |      |            |                             |
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+2 | 3                          |                       |                  |                  |      |            |                             |
| M+1 | 2                          |                       |                  |                  |      |            |                             |
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+3 | 4                          |                       |                  |                  |      |            |                             |
| M+4 | 5                          |                       |                  |                  |      |            |                             |
| M+2 | 3                          |                       |                  |                  |      |            |                             |
| M+3 | 4                          |                       |                  |                  |      |            |                             |
| M+2 | 3                          |                       |                  |                  |      |            |                             |

|              |            |  |  |  |  |  |  |
|--------------|------------|--|--|--|--|--|--|
| <b>M+3</b>   | 4          |  |  |  |  |  |  |
| <b>M+1</b>   | 2          |  |  |  |  |  |  |
| <b>M</b>     | 1          |  |  |  |  |  |  |
| <b>M+3</b>   | 4          |  |  |  |  |  |  |
| <b>M+3</b>   | 4          |  |  |  |  |  |  |
| <b>M+6</b>   | 7          |  |  |  |  |  |  |
| <b>M+2</b>   | 3          |  |  |  |  |  |  |
| <b>M+4</b>   | 5          |  |  |  |  |  |  |
| <b>M+3</b>   | 4          |  |  |  |  |  |  |
| <b>M+3</b>   | 4          |  |  |  |  |  |  |
| <b>M+4</b>   | 5          |  |  |  |  |  |  |
| <b>M+4</b>   | 5          |  |  |  |  |  |  |
| <b>M+5</b>   | 6          |  |  |  |  |  |  |
| <b>TOTAL</b> | <b>141</b> |  |  |  |  |  |  |

**f. GROUP PERSONAL ACCIDENT AND WIBA FOR 33 PROGRAMME STAFF (AS ATTACHED ON LIST OF STAFF)**

|                         |                |
|-------------------------|----------------|
| Number of Staff Members | 33             |
| Annual Salary           | 181,425,600.00 |

| #  | Summary of Costs                                   | Sum Insured             | Rate | Premium | Totals |
|----|----------------------------------------------------|-------------------------|------|---------|--------|
| 1  | Accidental Death                                   | Six (6) years' earnings |      |         |        |
| 2. | Permanent Disablement                              | Six (6) years' earnings |      |         |        |
| 3. | Temporary Disablement                              | 208 Weeks               |      |         |        |
| 4. | Artificial Appliances                              | Kshs 150,000.00         |      |         |        |
| 5. | PHCF/                                              |                         |      |         |        |
| 6. | Levies                                             |                         |      |         |        |
| 7. | Other Charges                                      |                         |      |         |        |
|    | <b>Total Annual Premium Inclusive of all Taxes</b> |                         |      |         |        |

**Epidemic/Pandemic Coverage**

| # | Benefit                         | Sum Insured      |
|---|---------------------------------|------------------|
| 1 | Outpatient                      | Up to Full Limit |
| 2 | Inpatient Group Limit           | Kshs 1,000,000   |
| 3 | Inpatient Sub -Limit per family |                  |
| 4 | Premium                         |                  |
| 5 | Levies                          |                  |
|   | Total Premium                   |                  |

Name of Tenderer.....*[insert complete name of Tenderer]*

Signature of Tenderer.....*[signature of person signing the Tender]*

Date .....*[insert date]*

**Section VI. Technical Specifications**

**Bidder to complete Column (d) Indicating YES or No and if NO indicate the specification of the offered item] under conformity to technical specifications.**

| <b>S/No</b> | <b>Item description<br/>(a)</b> | <b>Qty<br/>(b)</b> | <b>Specification<br/>C)</b> | <b>Conformity to technical<br/>specification- bidder to<br/>specify (yes/no) and if NO<br/>indicate the specification<br/>of the offered item(d)</b> |
|-------------|---------------------------------|--------------------|-----------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|
|             |                                 | 1                  |                             |                                                                                                                                                      |

## SECTION V – SCHEDULE OF REQUIREMENTS (Staff Insurance cover)

### 1. BACKGROUND

The Integrated Natural Resources Management Programme (INReMP) is an eight-year Programme jointly funded by; Government of Kenya (GOK), International Fund for Agricultural Development (IFAD), Global Environment Facility (GEF), Green Climate fund (GCF), Private sector and target Beneficiaries. The Programme came into force on 23<sup>rd</sup> June 2025 with a Completion date of 23<sup>rd</sup> June, 2033 and Loan Closing date of 23<sup>rd</sup> December, 2033. The Programme Goal is to contribute to improved rural households' food, nutrition, and income security within a sustainable and resilient ecosystem. The development objective is to Enhance integrated natural resources management, increase resilience to climate change, and improve beneficiaries' livelihoods, especially Women, Youth, and Vulnerable Groups in an environmentally friendly manner, in selected Programme areas of the 10 participating counties. The Programme areas are Cherangany downstream counties (Kakamega and Nandi), Cherangany water tower counties (West Pokot, Trans Nzoia, Elgeyo Marakwet and Uasin Gishu) and Mau West Catchment and downstream Counties of the Lake Victoria (Kisumu, Kericho, Homabay and Migori). The targeted value chains are dairy, poultry, apiculture, avocado and horticulture. The primary target group comprises of: Youth, Women and Vulnerable groups. The Programme will also engage with value chain drivers, and will have a strong focus on the inclusion of women, youth and other marginalized/vulnerable groups. The Programme is expected to directly benefit 407,176 households with a total number of 2,035,880 people. From the total direct beneficiaries, women and youth beneficiaries will be 40 per cent and 30 per cent respectively while a minimum of 5 per cent of beneficiaries will be from vulnerable groups (persons living with disability and Indigenous Person's among others).

### 2. PROCUREMENT OF COMPREHENSIVE MEDICAL COVER

Integrated Natural Resources Management Programme (INReMP) intends to apply part of the fund's proceeds to procure a service provider for the provision of medical insurance cover, work injury benefits and group personal accident cover for its staff. To this end the tenderer is expected to fulfil the following:

- i. Provision of optimum policy covers in accordance with the tender submitted.
- ii. Provision of medical cover for staff who may be on duty out of the duty station or travel within the country or overseas to attend training, seminars, or workshops, field work and outreach services to the counties.
- iii. Issue the policy documents not later than **fifteen (15) days** from the inception of cover.
- iv. Settle claims as provided hereunder all fully authorized and documented reimbursement claims **within 14 Days**
- v. Preparation of monthly claims bordereaux reports, which must be submitted to INReMP by the **15<sup>th</sup> of the following** month. The reports should show Membership benefit utilization and the utilization trends for the scheme and advice on loss mitigation measures.

- vi. Provide update on scheme network coverage immediately upon addition removal of new providers.
- vii. Issue cover amendments, adjustment and membership cards as well as cancellations within twelve (12) hours of request by the INReMP Programme.
- viii. Arrange and facilitate quarterly meetings to review performance of the policy by 15th day of each quarter.
- ix. Such other service as may be related or ancillary to the due performance of the above work

3. **IMPLEMENTATION MODALITIES:** Implementation plan and methodology will include but not limited to:

- a. **Biometric:** the tenderer shall provide for:
  - i. Mobile administrative capability for the registration of principal members, spouses, and children.
  - ii. Verification of qualifying members and quantum benefits against the rules and data from the employer in real time.
  - iii. The Employer will have access to data for the purposes of independent verifications.
  - iv. Provision of contactless biometric with 99% accuracy level.
- b. **Registration and management of members:**
  - i. Registration for the current staff members and their dependants on the cover and new staff members and their dependants.
  - ii. Management of additions and exits
  - c. **Specialist Consultation:** Principal Members and their dependants shall be able to visit specialist consultants for management and treatment
- d. **Pre-Authorization:** Real time electronic Pre-Authorization Management system
- e. **Fraud Control:** The service provider shall have in place a system for Fraud control/containment on prevention of Medical and financial fraud
- f. **Member support: Customer Care support system** including 24/7 call centre, web and mobile application-based system for scheme related queries
- g. **Sensitization;**
  - i. Members' education and sensitization on healthcare
  - ii. Sensitization on scheme for all stakeholders.
- h. **Reporting:** Claim settlement, submission of reports, dealing with unreported, undeclared members and members missing on the data, suspended members on nil pay.

#### 4. NETWORK COVERAGE

The bidder should have extensive and reputable network of Hospitals, Clinics, Pharmacies, and Laboratories within easy reach of the members and their dependants in all the forty-seven (47No) counties.

The bidder is required to provide the following:

- i. Full details of towns where the insurance company is represented.

- ii. A list of appointed hospitals, clinics, and doctors all over the country that can be accessed by INReMP employees and their dependants
- iii. Full details of the medical cover outside Kenya and all exclusions that are applicable.

## **5. CASE MANAGEMENT**

- iv. The tenderer shall give detailed description on how the cover is going to be administered.
- v. An analysis on how the service provider intends to address the following issues/procedures:
  - a) Admission of members into the cover.
  - b) Admission of members with pre-existing conditions into the cover.
  - c) Admission of HIV/AIDS related cases to the cover.
  - d) Procedure to be followed for overseas cover.
  - e) Procedure to be followed to procure last expense.

## **6. CLAIMS SETTLEMENT TURNAROUND TIME**

The bidder is expected to demonstrate and give details of the claim's settlement turnaround time. The time indicated will be used to review the performance of the Tenderer and the underwriter for any future renewal of contract.

## **7. FINANCIAL STABILITY**

The bidder should provide documentary prove that they are financially sound and is fully licensed and registered as a medical insurance cover provider. The tender documents must be accompanied with Audited Accounts for the **last three years**.

## **8. SPECIAL MEDICAL TREATMENT**

There are cases, which may require special treatment owing to medical history of a member of staff and/or dependent. The bidder is required to propose on how such a case is dealt with in case it occurs.

## **9. EXCLUSIONS AND REQUIREMENTS**

The bidder must state clearly requirements, special conditions, and/or exclusions applicable to the schemes.

## **10. LIMITS OF THE BENEFITS:**

Limits of the cover will be based on the category of the principal membership. The INReMP population shall be categorized into four (4No) categories as follows (the category of each principal member is shown in the attached list of staff):

- i. **Category A** Programme Coordinators, namely the National Programme Coordinator and the Regional Programme Coordinator (2 principal members), and their dependants.

- ii. **Category B** Financial Controller, Technical Specialists and Programme Officers (16 principal members) and their dependants
- iii. **Category C** Programme Accountants and Programme Assistants (7 principal members) and their dependants
- iv. **Category D** Support Staff, comprising Office Assistants and Drivers (8 principal members), and their dependants

## 11. POPULATION TO BE COVERED

- i. The proposed maximum family size is **M+6** comprising: -
  - a. Principal Member
  - b. One (1) Declared Spouse
  - c. Five (5) Children
- ii. Children will be eligible based on the following criteria:
  - a. They must be biological or legally adopted
  - b. They must be aged 18 years and below or 25 years if a full-time student in a learning institution.
  - c. For those above 18 years to qualify, they must provide documentary evidence that they are:
    - i. Still dependants on their parent(s) if still living with parent/legal guardian, and are full time students in educational institutions - school or college
    - ii. Permanently incapacitated, physically or mentally challenged, and are fully dependent on the principal member.

- a. **Family Size:** The actual family sizes of the INReMP staff are as follows (33 principal members and 108 dependants; 141 lives in total):

|                 | CATEGORIZATION OF BENEFICIARIES |          |          |           |           |           |           |              |
|-----------------|---------------------------------|----------|----------|-----------|-----------|-----------|-----------|--------------|
| <b>CATEGORY</b> | M                               | M+1      | M+2      | M+3       | M+4       | M+5       | M+6       | <b>TOTAL</b> |
| <b>A</b>        | 2                               | 0        | 0        | 3         | 4         | 0         | 0         | <b>9</b>     |
| <b>B</b>        | 16                              | 2        | 4        | 9         | 24        | 10        | 6         | <b>71</b>    |
| <b>C</b>        | 7                               | 1        | 2        | 12        | 0         | 0         | 0         | <b>22</b>    |
| <b>D</b>        | 8                               | 0        | 2        | 6         | 12        | 5         | 6         | <b>39</b>    |
| <b>TOTAL</b>    | <b>33</b>                       | <b>3</b> | <b>8</b> | <b>30</b> | <b>40</b> | <b>15</b> | <b>12</b> | <b>141</b>   |

*Note: M denotes the principal member. Figures under M+1 to M+6 show the number of dependants in families of that size; the TOTAL column shows the total number of lives (principal members and dependants) in each category. Each family is listed individually in the price schedules in Section V.*

## 12. DETAILED AND SCOPE OF THE COVER BENEFITS

The details and scope of the cover benefits are as follows:

## A. CATEGORY MEDICAL INSURANCE COVER

### i. In-Patient Benefit Description

Bidder to elaborate Full details of what the cover provides and Full details of what the cover excludes.

| INPATIENT BENEFIT DESCRIPTION                                   |                                         |                                         |                                         |                                         |
|-----------------------------------------------------------------|-----------------------------------------|-----------------------------------------|-----------------------------------------|-----------------------------------------|
| Benefits                                                        | Category A                              | Category B                              | Category C                              | Category D                              |
| Inpatient Limit                                                 | Kshs 8,000,000 per family               | Kshs 6,500,000 per family               | Kshs 5,000,000 per family               | Kshs 4,000,000 per family               |
| Bed Capacity (Net of SHA rebate)                                | Ensuite Room up to Kshs 30,000          | Standard Private Room up to Kshs 30,000 | Standard Private Room up to Kshs 30,000 | Standard Private Room up to Kshs 30,000 |
| Newly diagnosed chronic                                         | Covered within the full inpatient limit | Covered within the full inpatient limit | Covered within the full inpatient limit | Covered within the full inpatient limit |
| Major organ transplant including the cost of organ donor limit. | Covered within the full inpatient limit | Covered within the full inpatient limit | Covered within the full inpatient limit | Covered within the full inpatient limit |

| INPATIENT BENEFIT DESCRIPTION                                           |                                         |                                         |                                         |                                         |
|-------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------|-----------------------------------------|-----------------------------------------|
| Benefits                                                                | Category A                              | Category B                              | Category C                              | Category D                              |
| Pre-existing/Declared Conditions, Cancer, HIV/AIDs and organ transplant | Covered within the full inpatient limit | Covered within the full inpatient limit | Covered within the full inpatient limit | Covered within the full inpatient limit |
| Pre-delivery, Postdelivery, and Ectopic Pregnancy Complications         | Covered within the full inpatient limit | Covered within the full inpatient limit | Covered within the full inpatient limit | Covered within the full inpatient limit |

|                                                                                       |                                                                  |                                                                  |                                                                  |                                                                  |
|---------------------------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------------------|
| Prematurity and Congenital Conditions                                                 | Should not be less than 70% of the Overall Inpatient Cover Limit | Should not be less than 70% of the Overall Inpatient Cover Limit | Should not be less than 70% of the Overall Inpatient Cover Limit | Should not be less than 70% of the Overall Inpatient Cover Limit |
| Radiotherapy and Chemotherapy                                                         | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          |
| Psychiatry/Psychotherapy Treatment including counselling cases that require admission | Kshs 500,000 per family within the inpatient limit               | Kshs 500,000 per family within the inpatient limit               | Kshs 500,000 per family within the inpatient limit               | Kshs 500,000 per family within the inpatient limit               |
| Intensive Care Unit (ICU) and High Dependency Unit (HDU) charges                      | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          |
| Gynaecological Treatment                                                              | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          |
| Inpatient Accidental Dental Cover                                                     | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          |
| Inpatient accidental Optical Cover                                                    | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          |
| Inpatient Non-accidental Dental Cover                                                 | Kshs 300,000 per family within the inpatient limit               | Kshs 300,000 per family within the inpatient limit               | Kshs 300,000 per family within the inpatient limit               | Kshs 300,000 per family within the inpatient limit               |
| Inpatient Non-accidental Optical Cover                                                | Kshs 300,000 per family within the inpatient limit               | Kshs 300,000 per family within the inpatient limit               | Kshs 300,000 per family within the inpatient limit               | Kshs 300,000 per family within the inpatient limit               |
| Road & Air evacuation leading to admission                                            | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          |
| Outpatient Ambulance services                                                         | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          | Covered within the full inpatient limit                          |

| INPATIENT BENEFIT DESCRIPTION                                                                                                                                     |                                                                                                                                                                                |                                                                                                                                                                                |                                                                                                                                                                                |                                                                                                                                                                                |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Benefits                                                                                                                                                          | Category A                                                                                                                                                                     | Category B                                                                                                                                                                     | Category C                                                                                                                                                                     | Category D                                                                                                                                                                     |
| Post Hospitalization Cover                                                                                                                                        | Kshs.100,000 to be provided to cater for follow ups, medicine and medically prescribed materials or equipment within overall limit or up to three (3) months whichever is more | Kshs.100,000 to be provided to cater for follow-ups, medicine and medically prescribed materials or equipment within overall limit or up to three (3) months whichever is more | Kshs.100,000 to be provided to cater for follow-ups, medicine and medically prescribed materials or equipment within overall limit or up to three (3) months whichever is more | Kshs.100,000 to be provided to cater for follow-ups, medicine and medically prescribed materials or equipment within overall limit or up to three (3) months whichever is more |
| Passive terrorism, political war and violence                                                                                                                     | Covered within the full inpatient limit                                                                                                                                        | Covered within the full inpatient limit                                                                                                                                        | Covered within the full inpatient limit                                                                                                                                        | Covered within the full inpatient limit                                                                                                                                        |
| External appliance on prescription including hearing aids, wheelchairs, colostomy bags, crutches, knee and wrist braces, lumbar corset, gluco-stick, needles etc. | Kshs 200,000 per family within the inpatient limit                                                                                                                             | Kshs 150,000 per family within the inpatient limit                                                                                                                             | Kshs 150,000 per family within the inpatient limit                                                                                                                             | Kshs 150,000 per family within the inpatient limit                                                                                                                             |
| Alcoholism and drug abuse                                                                                                                                         | Kshs 200,000 per family within the inpatient limit                                                                                                                             | Kshs 200,000 per family within the inpatient limit                                                                                                                             | Kshs 200,000 per family within the inpatient limit                                                                                                                             | Kshs 200,000 per family within the inpatient limit                                                                                                                             |
| Lodger Fee for an accompanying parent or guardian                                                                                                                 | For children 12 years and below                                                                                                                                                | For children 12 years and below                                                                                                                                                | For children 12 years and below                                                                                                                                                | For children 12 years and below                                                                                                                                                |

|                                                                                                |                                                                                                                                                     |                                                                                                                                                     |                                                                                                                                                     |                                                                                                                                                     |
|------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
| Oversees referral                                                                              | Covered within the full inpatient limit. To cover investigations, treatment., economy air ticket for patient and one attendant                      | Covered within the full inpatient limit. To cover investigations, treatment., economy air ticket for patient and one attendant                      | Covered within the full inpatient limit. To cover investigations, treatment., economy air ticket for patient and one attendant                      | Covered within the full inpatient limit. To cover investigations, treatment., economy air ticket for patient and one attendant                      |
| Day surgery admissions including dental, optical, gynaecological as well as all other services | Covered within the full inpatient limit                                                                                                             | Covered within the full inpatient limit                                                                                                             | Covered within the full inpatient limit                                                                                                             | Covered within the full inpatient limit                                                                                                             |
| Circumcision for male dependants for a prevailing medical condition                            | Covered within the full out-patient limit                                                                                                           | Covered within the full out-patient limit                                                                                                           | Covered within the full out-patient limit                                                                                                           | Covered within the full out-patient limit                                                                                                           |
| <b>INPATIENT BENEFIT DESCRIPTION</b>                                                           |                                                                                                                                                     |                                                                                                                                                     |                                                                                                                                                     |                                                                                                                                                     |
| <b>Benefits</b>                                                                                | <b>Category A</b>                                                                                                                                   | <b>Category B</b>                                                                                                                                   | <b>Category C</b>                                                                                                                                   | <b>Category D</b>                                                                                                                                   |
| Excess of loss cover.                                                                          | The insurance to provide for inpatient group excess of loss where an employee can access at least 20% of overall cover benefit including sub limits | The insurance to provide for inpatient group excess of loss where an employee can access at least 20% of overall cover benefit including sub limits | The insurance to provide for inpatient group excess of loss where an employee can access at least 20% of overall cover benefit including sub limits | The insurance to provide for inpatient group excess of loss where an employee can access at least 20% of overall cover benefit including sub limits |

## ii. Out-Patient Benefit Description

Bidder to elaborate Full details of what the cover provides and Full details of what the cover excludes

| OUTPATIENT BENEFIT DESCRIPTION                           |                                                                 |                                                                  |                                                                 |                                                                 |
|----------------------------------------------------------|-----------------------------------------------------------------|------------------------------------------------------------------|-----------------------------------------------------------------|-----------------------------------------------------------------|
| Benefits                                                 | Category A                                                      | Category B                                                       | Category C                                                      | Category D                                                      |
| <b>OUT-PATIENT BENEFIT DESCRIPTION</b>                   |                                                                 |                                                                  |                                                                 |                                                                 |
| Outpatient Limit                                         | Kshs 300,000 per family                                         | 300,000 per family                                               | 300,000 per family                                              | Kshs 300,000 per family                                         |
| General Health Check-ups (Principal member and spouses)  | Kshs 30,000 per family within the outpatient limit              | Kshs 20,000 per family within the outpatient limit               | Kshs 20,000 per family within the outpatient limit              | Kshs 20,000 per family within the outpatient limit              |
| Outpatient Consultation co-payment                       | Members and their dependants will not be subjected to copayment | Members and their dependants will not be subjected to co-payment | Members and their dependants will not be subjected to copayment | Members and their dependants will not be subjected to copayment |
| Laboratory Investigations and X-rays, Electrocardiograms | Covered within the full out-patient limit                       | Covered within the full out-patient limit                        | Covered within the full out-patient limit                       | Covered within the full out-patient limit                       |
| Prescribed routine laboratory tests                      | Covered within the full out-patient limit                       | Covered within the full out-patient limit                        | Covered within the full out-patient limit                       | Covered within the full out-patient limit                       |
| Radiology (x-ray and ultra sound, CT scan and MRI)       | Covered within the full out-patient limit                       | Covered within the full out-patient limit                        | Covered within the full out-patient limit                       | Covered within the full out-patient limit                       |

| OUTPATIENT BENEFIT DESCRIPTION |                                           |                                           |                                           |                                           |
|--------------------------------|-------------------------------------------|-------------------------------------------|-------------------------------------------|-------------------------------------------|
| Benefits                       | Category A                                | Category B                                | Category C                                | Category D                                |
| Prescription Medicines         | Covered within the full out-patient limit | Covered within the full out-patient limit | Covered within the full out-patient limit | Covered within the full out-patient limit |

|                                                                                                                                                                                                              |                                                      |                                                      |                                                      |                                                      |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------|------------------------------------------------------|------------------------------------------------------|------------------------------------------------------|
| Outpatient Newly diagnosed chronic conditions, terrorism, political war and violence                                                                                                                         | Covered within the full out-patient limit            | Covered within the full out-patient limit            | Covered within the full out-patient limit            | Covered within the full out-patient limit            |
| Outpatient Pre-existing/Declared, Cancer, HIV/AIDs                                                                                                                                                           | Covered within the full out-patient limit            | Covered within the full out-patient limit            | Covered within the full out-patient limit            | Covered within the full out-patient limit            |
| Outpatient Congenital Disorders, Autism, Cerebral Palsy, Down Syndrome, Speech therapy & Prematurity Conditions Cover                                                                                        | Covered within the full out-patient limit            | Covered within the full outpatient limit             | Covered within the full out-patient limit            | Covered within the full out-patient limit            |
| Outpatient Emergency Ambulance Services                                                                                                                                                                      | Covered within the full out-patient limit            | Covered within the full outpatient limit             | Covered within the full out-patient limit            | Covered within the full out-patient limit            |
| Outpatient external appliances on prescription                                                                                                                                                               | Kshs. 100,000 per family within the outpatient limit | Kshs. 100,000 per family within the outpatient limit | Kshs. 100,000 per family within the outpatient limit | Kshs. 100,000 per family within the outpatient limit |
| Counselling/Gynaecological Services                                                                                                                                                                          | Covered within the full out-patient limit            | Covered within the full outpatient limit             | Covered within the full out-patient limit            | Covered within the full out-patient limit            |
| Specialist opinion                                                                                                                                                                                           | Covered within the full out-patient limit            | Covered within the full outpatient limit             | Covered within the full out-patient limit            | Covered within the full out-patient limit            |
| Outpatient procedures e.g. dressing                                                                                                                                                                          | Covered within the full out-patient limit            | Covered within the full outpatient limit             | Covered within the full out-patient limit            | Covered within the full out-patient limit            |
| Well baby clinic, Immunizations and Vaccinations of children up to the age of 5 years (Kenya Expanded Programme on Immunization (KEPI) baby friendly and preventive as recommended by a medical practitioner | Covered within the full out-patient limit            | Covered within the full outpatient limit             | Covered within the full out-patient limit            | Covered within the full out-patient limit            |

| OUTPATIENT BENEFIT DESCRIPTION         |                                                     |                                                     |                                                     |                                                     |
|----------------------------------------|-----------------------------------------------------|-----------------------------------------------------|-----------------------------------------------------|-----------------------------------------------------|
| Benefits                               | Category A                                          | Category B                                          | Category C                                          | Category D                                          |
| Travel Inoculation vaccines            | Kshs. 10,000 per family within the outpatient limit | Kshs. 10,000 per family within the outpatient limit | Kshs. 10,000 per family within the outpatient limit | Kshs. 10,000 per family within the outpatient limit |
| Travel Insurance                       | Covered within the full out-patient limit           | Covered within the full out-patient limit           | Covered within the full out-patient limit           | Covered within the full out-patient limit           |
| Family planning treatment              | Kshs. 10,000 per family within the outpatient limit | Kshs. 10,000 per family within the outpatient limit | Kshs. 10,000 per family within the outpatient limit | Kshs. 10,000 per family within the outpatient limit |
| Pre-natal, post-natal and ultra sounds | Covered within the full out-patient limit           | Covered within the full out-patient limit           | Covered within the full out-patient limit           | Covered within the full out-patient limit           |
| Reimbursement                          | 100%                                                | 100%                                                | 100%                                                | 100%                                                |

**iii. STAND ALONE COVERS**

| COVER DESCRIPTION                                                                                                                                                                                                               | Category A                                                                           | Category B                                                                           | Category C                                                                           | Category D                                                                           |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|
| Maternity Cover (pre-natal and post-natal expenses should be borne from the out-patient benefits) for review to be covered per family Enumerate Full details of what the cover provides Full details of what the cover excludes | Kshs.200,000 per family applicable for female principal member or female spouse only | Kshs.200,000 per Family applicable for female principal member or female spouse only | Kshs.200,000 per family applicable for female principal member or female spouse only | Kshs.200,000 per family applicable for female principal member or female spouse only |
| Dental Cover Enumerate Full details of what the cover provides Full details of what the cover excludes                                                                                                                          | Kshs 100,000 Per family                                                              | Kshs 100,000 Per family                                                              | Kshs 100,000 Per family                                                              | Kshs 100,000 Per family                                                              |
| Optical Cover Enumerate Full details of what the cover provides Full details of what the cover excludes                                                                                                                         | Kshs 100,000 Per family                                                              | Kshs 100,000 Per family                                                              | Kshs 100,000 Per family                                                              | Kshs 100,000 Per family                                                              |
| Last expense Cover- Bidder to elaborate Full details of what the cover provides and Full details of what the cover excludes                                                                                                     | Kshs. 500,000 per life                                                               | Kshs. 500,000 per life                                                               | Kshs. 500,000 per life                                                               | Kshs. 500,000 per life                                                               |

**a. Maternity Benefit Description-** Bidder to elaborate Full details of what the cover provides and Full details of what the cover excludes

| Maternity Benefit Description        | All Categories                      |
|--------------------------------------|-------------------------------------|
| Cover Type                           | Stand alone                         |
| Normal Delivery Expenses             | Covered to the full maternity limit |
| Second/subsequent caesarean delivery | Covered to the full maternity limit |

**b. Dental Benefit Description-** Bidder to elaborate Full details of what the cover provides and Full details of what the cover excludes

| Dental Benefit Description                   | All Categories                   |
|----------------------------------------------|----------------------------------|
| Cover Type                                   | Stand alone                      |
| Extraction                                   | Covered to the full dental limit |
| Fillings and Crowns, Root Canal & Braces.    | Covered to the full dental limit |
| Scaling, Polishing, cleaning on prescription | Covered to the full dental limit |

**c. Optical Benefit Description-** Bidder to elaborate Full details of what the cover provides and Full details of what the cover excludes

| Optical Benefit Description                         | All Categories                    |
|-----------------------------------------------------|-----------------------------------|
| Cover Type                                          | Stand alone                       |
| Eye Testing                                         | Covered to the full optical limit |
| Post-surgical follow ups and reviews                | Covered to the full optical limit |
| Prescribed/Photochromic lenses including anti-glare | Covered to the full optical limit |
| Frames                                              | Covered to the full optical limit |

**iv. Epidemic, Pandemic Benefit Description-** Bidder to elaborate Full details of what the cover provides and Full details of what the cover excludes

| Epidemic, Pandemic Benefit Description                                                 | All Categories                                                                                     |
|----------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|
| Insurer Must provide modalities of handling Pandemics/ Epidemics Hospitalization limit | Ksh.1million within the in-patient limit per family                                                |
| Testing                                                                                | Ksh.30, 000 Within the out-patient limit of per family on recommendation by a medical practitioner |

#### Group Personal Accident and WIBA For 33 Programme Staff

|                         |                    |
|-------------------------|--------------------|
| Number of Staff Members | 33                 |
| Annual Salary           | Kshs 181,425,600/- |

| #  | Summary of Costs      | Sum Insured             |
|----|-----------------------|-------------------------|
| 1. | Accidental Death      | Six (6) years' earnings |
| 2. | Permanent Disablement | Six (6) years' earnings |
| 3. | Temporary Disablement | 208 Weeks               |
| 4. | Artificial Appliances | Kshs 150,000.00         |

## Section VII. Contract

### Contract Agreement

THIS AGREEMENT made the \_day of \_\_\_\_\_ 20\_\_\_\_ between [name of purchaser] of the purchaser's country (hereinafter called "the purchaser") of the one part and [name of supplier] of [city and country of supplier] (hereinafter called "the supplier") of the other part:

WHEREAS the purchaser invited bids for certain goods and ancillary services, viz., [brief description of goods and services] and has accepted a bid by the supplier for the supply of those goods and services in the sum of [contract price in words and figures] (hereinafter called "the contract price").

NOW THIS AGREEMENT WITNESSETH AS FOLLOWS:

1. In this agreement words and expressions shall have the same meanings as are respectively assigned to them in the conditions of contract referred to.
2. The following documents shall be deemed to form and be read and construed as part of this agreement, viz.:
  - (a) the purchaser's notification of award.
  - (b) the bid form;
  - (c) the special conditions of contract (SCC)
  - (d) the general conditions of contract (GCC)
  - (e) the self-certification form
  - (f) the specification (including schedule of requirements and technical specifications)
  - (g) the completed schedules (including price schedules)
  - (h) any other document listed in SCC as forming part of the contract
3. In consideration of the payments to be made by the purchaser to the supplier as hereinafter mentioned, the supplier hereby covenants with the purchaser to provide the goods and services and to remedy defects therein in conformity in all respects with the provisions of the contract.
4. The purchaser hereby covenants to pay the supplier in consideration of the provision of the goods and services and the remedying of defects therein; the contract price or such other sum as may become payable under the provisions of the contract at the times and in the manner prescribed by the contract.

IN WITNESS whereof the parties hereto have caused this agreement to be executed in accordance with their respective laws the day and year first above written.

the \_\_\_\_\_ (for the

Signed, sealed, delivered by purchaser)

the \_\_\_\_\_ (for the

Signed, sealed, delivered by supplier)

## Section VII (A). General Conditions of Contract

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## **General Conditions of Contract**

### **1. Definitions**

1.1 In this contract, the following terms shall be interpreted as indicated:

- a) "Fund" means the International Fund for Agricultural Development.
- b) "The contract" means the agreement entered into between the purchaser and the supplier, as recorded in the contract form signed by the parties, including all attachments and appendices thereto and all documents incorporated by reference therein.
- c) "The contract price" means the price payable to the supplier under the contract for the full and proper performance of its contractual obligations.
- d) "The goods" means all of the equipment, machinery, commodities and/or other materials which the supplier is required to supply to the purchaser under the contract.
- e) "The services" means those services ancillary to the supply of the goods, such as transportation and insurance, and any other incidental services, such as installation, commissioning, provision of technical assistance, training, and other such obligations of the supplier covered under the contract.
- f) "GCC" means the General Conditions of Contract contained in this section.
- g) "SCC" means the Special Conditions of Contract.
- h) "The purchaser" means the entity purchasing the goods, as named in the SCC.
- i) "The purchaser's country" is the purchaser's country.
- j) "The supplier" means the individual or firm supplying the goods and services under this contract and named in SCC.
- k) "The Project Site", where applicable, means the place or places named in the SCC.
- l) "Day" means calendar day

### **2. Application**

2.1 These GCC shall apply to the extent that they are not superseded by provisions of other parts of the contract.

### **3. Country of Origin**

3.1 All goods and services supplied under the contract shall have their origin in eligible countries and territories, as further elaborated in the SCC.

3.2 For purposes of this clause, "origin" means the place where the goods were mined, grown, or produced, or from which the services are supplied. goods are produced when, through manufacturing, processing, or substantial and major assembly of components, a commercially recognized new product results that is substantially different in basic characteristics or in purpose or utility from its components. The origin of goods and services is distinct from the nationality of the supplier.

#### **4. Prohibited Practices**

- 4.1 The Fund requires that all beneficiaries of IFAD funding, including the purchaser and any bidders, implementing partners, service providers, suppliers, sub-suppliers, contractors, sub-contractors, consultants, subconsultants, and any of their agents (whether declared or not) and personnel observe the highest standards of ethics during the procurement and execution of such contracts, and comply with IFAD's Policy on Preventing Fraud and Corruption in its Activities and Operations, revised on 12 December 2018 and attached as Section VIII of this document (EB 2018/125/R.6, hereinafter "IFAD's Anti-Corruption Policy").
- 4.2 For the purposes of these provisions, and consistent with IFAD's Anticorruption Policy, the terms set forth below are defined as follows, and sometimes referred to collectively as "Prohibited Practices":
- 4.3 "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value in order to improperly influence the actions of another party;
- 4.4 "fraudulent practice" is any act or omission, including a misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party in order to obtain a financial or other benefit or to avoid an obligation;
- 4.5 "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including improperly influencing the actions of another party;
- a) "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of any party, to improperly influence the actions of that or another party;
- b) "obstructive practice" is (i) deliberately destroying, falsifying, altering or concealing evidence that may be material to an investigation by the Fund or making false statements to investigators in order to materially impede an investigation by the Fund; (ii) threatening, harassing or intimidating any party in order to prevent that party from disclosing its knowledge of matters relevant to an investigation by the Fund or from pursuing such an investigation; and/or (iii) the commission of any act intended to materially impede the exercise of the Fund's contractual rights of audit, inspection and access to information.
- 4.6 The Fund will deny approval of a proposed contract award if it determines that the firm or individual recommended for award, or any of its personnel or agents, or its sub-consultants, sub-contractors, service providers, suppliers, sub-suppliers and/or any of their personnel or agents, has, directly or indirectly, engaged in any of the prohibited practices in connection with an IFAD-financed and/or IFAD-managed activity or operation, including in competing for the contract.
- 4.7 In accordance with IFAD's Anticorruption Policy, the Fund has the right to sanction firms and individuals, including by declaring them ineligible, either indefinitely or for a stated period of time, to participate in any IFAD-financed and/or IFAD-managed activity or operation. This may include ineligibility to: (i) be awarded or otherwise benefit from any IFAD-financed contract, financially or in any other manner; (ii) be a nominated sub-contractor, consultant, manufacturer, supplier, sub-supplier, agent or

service provider of an otherwise eligible firm being awarded an IFAD-financed contract; and (iii) receive the proceeds of any loan or grant provided by the Fund.<sup>6</sup> The Fund also has the right to unilaterally recognize debarments by any of the International Financial Institutions that are members to the Agreement for Mutual Enforcement of Debarment Decisions if such debarments meet the requirements for mutual recognition under the Agreement for Mutual Enforcement of Debarment Decisions.

- 4.8 In addition, the Fund has the right to, at any time, declare a mis-procurement and/or the ineligibility of any expenditures associated with a procurement process or contract if it determines that prohibited practices occurred in connection with this procurement process or contract and that the borrower/recipient has not taken timely and appropriate action, satisfactory to the Fund, to address such practices when they occur.
- 4.9 The supplier will take appropriate measures to inform potential subcontractors, sub-consultants, suppliers, agents and any of its personnel of their obligations under IFAD's Anticorruption Policy and require their compliance with this policy in connection with their involvement in competing for, or executing, this contract.
- 4.10 Bidders, suppliers, consultants, contractors, and their sub-contractors, sub-consultants, service providers, suppliers, agents and personnel, are required to fully cooperate with any investigation conducted by the Fund into possible prohibited practices, including by making personnel available for interviews and by providing full access to any and all accounts, premises, documents and records (including electronic records) relating to the relevant IFAD-financed and/or IFAD-managed operation or activity and to have such accounts, premises, records and documents audited and/or inspected<sup>7</sup> by auditors and/or investigators appointed by the Fund.
- 4.11 The supplier is obliged to disclose relevant prior sanctions and criminal convictions and any commissions or fees paid or are to be paid to any agents or other party in connection with this procurement process or the execution of the contract.

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<sup>6</sup> For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

<sup>7</sup> Inspections include all fact-finding activities deemed relevant by the Fund to address allegations or other indications of possible Prohibited Practices. Such fact-finding activities may include, but are not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data or information (whether in hard copy or electronic format) deemed relevant for the investigation or audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verifications of information. It is the responsibility of the firm or individual under inspection to ensure effective compliance with their duty to cooperate vis-à-vis any potential local laws or regulations or other potentially conflicting obligations.

- 4.12 If the Fund determines that the supplier, or any of its sub-contractors, sub-consultants, suppliers, personnel or any agent or affiliate of any of them has, directly or indirectly, engaged in prohibited practices in connection with an IFAD-financed or IFAD-managed activity or operation, including in competing for, or executing, this contract, the purchaser may, by written notice, immediately terminate the supplier's employment under the contract and the provisions of GCC 27 shall apply.
- 4.13 The bidder shall keep all records and documents, including electronic records, relating to this procurement process available for a minimum of three (3) years after notification of completion of the process or, in case the bidder is awarded the contract, execution of the contract.

## **5. Sexual Harassment, Sexual Exploitation and Abuse**

- 5.1 The Fund requires that all beneficiaries of IFAD Funding, including the purchaser and any bidders, implementing partners, service providers, suppliers, sub-suppliers, contractors, sub-contractors, consultants, sub-consultants, and any of their agents (whether declared or not) and personnel comply with IFAD's Policy on Preventing and Responding to Sexual Harassment, Sexual Exploitation and Abuse. Purchasers, suppliers and bidders shall take all appropriate measures to prevent and prohibit sexual harassment and sexual exploitation and abuse on the part of their personnel and subcontractors or anyone else directly or indirectly employed by them or any of subcontractors in the performance of the contract. Purchasers, suppliers and bidders shall immediately report to the purchaser or IFAD any incidents of sexual harassment and sexual exploitation and abuse arising out of or in connection with the performance of the contract or prior to its execution, including convictions, disciplinary measures, sanctions or investigations. The purchaser may take measures, including immediate termination of the contract, against the supplier, its personnel or any subcontractors, if at any time the purchaser becomes aware of proven acts of sexual harassment, sexual exploitation and abuse by the supplier and/or its personnel, directly or through an agent, in competing for, or in performance of, this contract.

## **6. SECAP Performance Standards**

- 6.1 The contract shall be implemented in a manner consistent with IFAD's Social, Environmental and Climate Assessment Procedures (SECAP), available on <https://www.ifad.org/en/secap>.

## **7. Standards**

- 7.1 The goods supplied under this contract shall conform to the standards mentioned in the technical specifications, and, when no applicable standard is mentioned, to the authoritative standards appropriate to the goods' country of origin. Such standards shall be the latest issued by the concerned institution.

## **8. Use of Contract Documents and Information**

- 8.1 The supplier shall not, without the purchaser's prior written consent, disclose the contract, or any provision thereof, or any specification, plan, drawing, pattern, sample, or information furnished by or on behalf of the purchaser in connection therewith, to any

person other than a person employed by the supplier in the performance of the contract. Disclosure to any such employed person shall be made in confidence and shall extend only so far as may be necessary for purposes of such performance.

8.2 The supplier shall not, without the purchaser's prior written consent, make use of any document or information enumerated in GCC clause 8.1 except for purposes of performing the contract.

8.3 Any document enumerated in GCC clause 8.1, other than the contract itself, shall remain the property of the purchaser and shall be returned (all copies) to the purchaser on completion of the supplier's performance under the contract if so required by the purchaser

## **9. Patent Rights**

9.1 The supplier shall indemnify the purchaser against all third-party claims of infringement of patent, trademark, or industrial design rights arising from use of the goods or any part thereof in the purchaser's country.

## **10. Performance Security**

10.1 Within fourteen (14) days of receipt of the notification of contract award, the successful bidder shall furnish to the purchaser the performance security in the amount specified in the SCC.

10.2 The proceeds of the performance security shall be payable to the purchaser as compensation for any loss resulting from the supplier's failure to complete its obligations under the contract.

10.3 The performance security shall be denominated in the currency of this contract and shall be in one of the following forms:

a) a bank guarantee or an irrevocable letter of credit issued by a reputable bank located in the purchaser's country or abroad, acceptable to the purchaser, in the form provided in the bidding documents or another form acceptable to the purchaser; or

b) a cashier's or certified check.

10.4 The performance security will be discharged by the purchaser and returned to the supplier not later than thirty (30) days following the date of completion of the supplier's performance obligations under the contract, including any warranty obligations, unless otherwise specified in SCC.

## **11. Inspections and Tests**

11.1 The purchaser or its representative shall have the right to inspect and/or to test the goods to confirm their conformity to the contract specifications at no extra cost to the purchaser. The SCC and the technical specifications shall specify what inspections and tests the purchaser requires and where they are to be conducted. The purchaser shall notify the supplier in writing, in a timely manner, of the identity of any representatives retained for these purposes.

11.2 The inspections and tests may be conducted on the premises of the supplier or its subcontractor(s), at point of delivery, and/or at the goods' final destination. If conducted on the premises of the supplier or its subcontractor(s), all reasonable facilities and

assistance, including access to drawings and production data, shall be furnished to the inspectors at no charge to the purchaser.

- 11.3 Should any inspected or tested goods fail to conform to the Specifications, the purchaser may reject the goods, and the supplier shall either replace the rejected goods or make alterations necessary to meet specification requirements free of cost to the purchaser.
- 11.4 The purchaser's right to inspect, test and, where necessary, reject the goods after the goods' arrival in the purchaser's country shall in no way be limited or waived by reason of the goods having previously been inspected, tested, and passed by the purchaser or its representative prior to the goods' shipment from the country of origin.
- 11.5 Nothing in GCC clause 11 shall in any way release the supplier from any warranty or other obligations under this contract.

## **12. Packing**

- 12.1 The supplier shall provide such packing of the goods as is required to prevent their damage or deterioration during transit to their final destination, as indicated in the contract. The packing shall be sufficient to withstand, without limitation, rough handling during transit and exposure to extreme temperatures, salt and precipitation during transit, and open storage. Packing case size and weights shall take into consideration, where appropriate, the remoteness of the goods' final destination and the absence of heavy handling facilities at all points in transit.
- 12.2 The packing, marking, and documentation within and outside the packages shall comply strictly with such special requirements as shall be expressly provided for in the contract, including additional requirements, if any, specified in SCC, and in any subsequent instructions ordered by the purchaser.

## **13. Delivery and Documents**

- 13.1 Delivery of the goods shall be made by the supplier in accordance with the terms specified in the schedule of requirements.
- 13.2 For purposes of the contract, "EXW," "CIF," "CIP," and other trade terms used to describe the obligations of the parties shall have the meanings assigned to them by the current edition of Incoterms published by the International Chamber of Commerce, Paris.
- 13.3 Documents to be submitted by the supplier are specified in the SCC.

## **14. Insurance**

- 14.1 The goods supplied under the contract shall be fully insured in a freely convertible currency against loss or damage incidental to manufacture or acquisition, transportation, storage, and delivery in the manner specified in the SCC.

- 14.2 Where delivery of the goods is required by the purchaser on a CIF or CIP basis, the supplier shall arrange and pay for cargo insurance, naming the purchaser as beneficiary.

## **15. Transportation**

- 15.1 Where the supplier is required under the contract to deliver the goods on CIF or CIP, transport of the goods to the port of destination or such other named place of destination in the purchaser's country as specified in the contract, shall be arranged and paid for by the supplier and the cost thereof shall be included in the contract price. No restriction shall be placed on the choice of carrier.
- 15.2 Where the supplier is required under the contract to transport the goods to a specified place of destination within the purchaser's country, defined as the Project Site, transport to such place of destination in the purchaser's country, including insurance and storage, as shall be specified in the contract, shall be arranged by the supplier, and related costs shall be included in the contract price.

## **16. Incidental Services**

- 16.1 The supplier may be required to provide any additional services as **specified in the SCC**.

## **17. Spare Parts**

- 17.1 As specified in the SCC, the supplier may be required to provide any or of the following materials, notifications, and information pertaining to spare parts manufactured or distributed by the supplier: such spare parts as the purchaser may elect to purchase from the supplier, provided that this election shall not relieve the supplier of any warranty obligations under the contract; and in the event of termination of production of the spare parts: advance notification to the purchaser of the pending termination, in sufficient time to permit the purchaser to procure needed requirements; and following such termination, furnishing at no cost to the purchaser, the blueprints, drawings, and specifications of the spare parts, if requested.

## **18. Warranty**

- 18.1 The supplier warrants that the goods supplied under the contract are new, unused, of the most recent or current models, and that they incorporate all recent improvements in design and materials unless provided otherwise in the contract. The supplier further warrants that all goods supplied under this contract shall have no defect, arising from design, materials, or workmanship (except when the design and/or material is required by the purchaser's specifications) or from any act or omission of the supplier, that may develop under normal use of the supplied goods in the conditions prevailing in the purchaser's country.
- 18.2 This warranty shall remain valid for twelve (12) months after the goods, or any portion thereof as the case may be, have been delivered to and accepted at the final destination indicated in the contract, or for eighteen (18) months after the date of shipment from the port or place of loading in the source country, whichever period concludes earlier, unless specified otherwise in the SCC.

- 18.3 The purchaser shall promptly notify the supplier in writing of any claims arising under this warranty.
- 18.4 Upon receipt of such notice, the supplier shall, within the period specified in the SCC and with all reasonable speed, repair or replace the defective goods or parts thereof, without costs to the purchaser.
- 18.5 If the supplier, having been notified, fails to remedy the defect(s) within the period specified in the SCC, the purchaser may proceed to take such remedial action as may be necessary, at the supplier's risk and expense and without prejudice to any other rights which the purchaser may have against the supplier under the contract.

## **19. Payment**

- 19.1 The method and conditions of payment to be made to the supplier under this contract shall be specified in the SCC.
- 19.2 The supplier's request(s) for payment shall be made to the purchaser in writing, accompanied by an invoice describing, as appropriate, the goods delivered and services performed, and by documents submitted pursuant to GCC clause 13, and upon fulfilment of other obligations stipulated in the contract.
- 19.3 Payments shall be made promptly by the purchaser, but in no case later than forty-five (45) days after submission of an invoice or claim by the supplier.
- 19.4 The currency in which payments shall be made to the supplier under this contract shall be those specified in the SCC.

## **20. Prices**

- 20.1 Prices charged by the supplier for goods delivered and services performed under the contract shall not vary from the prices quoted by the supplier in its bid except for any price adjustments **authorized in the SCC**.

## **21. Change Orders**

- 21.1 The purchaser may at any time, by a written order given to the supplier pursuant to GCC clause 35, make changes within the general scope of the contract in any one or more of the following:
- a) drawings, designs, or specifications, where goods to be furnished under the contract are to be specifically manufactured for the purchaser;
  - b) the method of shipment or packing;
  - c) the place of delivery; and/or
  - d) the related services to be provided by the supplier.
- 21.2 If any such change causes an increase or decrease in the cost of, or the time required for, the supplier's performance of any provisions under the contract, an equitable adjustment shall be made in the contract price or delivery schedule, or both, and the contract shall accordingly be amended. Any claims by the supplier for adjustment

under this clause must be asserted within thirty (30) days from the date of the supplier's receipt of the purchaser's change order

## **22. Contract Amendments**

- 22.1 Subject to GCC clause 21, no variation in or modification of the terms of the contract shall be made except by written amendment signed by the parties.

## **23. Assignment**

- 23.1 The supplier shall not assign, in whole or in part, its obligations to perform under this contract, except with the purchaser's prior written consent.

## **24. Subcontract**

- 24.1 24.1 The supplier shall notify the purchaser in writing of all subcontracts awarded under this contract if not already specified in the bid. Such notification, in the original bid or later, shall not relieve the supplier from any liability or obligation under the contract.
- 24.2 Subcontracts must comply with the provisions of GCC clause 3.

## **25. Delays in the Supplier's Performance**

- 25.1 Delivery of the goods and performance of services shall be made by the supplier in accordance with the time schedule prescribed by the purchaser in the schedule of requirements.
- 25.2 If at any time during performance of the contract, the supplier or its subcontractor(s) should encounter conditions impeding timely delivery of the goods and performance of services, the supplier shall promptly notify the purchaser in writing of the fact of the delay, its likely duration and its cause(s). As soon as practicable after receipt of the supplier's notice, the purchaser shall evaluate the situation and may at its discretion extend the supplier's time for performance, with or without liquidated damages, in which case the extension shall be ratified by the parties by amendment of contract.
- 25.3 Except as provided under GCC clause 28, a delay by the supplier in the performance of its delivery obligations shall render the supplier liable to the imposition of liquidated damages pursuant to GCC clause 26, unless an extension of time is agreed upon pursuant to GCC clause 25.2 without the application of liquidated damages.

## **26. Liquidated Damages**

- 26.1 Subject to GCC clause 25, if the supplier fails to deliver any or all of the goods or to perform the services within the period(s) specified in the contract, the purchaser shall, without prejudice to its other remedies under the contract, deduct from the contract price, as liquidated damages, a sum equivalent to the percentage **specified in the SCC** of the delivered price of the delayed goods or unperformed services for each week or part thereof of delay until actual delivery or performance, up to a maximum

deduction of the percentage **specified in the SCC**. Once the maximum is reached, the purchaser may consider termination of the contract pursuant to GCC clause 27.

## **27. Termination Default**

27.1 The purchaser, without prejudice to any other remedy for breach of for contract, by written notice of default sent to the supplier, may terminate this contract in whole or in part:

- a) if the supplier fails to deliver any or all of the goods within the period(s) specified in the contract, or within any extension thereof granted by the purchaser pursuant to GCC clause 25;
- b) if the supplier fails to perform any other obligation(s) under the contract; or
- c) if the Fund determines that the supplier, or any of its sub-contractors, sub-consultants, suppliers, personnel or any agent or affiliate of any of them has, directly or indirectly, engaged in prohibited practices pursuant to GCC 4.
- d) If the purchaser becomes aware of any proven acts of sexual harassment, sexual exploitation and abuse by the supplier and/or its personnel, directly or through an agent, pursuant to GCC 5.

27.2 In the event the purchaser terminates the contract in whole or in part, pursuant to GCC clause 27.1, the purchaser may procure, upon such terms and in such manner as it deems appropriate, goods or services similar to those undelivered, and the supplier shall be liable to the purchaser for any excess costs for such similar goods or services. However, the supplier shall continue performance of the contract to the extent not terminated.

## **28. Force Majeure**

28.1 Notwithstanding the provisions of GCC clauses 25, 26, and 27, the supplier shall not be liable for forfeiture of its performance security, liquidated damages, or termination for default if and to the extent that its delay in performance or other failure to perform its obligations under the contract is the result of an event of force majeure.

28.2 For purposes of this clause, "force majeure" means an event beyond the control of the supplier and not involving the supplier's fault or negligence and not foreseeable. Such events may include, but are not restricted to, acts of the purchaser in its sovereign capacity, wars or revolutions, fires, floods, epidemics, quarantine restrictions, and freight embargoes.

28.3 If a force majeure situation arises, the supplier shall promptly notify the purchaser in writing of such condition and the cause thereof. Unless otherwise directed by the purchaser in writing, the supplier shall continue to perform its obligations under the contract as far as is reasonably practical, and shall seek all reasonable alternative means for performance not prevented by the force majeure event.

## **29. Termination for Insolvency**

- 29.1 The purchaser may at any time terminate the contract by giving written notice to the supplier if the supplier becomes bankrupt or otherwise insolvent. In this event, termination will be without compensation to the supplier, provided that such termination will not prejudice or affect any right of action or remedy which has accrued or will accrue thereafter to the purchaser.

## **30. Termination for Convenience**

- 30.1 The purchaser, by written notice sent to the supplier, may terminate the contract, in whole or in part, at any time for its convenience. The notice of termination shall specify that termination is for the purchaser's convenience, the extent to which performance of the supplier under the contract is terminated, and the date upon which such termination becomes effective.
- 30.2 The goods that are complete and ready for shipment within thirty (30) days after the supplier's receipt of notice of termination shall be accepted by the purchaser at the contract terms and prices. For the remaining goods, the purchaser may elect:
- a) to have any portion completed and delivered at the contract terms and prices; and/or
  - b) to cancel the remainder and pay to the supplier an agreed amount for partially completed goods and services and for materials and parts previously procured by the supplier.

## **31. Settlement of Disputes**

- 31.1 If any dispute or difference of any kind whatsoever shall arise between the purchaser and the supplier in connection with or arising out of the contract, the parties shall make every effort to resolve amicably such dispute or difference by mutual consultation.
- 31.2 If, after thirty (30) days, the parties have failed to resolve their dispute or difference by such mutual consultation, then either the purchaser or the supplier may give notice to the other party of its intention to commence arbitration, as hereinafter provided, as to the matter in dispute, and no arbitration in respect of this matter may be commenced unless such notice is given.
- 31.3 Any dispute or difference in respect of which a notice of intention to commence arbitration has been given in accordance with this clause shall be finally settled by arbitration. Arbitration may be commenced prior to or after delivery of the goods under the contract.
- 31.4 Arbitration proceedings shall be conducted in accordance with the rules of procedure **specified in the SCC.**
- 31.5 Notwithstanding any reference to arbitration herein:
- a) the parties shall continue to perform their respective obligations under the contract unless they otherwise agree; and
  - b) the purchaser shall pay the supplier any monies due to the supplier.

### **32. Limitation of Liability**

32.1 Except in cases of criminal negligence or wilful misconduct, and in the case of infringement pursuant to GCC clause 9,

a) the supplier shall not be liable to the purchaser, whether in contract, tort, or otherwise, for any indirect or consequential loss or damage, loss of use, loss of production, or loss of profits or interest costs, provided that this exclusion shall not apply to any obligation of the supplier to pay liquidated damages to the purchaser; and

b) the aggregate liability of the supplier to the purchaser, whether under the contract, in tort or otherwise, shall not exceed the total contract price, provided that this limitation shall not apply to the cost of repairing or replacing defective equipment.

### **33. Governing Language**

33.1 The contract shall be written in the language specified **in the SCC**.

### **34. Applicable Law**

34.1 The contract shall be interpreted in accordance with the laws of the purchaser's country.

### **35. Notices**

35.1 Any notice given by one party to the other pursuant to this contract shall be sent to the other party in writing to the address **specified in SCC**.

35.2 A notice shall be effective when delivered or on the notice's effective date, whichever is later.

### **36. Taxes and Duties**

36.1 A foreign supplier shall be entirely responsible for all taxes, stamp duties, license fees, and other such levies imposed outside the purchaser's country.

36.2 A local supplier shall be entirely responsible for all taxes, duties, license fees, etc., incurred until delivery of the contracted goods to the purchaser.

## **Section VII (B). Special Conditions of Contract**

The following SCC shall supplement the GCC. Whenever there is a conflict, the provisions herein shall prevail over those in the GCC. The corresponding clause number of the GCC is indicated in parentheses.

### **GCC clause 1 - Definitions**

GCC 1.1 (h)—The purchaser is: **Integrated Natural Resources Management Programme (INReMP)**

GCC 1.1 (j)—The supplier is: \_\_\_\_\_

GCC 1.1 (k) – The project site is: **Integrated Natural Resources Management Programme (INReMP) Headquarters, Eldoret**

**Contract Duration:** The contract shall have an initial duration of three (3) years from the date of contract signature.

**Renewal:** Subject to satisfactory performance, as determined by the purchaser, the policy may be renewed once in accordance with national law.

**The Commencement date and duration of the insurance cover shall be:** Commencement date to be agreed by both parties after signing of the contract. Completion or Expiry Date will be 36 months from the date of commencement. Duration of the coverage shall be 36 months, renewable yearly subject to satisfactory performance.

### **GCC clause 3.1 - Country of Origin**

All countries and territories are eligible except those sanctioned under chapter VII of the resolutions of the Security Council of the United Nations. - **Not applicable**

### **GCC clause 10.1 - Performance Security**

The amount of performance security, as a percentage of the contract price, shall be a minimum of **10 percent**.

### **GCC clause 10.4 - Performance Security**

Discharge of the performance security shall take place: **As indicated in sub clause GCC 10.4**

### **GCC clause 11.1 - Inspections and Tests**

**Inspection and tests shall be as follows:**

Provision Of Group Medical Insurance Cover for Integrated Natural Resources Management Programme (INReMP) Staff will be checked against the Schedule of requirement in this Bidding document at Programme Coordination and Management Unit (PCMU) Headquarters, Eldoret. The inspection of Goods and Services will be done on a quarterly basis.

### **GCC clause 12.2 - Packing**

Packing shall be suitable for the kind the goods being procured and the transportation method used. The additional packing, marking, and documentation shall be as follows: **Not applicable**

### **GCC clause 13.3 - Delivery and Documents**

#### **For goods supplied from abroad:**

Upon shipment, the supplier shall notify the purchaser and the insurance company with the full details of the shipment, including contract number, description of goods, quantity, the vessel, the bill of lading number and date, port of loading, date of shipment, port of discharge, etc. The supplier shall mail the following documents to the purchaser, with a copy to the insurance company:

- (i) copies of the supplier's invoice showing goods' description, quantity, unit price, and total amount;
- (ii) original and **1** copies of the negotiable, clean, on-board bill of lading marked "Freight prepaid" OR **2 copies** of non-negotiable bill of lading;
- (iii) copies of the packing list identifying contents of each package;
- (iv) insurance certificate;
- (v) manufacturers or supplier's warranty certificate;
- (vi) inspection certificate, issued by the nominated inspection agency, and the supplier's factory inspection report; and
- (vii) certificate of origin.

The above documents shall be received by the purchaser at least one week before arrival of the goods at the port or place of arrival and, if not received, the supplier will be responsible for any consequent expenses. - **Not applicable**

#### **For goods from within the purchaser's country:**

Upon delivery of the goods to the transporter, the supplier shall notify the purchaser and mail the following documents to the purchaser:

- (i) copies of the supplier's invoice showing goods' description, quantity, unit price, and total amount;
- (ii) delivery note;

The above documents shall be received by the purchaser before arrival of the goods and, if not received, the supplier will be responsible for any consequent expenses. - **Not applicable**

#### **GCC clause 14.1 - Insurance**

The Insurance shall be in an amount equal to 110 percent of the CIF or CIP value of the goods from "warehouse" to "warehouse" on "all risks" basis, including war risks and strikes.

#### **GCC clause 16.1 – Incidental Services**

The supplier may be required to provide any or all of the following services, including additional services-

- i Helpdesk support (email/phone/ticketing) during agreed business hours.
- ii Medical evacuation and ambulance
- iii Prescription drugs and dressing (Including discharge medication)
- iv Laboratory tests, X-rays, MRIs and CT scans
- v Lodger fees for a caretaker (Accommodation fees for caretaker or parent staying in the same room as an admitted child or dependant)
- vi Post hospitalisation care: Outpatient follow ups or physiotherapy directly related to an accident or surgery typically covering the first 30 days post discharge.

#### **GCC clause 18.2 - Warranty**

The warranty period shall be **12 months** from date of acceptance of the goods - **Not applicable**

#### **GCC clause 18.4 - Repair or Replacement of Defective Goods**

The period for correction of defects in the warranty period is **30 days**. - **Not applicable**

#### **GCC clause 18.5 – Failure to Repair or Replace Defective Goods**

The period for correction of defects in the warranty period is **30 days**- **Not applicable**.

#### **GCC clause 19.1 – Payment**

The method and conditions of payment to be made to the supplier under this contract shall be:

##### **Payment for goods supplied from abroad:**

Payment shall be made in the following manner:

- (i) **On acceptance:** One hundred (100) percent of the contract price of goods received and inspected shall be paid within forty-five (45) days of receipt of the goods upon submission of claim supported by the acceptance certificate issued by the purchaser. **Not Applicable**

##### **Payment for goods and services supplied from within the purchaser's country:**

Payment for goods and services supplied from within the purchaser's country shall be made, as follows:

- (i) **On delivery and acceptance:** The payment of Goods and Services will be done on a quarterly basis upon receipt and inspection of the goods and upon submission of the documents specified in GCC Clause 13.

#### **GCC clause 19.4 – Currency of Payment**

##### **Payment for goods supplied from abroad:**

Payment of foreign currency portion shall be made in **USD (Not Applicable)**

Payment of local currency portion shall be made in **Kenya Shillings** on presentation of claim supported by a certificate from the purchaser declaring that the goods have been delivered and that all other contracted services have been performed

##### **Payment for goods and services supplied from within the purchaser's country:**

Payment for goods and services supplied from within the purchaser's country shall be made in **Kenya Shillings**

#### **GCC clause 20.1 Price Adjustments**

The prices charged for the goods supplied and the related services performed **shall not** be adjustable.

#### **GCC clause 26.1 - Liquidated Damages**

The applicable rate for liquidated damages is **1** percent per week or part thereof. The maximum deduction for liquidated damages is **10** percent of the contract price.

#### **GCC clause 31.2 (b) - Settlement of Disputes**

The basis of arbitration shall be:

##### **For a contract with a foreign supplier:**

Any dispute, controversy or claim arising out of or relating to this contract, or breach, termination or invalidity thereof, shall be settled by arbitration in accordance with the UNCITRAL Arbitration Rules as at present in force. The place of arbitration shall be **Eldoret, Kenya**

##### **For a contract with a supplier national of the purchaser's country:**

In the case of a dispute between the purchaser and a supplier who is a national of the purchaser's country, the dispute shall be referred to adjudication or arbitration in accordance with the laws of the purchaser's country. The place where arbitration shall take place is **Eldoret, Kenya**.

#### **GCC Clause 33.1 – Governing Language**

The language of the contract is **English**.

**GCC Clause 35.1 - Notices**

The purchaser's address for notice purposes is:

**National Programme Coordinator  
Programme Coordination and Management Unit, (PCMU)  
Integrated Natural Resources Management Programme (INReMP):  
P.O. Box 40-30100 Eldoret City, Kenya  
Agriculture Mechanization Services (AMS) Building  
Eldoret, Opposite University of Eldoret  
Eldoret - Ziwa Road,  
E-mail: [inremp@kilimo.go.ke](mailto:inremp@kilimo.go.ke)**

The supplier's address for notice purposes is \_\_\_\_\_.

**Attachment: Price Adjustment Formula**

**Not applicable**

**Section VII (C). Contract Forms**  
**1. Performance Security Form**

To: [name of purchaser]

WHEREAS [name of supplier] (hereinafter called "the supplier") has undertaken, in pursuance of contract No. [reference number of the contract] dated \_\_\_\_\_ 20 to supply [description of goods and services] (hereinafter called "the contract").

AND WHEREAS it has been stipulated by you in the said contract that the supplier shall furnish you with a bank guarantee by a reputable bank for the sum specified therein as security for compliance with the supplier's performance obligations in accordance with the contract.

AND WHEREAS we have agreed to give the supplier a guarantee:

THEREFORE WE hereby affirm that we are guarantors and responsible to you, on behalf of the supplier, up to a total of [amount of the guarantee in words and figures], and we undertake to pay you, upon your first written demand declaring the supplier to be in default under the contract and without cavil or argument, any sum or sums within the limits of [amount of guarantee] as aforesaid, without your needing to prove or to show grounds or reasons for your demand or the sum specified therein.

This guarantee is valid until the \_\_ day of \_\_\_\_\_ 20\_.

Signature and seal of the guarantors

---

[name of bank or financial institution]

---

[address]

---

[date]

## 2. Bank Guarantee Form for Advance Payment

To: [name of purchaser] [name of contract]

Gentlemen:

In accordance with the payment provision included in the special conditions of contract, which amends clause 19 of the general conditions of contract to provide for advance payment, [name and address of supplier] (hereinafter called "the supplier") shall deposit with the purchaser a bank guarantee to guarantee its proper and faithful performance under the said clause of the contract in an amount of [amount of guarantee in figures and words].

We, the [bank or financial institution], as instructed by the supplier, agree unconditionally and irrevocably to guarantee as primary obligator and not as surety merely, the payment to the purchaser on its first demand without whatsoever right of objection on our part and without its first claim to the Supplier, in the amount not exceeding [amount of guarantee in figures and words].

We further agree that no change or addition to or other modification of the terms of the contract to be performed thereunder or of any of the contract documents which may be made between the purchaser and the Supplier, shall in any way release us from any liability under this guarantee, and we hereby waive notice of any such change, addition, or modification.

This guarantee shall remain valid and in full effect from the date of the advance payment received by the Supplier under the contract until [date].

Yours truly,

Signature and seal of the guarantors

---

[name of bank or financial institution]

---

[address]

---

[date]

### 3. Self-Certification Form

This self-certification form is to be completed by the contractor. The contractor shall submit the completed form together with the signed contract agreement to [insert name of procuring entity]. Instructions for completing this form are provided below.

|                                                                  |  |
|------------------------------------------------------------------|--|
| Full legal name of supplier:                                     |  |
| Full legal name of supplier's legal representative and position: |  |
| Full name and number of contract:                                |  |
| Project with which contract was signed:                          |  |
| Country:                                                         |  |
| Date:                                                            |  |

I hereby certify that I am the authorized representative of [name of the supplier], as well as that the information provided herein is true and accurate in all material respects and understand that any material misstatement, misrepresentation or failure to provide the information requested in this self-certification may result in sanctions and remedies, including the suspension or termination of the contract between the supplier and the procuring entity, as well as the permanent ineligibility to participate in IFAD-financed and/or IFAD-managed activities and operations, in accordance with the IFAD Procurement Guidelines, the IFAD Procurement Handbook and other applicable IFAD policies and procedures, including **IFAD's Policy on Preventing Fraud and Corruption in its Activities and Operations** (accessible at [www.ifad.org/anticorruption\\_policy](http://www.ifad.org/anticorruption_policy)) and its **Policy on Preventing and Responding to Sexual Harassment, Sexual Exploitation and Abuse** (accessible at <https://www.ifad.org/en/document-detail/asset/40738506>).

**Authorized Signature:** \_\_\_\_\_

**Date:** \_\_\_\_\_

**Printed Name of Signatory:** \_\_\_\_\_

→ The supplier certifies that itself, including its director(s), partner(s), proprietor(s), key personnel, agents, sub-consultants, sub-contractors, consortium and joint venture partners have **NOT** engaged in fraudulent, corrupt, collusive, coercive or obstructive practices, in connection with the present procurement process and this contract.

→ The supplier declares that the following criminal convictions, administrative sanctions (including debarments under the Agreement for Mutual Enforcement of Debarment Decisions or the "Cross-Debarment Agreement")<sup>8</sup> and/or temporary suspensions have been imposed on the supplier and/or any of its directors, partners, proprietors, key personnel, agents, subconsultants, sub-contractors, consortium and joint venture partners:

| Nature of the measure (i.e., criminal conviction, administrative sanction or temporary suspension) | Imposed by | Name of party convicted, sanctioned or suspended (and relationship to supplier) | Grounds for the measure (i.e., fraud in procurement or corruption in contract execution) | Date and time (duration) of measure |
|----------------------------------------------------------------------------------------------------|------------|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|-------------------------------------|
|                                                                                                    |            |                                                                                 |                                                                                          |                                     |
|                                                                                                    |            |                                                                                 |                                                                                          |                                     |

If no criminal convictions, administrative sanctions or temporary suspensions have been imposed, indicate "none".

→ The supplier certifies that its director(s), proprietor(s), and personnel, and the personnel of its agents, sub-consultants, sub-contractors, consortium and joint venture partners are **NOT** subject to a criminal conviction, administrative sanctions or investigations for incidents of sexual harassment and sexual exploitation and abuse.

→ The supplier certifies that itself, its proprietor(s), agents, sub-consultants, sub-contractors, consortium and joint venture partners have **NO** actual, potential or reasonably perceived conflicts of interest and specifically that they:

→ Do not have any actual or potential, and do not reasonably appear to have, at least one controlling partner in common with one or more other parties in the bidding process or the execution of the contract ;

→ Do not have any actual or potential, and do not reasonably appear to have the same legal representative as another bidder for purposes of this bid or execution of the contract;

→ Do not have any actual or potential, and do not reasonably appear to have a relationship, directly or through common third parties, that puts them in a position to have access to undue or undisclosed information about or influence over the bid process and the execution of the contract, or influence the decisions of the procuring entity regarding the selection process for this procurement or during the execution of the contract;

→ Do not participate and do not potentially or reasonably appear to participate in more than one bid in this process; and

→ Do not have any actual or potential, and do not reasonably appear to have, a business or family relationship with, a member of the procuring entity's board of directors or its personnel, the Fund or its personnel, or any other individual that was, has been or might reasonably be directly or indirectly involved in any part of (i) the preparation of the bidding document, (ii) the selection process for this procurement, or (iii) execution of the contract, unless the actual, potential or reasonably conflict stemming from this relationship has been explicitly authorized by the Fund in writing.

→ **[To be completed only if the previous boxes were not checked]**

The supplier declares the following actual, potential or reasonably perceived conflicts of interest, that may affect, or might reasonably be perceived by others to affect, impartiality in any matter relevant to the procurement process, including the selection process and the execution of the contract, with the understanding and acceptance that any action upon this disclosure shall be entirely under the Fund's discretion:

[provide detailed description of any actual, potential or reasonably perceived conflicts of interest including their nature and the personnel, proprietor(s), agents, sub-consultants, sub-contractors, consortium or joint venture partners affected.]

→ The supplier certifies that **NO** gratuities, fees, commissions, gifts or anything else of value, other than those shown in the bid, have been paid or exchanged or are to be paid or exchanged with respect to the present procurement process and this contract.

**OR**

→ **[To be completed only if the previous box was not checked]**

The supplier declares that the following gratuities, fees, commissions, gifts or anything else of value have been exchanged, paid or are to be exchanged or paid with respect to the present procurement process and this contract:

- [Name of Recipient/Address/Date/Reason/Amount]
- [Name of Recipient/Address/Date/Reason/Amount]

The supplier acknowledges and accepts to notify the procuring entity in the event of any material change in connection with this self-certification form throughout the duration of the contract.

#### **Instructions for completing the self-certification form**

The World Bank listing of ineligible firms and individuals is a searchable database that returns a positive or negative search results page upon submission of a name to be searched, in order to document the eligibility.

**The supplier should print out, date, and attach the results page(s) to the self certification form, which should read, "no matching records found".**

<sup>8</sup> The Cross-Debarment Agreement was entered into by the World Bank Group, the Inter-American Development Bank, the African Development Bank, the Asian Development Bank and the European Bank for Reconstruction and Development, additional information may be located at: <http://crossdebarment.org/>.

If (a) record(s) has/have been found – i.e. the results page(s) shows one or more individuals or entities, including the supplier itself are ineligible for contracts of the World Bank on the grounds of “cross-debarment”, the supplier should provide a detailed account of these sanctions and their duration as applicable or notify the procuring entity and in case the supplier believes the finding is a “false positive”.

The procuring entity will determine whether to proceed with the contract or allow the supplier to make a substitution. This determination will be made on a case by case basis and will require approval by IFAD regardless of the estimated value of the proposed contract.

All of these documents must be retained by the supplier as part of the overall record of the contract with the procuring entity for the duration of the contract and for a minimum period of three years following the completion of the contract.

## **Section VIII. Revised IFAD Policy on Preventing Fraud and Corruption in its Activities and Operations**

### **I. Introduction**

1. The Fund recognizes that the prevention and mitigation of fraud and corruption in its activities and operations are core components of its development mandate and fiduciary duties. The Fund does not tolerate the diversion or waste of its resources through the practices defined in paragraph 6 below.
2. The objective of this policy is to establish the general principles, responsibilities and procedures to be applied by the Fund in preventing and addressing prohibited practices in its activities and operations.
3. This policy takes effect on the date of its issuance. It supersedes and replaces the IFAD Policy on Preventing Fraud and Corruption in its Activities and Operations (EB 2005/85/R.5/Rev.1) dated 24 November 2005.

### **II. Policy**

#### **A. General principles**

4. The Fund has no tolerance towards prohibited practices in its activities and operations. All individuals and entities listed in paragraph 7 below must take appropriate action to prevent, mitigate and combat prohibited practices when participating in an IFAD-financed and/or IFAD-managed operation or activity.
5. The Fund endeavors to ensure that individuals and entities that help to prevent or report, in good faith, allegations of prohibited practices are protected against retaliation and to protect individuals and entities that are the subject of unfair or malicious allegations.

#### **B. Prohibited practices**

6. The following practices are considered to be prohibited practices when engaged in connection with an IFAD-financed and/or IFAD-managed operation or activity:
  - (a) A "corrupt practice" is the offering, giving, receiving or soliciting, directly or indirectly, of anything of value in order to improperly influence the actions of another party;
  - (b) A "fraudulent practice" is any act or omission, including a misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party in order to obtain a financial or other benefit or to avoid an obligation;
  - (c) A "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including improperly influencing the actions of another party;

(d) A “coercive practice” is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party in order to improperly influence the actions of that or another party;

(e) An “obstructive practice” is: (i) deliberately destroying, falsifying, altering or concealing evidence that may be material to an investigation by the Fund or making false statements to investigators in order to materially impede an investigation by the Fund; (ii) threatening, harassing or intimidating any party in order to prevent that party from disclosing its knowledge of matters relevant to an investigation by the Fund or from pursuing such an investigation; and/or (iii) the commission of any act intended to materially impede the exercise of the Fund’s contractual rights of audit, inspection and access to information.

### **C. Scope**

7. This policy applies to all IFAD-financed and/or IFAD-managed operations and activities and to the following individuals and entities:

(a) IFAD staff and other persons working for IFAD as non-staff personnel (“IFAD

(b) staff and non-staff personnel”);

(c) Individuals and entities holding a commercial contract with the Fund and any of their agents or personnel (“vendors”);

(d) Public entities receiving IFAD financing or financing managed by the Fund and any of their agents or personnel (“government recipients”) and private entities receiving IFAD financing or financing managed by the Fund and any of their agents or personnel (“non-government recipients”) (all collectively referred to as “recipients”); and

(e) Individuals and entities, other than those referred to above, that receive, apply to receive, are responsible for the deposit or transfer of, or take or influence decisions regarding the use of proceeds from IFAD financing or financing managed by the Fund, including, but not limited to, implementing partners, service providers, contractors, suppliers, subcontractors, sub-suppliers, bidders, consultants and any of their agents or personnel. (All such individuals and entities are collectively referred to as “third parties”.)

### **D. Responsibilities (i) Responsibilities of the Fund**

8. The Fund endeavors to prevent, mitigate and combat prohibited practices in its operations and activities. This may include adopting and maintaining:

(a) Communication channels and a legal framework designed to ensure that this policy is communicated to IFAD staff and non-staff personnel, vendors, recipients and third parties and that it is reflected in procurement documents and contracts relating to IFAD-financed and/or IFAD-managed activities and operations;

(b) Fiduciary controls and supervisory processes designed to support adherence to this policy by IFAD staff and non-staff personnel, vendors, recipients and third parties;

(c) Measures relating to the receipt of confidential complaints, whistle-blower protection, investigations, sanctions and disciplinary measures which are designed to ensure that prohibited practices can be properly reported and addressed; and

(d) Measures designed to ensure that the Fund can report individuals and entities that have been found to have engaged in prohibited practices to other multilateral organizations which may be exposed to similar actions by the same individuals and entities and to local authorities in cases where local laws may have been violated.

**(ii) Responsibilities of IFAD staff and non-staff personnel, vendors and third parties**

9. When participating in an IFAD-financed and/or IFAD-managed operation or activity, IFAD staff and non-staff personnel, vendors and third parties will:

(a) Refrain from engaging in prohibited practices;

(b) Participate in due diligence checks and disclose, as required, information relating to themselves or any of their key personnel concerning relevant criminal convictions, administrative sanctions and/or temporary suspensions; information concerning agents engaged in connection with a procurement process or contract, including the commissions or fees paid or to be paid; and information concerning any actual or potential conflicts of interest in connection with a procurement process or the execution of a contract;

(c) Promptly report to the Fund any allegations or other indications of prohibited practices that come to their attention by virtue of their involvement in an IFAD financed and/or IFAD-managed operation or activity;

(d) Fully cooperate with any investigation conducted by the Fund, including by making personnel available for interviews and providing full access to any and all accounts, premises, documents and records (including electronic records) relating to the relevant IFAD-financed and/or IFAD-managed operation or activity, and by having such accounts, premises, documents and records audited and/or inspected by auditors and/or investigators appointed by the Fund; and

(e) Maintain strict confidentiality regarding any and all information received as a consequence of their participation in an IFAD investigation or sanctioning process.

10. When participating in an IFAD-financed and/or IFAD-managed operation or activity, vendors and third parties will maintain all accounts, documents and records relating to that operation or activity for an adequate period of time, as specified in the relevant procurement documents or contract.

**(iii) Responsibilities of recipients**

11. When participating in an IFAD-financed and/or IFAD-managed operation or activity, recipients will take appropriate action to prevent, mitigate and combat prohibited practices. In particular, they will:

- (a) Adopt appropriate fiduciary and administrative practices and institutional arrangements in order to ensure that the proceeds of any IFAD financing or financing managed by the Fund are used only for the purposes for which they were provided;
- (b) During selection processes and/or prior to entering into a contractual relationship with a third party, conduct appropriate due diligence checks of the selected bidder or potential contractor, including by verifying whether the selected bidder or potential contractor is publicly debarred by any of the IFIs that are signatories to the Agreement for Mutual Enforcement of Debarment Decisions<sup>8</sup> and, if so, whether the debarment meets the requirements for mutual recognition under the Agreement for Mutual Enforcement of Debarment Decisions;
- (c) Take appropriate action to inform third parties and beneficiaries (defined as "persons whom the Fund intends to serve through its grants and loans") of the present policy as well as the Fund's confidential and secure e-mail address for the receipt of complaints concerning prohibited practices;
- (d) Include provisions in procurement documents and contracts with third parties which:
  - (i) Require third parties to disclose, in the course of a procurement process and any time thereafter, information relating to themselves or any of their key personnel concerning relevant criminal convictions, administrative sanctions and/or temporary suspensions; information concerning agents engaged in connection with a procurement process or the execution of a contract, including the commissions or fees paid or to be paid; and information concerning any actual or potential conflicts of interest in connection with a procurement process or the execution of a contract;
  - (ii) Require third parties to promptly report to the Fund any allegations or other indications of prohibited practices that come to their attention by virtue of their involvement in an IFAD-financed and/or IFAD-managed operation or activity;
  - (iii) Inform third parties of the Fund's jurisdiction to investigate allegations and other indications of prohibited practices and to impose sanctions on third parties for such practices in connection with an IFAD-financed and/or IFAD-managed operation or activity;
  - (iv) Require third parties to fully cooperate with any investigation conducted by the Fund, including by making personnel available for interviews and by providing full access to any and all accounts, premises, documents and records (including electronic records) relating to the relevant IFAD- financed and/or IFAD-managed

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<sup>8</sup> The Agreement for Mutual Enforcement of Debarment Decisions, dated 9 April 2010, was signed by five of the leading IFIs, namely, the African Development Bank Group, the Asian Development Bank, the European Bank for Reconstruction and Development, the Inter-American Development Bank and the World Bank Group.

operation or activity and to have such accounts, premises, records and documents audited and/or inspected

(v) by auditors and/or investigators appointed by the Fund;

(vi) Require third parties to maintain all accounts, documents and records relating to an IFAD-financed and/or IFAD-managed operation or activity for an adequate period of time as agreed with the Fund;

(vii) Inform third parties of the Fund's policy of unilaterally recognizing debarments imposed by other IFIs if such debarments meet the requirements for mutual recognition under the Agreement for Mutual Enforcement of Debarment Decisions; and

(viii) Provide for early contract termination or suspension by the recipient if such termination or suspension is required as a consequence of a temporary suspension or sanction imposed or recognized by the Fund;

(e) Promptly inform the Fund of any allegations or other indications of Prohibited Practices that come to their attention;

(f) Fully cooperate with any investigation conducted by the Fund, including by making personnel available for interviews and by providing full access to any and all accounts, premises, documents and records (including electronic records) relating to the relevant IFAD-financed and/or IFAD-managed operation or activity and to have such accounts, premises, documents and records audited and/or inspected by auditors and/or investigators appointed by the Fund;

(g) Maintain all accounts, documents and records relating to an IFAD-financed and/or IFAD-managed operation or activity for an adequate period of time, as specified in the relevant financing agreement; and

(h) Maintain strict confidentiality regarding any and all information received as a consequence of their participation in an IFAD investigation or sanctioning process.

12. Where the Fund finds that prohibited practices have occurred, recipients will: (a) Take appropriate corrective measures in coordination with the Fund; and (b) Give full effect to any temporary suspension or sanction imposed or recognized by the Fund, including by not selecting a bidder, not entering into a contract or suspending or terminating a contractual relationship.

13. Prior to the implementation of an IFAD-financed and/or IFAD-managed operation or activity, government recipients will inform the Fund of the arrangements that they have made for receiving and taking action in response to allegations of fraud and corruption relating to the IFAD-financed and/or IFAD-managed operation or activity, including by designating an independent and competent local authority to be responsible for receiving, reviewing and investigating such allegations.

14. When participating in an IFAD-financed and/or IFAD-managed operation or activity, government recipients will, in consultation with the Fund, take timely and appropriate action

to launch a local investigation into allegations and/or other indications of fraud and corruption relating to the IFAD-financed and/or IFAD- managed operation or activity; inform the Fund of the actions taken in any such investigation at such intervals as may be agreed upon by the recipient and the Fund on a case-by-case basis; and, upon the completion of such investigation, promptly share the findings and results thereof, including the supporting evidence, with the Fund. Government recipients will work with the Fund to coordinate any actions other than investigations that they may wish to undertake in response to an alleged or otherwise indicated prohibited practice.

15. Government recipients are encouraged to have in place, in accordance with their laws and regulations, effective whistle-blower protection measures and confidential reporting channels in order to appropriately receive and address allegations of fraud and corruption relating to IFAD-financed and/or IFAD-managed operations and activities.

#### **E. Process (i) Reporting**

16. A designated confidential and secure e-mail address for the receipt of allegations of prohibited practices is available on the Fund's website.
17. In the event of uncertainty as to whether or not an act or omission constitutes a prohibited practice, the designated confidential and secure e-mail address may be used to seek guidance.
18. The Fund treats all reported allegations with strict confidentiality. This means that the Fund does not normally reveal the identity of a reporting party to anybody outside of the investigative, sanctioning or disciplinary process without the consent of the reporting party.
19. The Fund endeavours to provide protection from retaliation to any individuals or entities that have helped prevent or have reported to the Fund, in good faith, allegations or other indications of prohibited practices. IFAD staff and non-staff personnel are protected from retaliation under the Fund's Whistle-blower Protection Procedures.

#### **(ii) Investigations**

20. Where the Fund has reason to believe that prohibited practices may have occurred, the Fund may decide to review and investigate the matter, irrespective of any investigative actions launched or planned by the recipient.

21. The purpose of an investigation conducted by the Fund is to determine whether an individual or entity has engaged in one or more prohibited practices in connection with an IFAD-financed and/or IFAD-managed operation or activity.

22. Reviews and investigations conducted by the Fund are, inter alia:

(a) Strictly confidential, meaning that the Fund does not disclose to anyone outside of the investigative, sanctioning or disciplinary process any evidence or information relating to the review or investigation, including the outcome of a review or investigation, unless such disclosure is allowed under the Fund's legal framework;

(b) Independent, meaning that no authority is allowed to interfere with an ongoing review or investigation or to otherwise intervene in, influence or stop such a review or investigation; and

(c) Administrative, as opposed to criminal, in nature, meaning that reviews and investigations conducted by the Fund are governed by the Fund's rules and procedures, not by local laws.

23. The office within the Fund that is mandated to conduct reviews and investigations into alleged or otherwise indicated prohibited practices is the Office of Audit and Oversight (AUO). Without prejudice to paragraphs 9(d) and 11(f), AUO may agree not to disclose to anybody outside of AUO any evidence or information that it has obtained on the condition that such evidence or information may be used solely for the purpose of generating new evidence or information, unless the provider of the evidence or information consents.

#### **F. Sanctions and related measures (i) Temporary suspensions**

24. During the course of an IFAD review or investigation, or pending the conclusion of a sanctioning process, the Fund may decide, at any time, to temporarily suspend payments to IFAD non-staff personnel, non-government recipients, vendors or third parties or to temporarily suspend their eligibility to participate in IFAD- financed and/or IFAD-managed operations and activities for an initial period of six (6) months, subject to a possible extension of that suspension for an additional six (6) months.

25. IFAD staff may be temporarily suspended from their duties in accordance with the applicable human resources framework.

#### **(ii) Sanctions**

26. If the Fund determines that IFAD non-staff personnel, non-government recipients, vendors or third parties have engaged in prohibited practices, the Fund may impose administrative sanctions on such individuals or entities.

27. Sanctions are imposed on the basis of: (i) the findings and evidence presented by AUO, including mitigating and exculpatory evidence; and (ii) any evidence or arguments submitted by the subject of the investigation in response to the findings presented by AUO.

28. The Fund may apply any of the following sanctions or a combination thereof:

- (a) Debarment, which is defined as declaring an individual or entity ineligible, either indefinitely or for a stated period of time, to: (i) be awarded any IFAD- financed contract; (ii) benefit, financially or otherwise, from any IFAD- financed contract, including by being engaged as a subcontractor; and (iii) otherwise participate in the preparation or implementation of any IFAD- financed and/or IFAD-managed operation or activity;
- (b) Debarment with conditional release, which is defined as a debarment that is terminated upon compliance with conditions set forth in the sanction decision;
- (c) Conditional non-debarment, which is defined as requiring an individual or entity to comply with certain remedial, preventive or other measures as a condition for non-debarment on the understanding that a failure to comply with such measures within a prescribed period of time will result in an automatic debarment under the terms provided for in the sanction decision;
- (d) Restitution, which is defined as a payment to another party or the Fund (with respect to the Fund's resources) of an amount equivalent to the amount of the diverted funds or the economic benefit obtained as a result of having engaged in a prohibited practice; and

(e) Letter of reprimand, which is defined as a formal letter of censure for the actions of an individual or entity which informs that individual or entity that any future violation will lead to more severe sanctions.

29. The Fund may extend the application of a sanction to any affiliate of a sanctioned party even if the affiliate has not been directly involved in the prohibited practice. An affiliate is defined as any individual or entity that is: (i) directly or indirectly controlled by the sanctioned party; (ii) under common ownership or control with the sanctioned party; or (iii) acting as an officer, employee or agent of the sanctioned party, including owners of the sanctioned party and/or those who exercise control over the sanctioned party.

30. For the purposes of IFAD-financed and/or IFAD-managed operations and activities, the Fund may consider as debarred individuals and entities that have been debarred by another IFI where: (i) that IFI is a signatory to the Agreement for Mutual Enforcement of Debarment Decisions; and (ii) such debarment meets the requirements for mutual recognition under the Agreement for Mutual Enforcement of Debarment Decisions.<sup>9</sup>

### **(iii) Disciplinary measures**

31. If the Fund finds that IFAD staff have engaged in prohibited practices, the Fund may apply disciplinary measures and may require restitution or other compensation in accordance with the applicable human resources framework.

## **G. Referrals and information-sharing**

32. The Fund may, at any time, refer information or evidence relating to an ongoing or completed investigative, sanctioning or disciplinary process to the local authorities of a Member State. In determining whether such a referral is appropriate, the Fund takes into consideration the interests of the Fund, the affected Member States, the individuals or entities under investigation and any other persons, such as witnesses, who are involved in the case.

33. If the Fund obtains information or evidence indicating potential wrongdoing in connection with the operations and/or activities of another multilateral organization, the Fund may make such information or evidence available to the other organization for the purposes of its own investigative, sanctioning or disciplinary processes.

34. In order to facilitate and regulate the confidential exchange of information and evidence with local authorities and multilateral organizations, the Fund seeks to conclude agreements which establish the rules for such an exchange.

## **H. Operational responses to Prohibited Practices (i) Rejection of an award of contract**

35. The Fund may refuse to give its no-objection to the award of a contract to a third party if it determines that the third party, or any of its personnel, agents, sub consultants,

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<sup>9</sup> The Fund may, in the future, decide to also recognize debarments imposed by entities that are not signatories to the Agreement for Mutual Enforcement of Debarment Decisions.

subcontractors, service providers, suppliers and/or their employees, engaged in a prohibited practice while competing for the contract in question.

**(ii) Declaration of misprocurement and/or ineligibility of expenditures**

36. The Fund may, at any time, declare a misprocurement and/or the ineligibility of any expenditures associated with a procurement process or contract if it determines that a third party or a representative of the recipient has engaged in a prohibited practice in connection with the procurement process or contract at issue and that the recipient has not taken timely and appropriate action, satisfactory to the Fund, to address such practices when they occur.

**(iii) Suspension or cancellation of loan or grant**

37. If the Fund determines that a recipient has not taken timely and appropriate action, satisfactory to the Fund, to address prohibited practices when they occur, the Fund may suspend or cancel, in whole or in part, the loan or grant affected by such practices

## **BENEFICIAL OWNERSHIP DISCLOSURE FORM**

**(Amended and issued pursuant to PPRA CIRCULAR No. 02/2022)**

### **INSTRUCTIONS TO TENDERERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE FORM**

*This Beneficial Ownership Disclosure Form ("Form") is to be completed by the successful tenderer pursuant to Regulation 13 (2A) and 13 (6) of the Companies (Beneficial Ownership Information) Regulations, 2020. In case of joint venture, the tenderer must submit a separate Form for each member. The beneficial ownership information to be submitted in this Form shall be current as of the date of its submission.*

*For the purposes of this Form, a Beneficial Owner of a Tenderer is any natural person who ultimately owns or controls the legal person (tenderer) or arrangements or a natural person on whose behalf a transaction is conducted, and includes those persons who exercise ultimate effective control over a legal person (Tenderer) or arrangement.*

Tender Reference No.: \_\_\_\_\_

\_\_\_\_\_ [insert identification no] Name of the

Tender Title/Description: \_\_\_\_\_ [insert name of  
the assignment] to: [insert complete name of Procuring Entity

In response to the requirement in your notification of award dated [insert date of notification of award] to furnish additional information on beneficial ownership: [select one option as applicable and delete the options that are not applicable]

I) We hereby provide the following beneficial ownership information.

Details of beneficial ownership

|                          | Details of all Beneficial Owners                  |  | % of shares a person holds in the company<br>Directly or indirectly | % of voting rights a person holds in the company                         | Whether a person directly or indirectly holds a right to appoint or remove a member of the board of directors of the company or an equivalent governing body of the Tenderer (Yes / No)                                         | Whether a person directly or indirectly exercises significant influence or control over the Company (tenderer) (Yes / No)                                                                                                         |
|--------------------------|---------------------------------------------------|--|---------------------------------------------------------------------|--------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>1.</b>                | Full Name                                         |  | Directly-----<br>% of shares<br><br>Indirectly-----<br>% of shares  | Directly.....% of voting rights<br><br>Indirectly-----% of voting rights | .Having the right to appoint a majority of the board of the directors or an equivalent governing body of the Tenderer: Yes ----- No----<br>.Is this right held directly or indirectly?:<br><br>Direct.....<br><br>Indirect..... | .Exercises significant influence or control over the Company body of the Company (tenderer)<br><br>Yes -----No----<br><br>.Is this influence or control exercised directly or indirectly?<br><br>Direct.....<br><br>Indirect..... |
|                          | National identity card number or Passport number  |  |                                                                     |                                                                          |                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                   |
|                          | Personal Identification Number (where applicable) |  |                                                                     |                                                                          |                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                   |
|                          | Nationality                                       |  |                                                                     |                                                                          |                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                   |
|                          | Date of birth<br>[dd/mm/yyyy]                     |  |                                                                     |                                                                          |                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                   |
|                          | Postal address                                    |  |                                                                     |                                                                          |                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                   |
|                          | Residential address                               |  |                                                                     |                                                                          |                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                   |
|                          | Telephone number                                  |  |                                                                     |                                                                          |                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                   |
|                          | Email address                                     |  |                                                                     |                                                                          |                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                   |
| Occupation or profession |                                                   |  |                                                                     |                                                                          |                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                   |
|                          |                                                   |  |                                                                     |                                                                          |                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                   |
| <b>2.</b>                | Full Name                                         |  | Directly-----<br>% of shares                                        | Directly.....% of voting rights                                          | .Having the right to appoint a majority of the board of the directors or an equivalent governing body                                                                                                                           | .Exercises significant influence or control over the Company                                                                                                                                                                      |
|                          | National identity card number or Passport number  |  |                                                                     |                                                                          |                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                   |

|              | Details of all Beneficial Owners                  |  | % of shares a person holds in the company<br>Directly or indirectly | % of voting rights a person holds in the company | Whether a person directly or indirectly holds a right to appoint or remove a member of the board of directors of the company or an equivalent governing body of the Tenderer (Yes / No) | Whether a person directly or indirectly exercises significant influence or control over the Company (tenderer) (Yes / No)                                        |
|--------------|---------------------------------------------------|--|---------------------------------------------------------------------|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|              | Personal Identification Number (where applicable) |  | Indirectly-----<br>% of shares                                      | Indirectly-----% of voting rights                | of the Tenderer: Yes -----<br>No----<br>.Is this right held directly or indirectly?:<br><br>Direct.....<br><br>Indirect.....                                                            | body of the Company (tenderer)<br>Yes -----No----<br><br>.Is this influence or control exercised directly or indirectly?<br><br>Direct.....<br><br>Indirect..... |
|              | Nationality(ies)                                  |  |                                                                     |                                                  |                                                                                                                                                                                         |                                                                                                                                                                  |
|              | Date of birth<br>[dd/mm/yyyy]                     |  |                                                                     |                                                  |                                                                                                                                                                                         |                                                                                                                                                                  |
|              | Postal address                                    |  |                                                                     |                                                  |                                                                                                                                                                                         |                                                                                                                                                                  |
|              | Residential address                               |  |                                                                     |                                                  |                                                                                                                                                                                         |                                                                                                                                                                  |
|              | Telephone number                                  |  |                                                                     |                                                  |                                                                                                                                                                                         |                                                                                                                                                                  |
|              | Email address                                     |  |                                                                     |                                                  |                                                                                                                                                                                         |                                                                                                                                                                  |
|              | Occupation or profession                          |  |                                                                     |                                                  |                                                                                                                                                                                         |                                                                                                                                                                  |
| <b>3.</b>    |                                                   |  |                                                                     |                                                  |                                                                                                                                                                                         |                                                                                                                                                                  |
| <b>e.t.c</b> |                                                   |  |                                                                     |                                                  |                                                                                                                                                                                         |                                                                                                                                                                  |
|              |                                                   |  |                                                                     |                                                  |                                                                                                                                                                                         |                                                                                                                                                                  |
|              |                                                   |  |                                                                     |                                                  |                                                                                                                                                                                         |                                                                                                                                                                  |

I am fully aware that beneficial ownership information above shall be reported to the Public Procurement Regulatory Authority together with other details in relation to contract awards and shall be maintained in the Government Portal, published and made publicly available pursuant to Regulation 13(5) of the Companies (Beneficial Ownership Information) Regulations, 2020.(Notwithstanding this paragraph Personally Identifiable Information in line with the Data Protection Act shall not be published or made public). Note that Personally Identifiable Information (PII) is defined as any information that can be used to distinguish one person from another and can be used to deanonymize previously anonymous data. This information includes National identity card number or Passport number, Personal Identification Number, Date of birth, Residential address, email address and Telephone number.

In determining who meets the threshold of who a beneficial owner is, the Tenderer must consider a natural person who in relation to the company:

- (a) holds at least ten percent of the issued shares in the company either directly or indirectly;
- (b) exercises at least ten percent of the voting rights in the company either directly or indirectly;
- (c) holds a right, directly or indirectly, to appoint or remove a director of the company; or
- (d) exercises significant influence or control, directly or indirectly, over the company.

II) What is stated to herein above is true to the best of my knowledge, information and belief.

Name of the Tenderer: .....\*[insert complete name of the Tenderer]

Name of the person duly authorized to sign the Tender on behalf of the Tenderer: \*\*  
[insert complete name of person duly authorized to sign the Tender]

Designation of the person signing the Tender: ..... [insert complete title of the person signing the Tender]

Signature of the person named above: ..... [insert signature of person whose name and capacity are shown above]

Date this .....[insert date of signing] day of.....[Insert month], [insert year]

CR 12 and copies of the IDs for the Directors

Bidder Official Stamp